Inside Unix

SOFTWARE TOOLS FOR ADVANCED PROGRAMMERS

Dr. Dobb's Journal

t/98 December 1984

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Unix:
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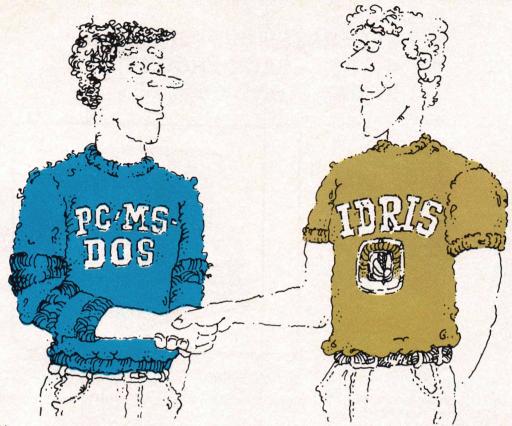
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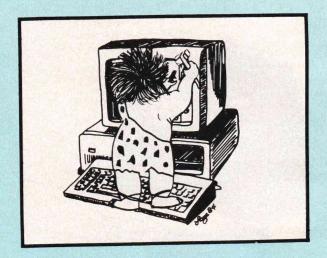
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ITEM No. 1100

"Despite the recent press notices, multiuser microcomputers aren't anything new!"

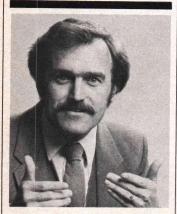
This is the first in a series of discussions with Rod Coleman, President of Stride Micro (formerly Sage Computer) on the 68000 multiuser market and its current environment.

Q: Why do you say that?

RC: "The technology to build a high performance multiuser system has been around for five years. And while some of the leaders in this industry have been pretending that micro multiuser didn't exist, we've been shipping complete systems for nearly three years. The benefits of multiuser are undeniable; it is more cost effective, and offers greater flexibility and utility. But until just recently, the marketing pressure to be compatible instead of being better, has blinded the industry.

Q: What do you mean?

RC: "Well, for example, the Motorola 68000 processor introduced 16/32-bit technology to the personal computer world a long time ago. It was fully capable of



"A surprising feature is compatibility. Everybody talks about it. but nobody does anything about it."

meeting high performance and multiuser design requirements in 1980. Instead of this trend taking off, most energy was spent pro-

were clearly inferior from a technical point of view. This phenomenon leads me to believe that they will soon rewrite the old proverb: 'Build a better mousetrap and the world will beat a path to your door,' but only if they can find the way through the marketing fog."

Q: Are things changing now?

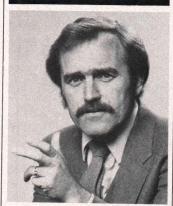
RC: "Yes and no. With the business world starting to take more and more interest in microcomputer solutions, the advantages of a solid multiuser system couldn't be kept hidden forever; companies like ours and a few others were beginning to make a dent. Instead of taking a fresh approach, some of the newest multiuser offerings will probably only give the technology an undeserved black eve! Multiuser is far more than the ability to plug in more terminals. It involves things like machine compatibility, fast processors, adequate memory, large storage capacities, backup features, networking, and operating system flexibility."

Q: Is this what makes the new Stride 400 Series different?

RC: "Exactly. That sounds selfserving, but it's true. Today a number of companies are introducing their first multiuser system. We've been building and shipping multiuser machines for almost three years. We know the pitfalls, we've fallen into some of them. But we have learned from our mistakes.

Q: Give me some examples.

RC: A hard disk is almost mandatory for any large multiuser installation. Yet, backing up a hard disk can be a nightmare if you only have floppies to work with. That's why we've added a tape backup option to all the larger Stride 400 Series machines. It's irresponsible for a manufacturer to market a multiuser system without such backup. Another good lesson was bus design. We started with one of our own designs, but learned that it's important not only to find a bus that is powerful, but also one that has good support and a strong future



"The marketing pressure to be compatible instead of being better, has blinded the industry."

think the VMEbus is the only design that meets both criteria and thus have made it a standard feature of every Stride 400 Series machine.

0: What are some of the other unique features of the 400 Series?

RC: "A surprising feature is compatibility. Everybody talks about it, but nobody does anything about it. Our systems are completely compatible with each other from the 420 model starting at \$2900, through the 440, on to the powerful 460 which tops out near \$60,000. Each system can talk to the others via the standard built-in local area network. Go ahead and compare this with others in the industry. You'll find their little machines don't talk to their big ones, or that the networking and multiuser are incompatible, or that they have different processors or operating systems, and so on.'

Q: When you were still known as Sage Computer, you had a reputation for performance, is that still the case with the new Stride 400 Series?

RC: "Certainly, that's our calling card: 'Performance By Design. Our new systems are actually faster; our standard processor is a 10 moting 8088/8086 products that to serve tomorrow's needs. We MHz 68000 running with no wait Dallas: (214) 392-7070

states. That gives us a 25% increase over the Sage models. And, we have a 12 MHz processor as an option. Let me add that speed isn't the only way to judge performance. I think it is also measured in our flexibility. We support a dozen different operating systems, not just one. And our systems service a wide variety of applications from the garage software developer to the corporate consumer running high volume business applications."

Q: Isn't that the same thing all manufacturers say in their ads?

RC: "Sure it is. But to use another over used-term, 'shop around'. We like to think of our systems as 'full service 68000 supermicrocomputers.' Take a look at everyone else's literature and then compare. When you examine cost, performance, flexibility, and utility, we don't think there's any-





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December 1984 Volume 9, Issue 12

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Masthead Changes

This special Unix issue was conceived by Reynold Wiggins but he was absent from the delivery room. Midterm, Reynold removed his editor's visor and threw away the nub of his red pencil to become a CAD programmer at Fairchild. So yours truly, Randy Sutherland, assisted the Doctor on this baby. Reynold Wiggins has been involved with Dr. Dobb's Journal almost since the beginning and we expect an ongoing relationship. When it comes to programming on microcomputers, it is hard to match his zeal!

New names will appear on the masthead next month: Frank DeRose, Assistant Editor; and Alex Ragen, Technical Editor.

Next Year

Next month we feature an article on how to "Fatten Your Mac" for a lot less than Apple's price. Also, we will review Logitech's Modula 2 compiler and STSC's APL interpreter. February is the Gala Anniversary Issue, 100 months of DDJ! In March we focus on artificial intelligence for microcomputers and announce the winner of the AI competition. April will feature human interface design and May will feature graphics algorithms. June will be the special telecommunications issue. Please submit manuscripts for the telecommunications issue by the end of February.

This Month's Referees

Dennis Allison, Stanford U. S. M. Bellovin, AT&T Wayne Chin, Hewlett-Packard Bob Desinger, Hewlett-Packard Mohammed El Lozy, Harvard U. Jim Fleming, Unir Corporation

Angel Gomez, Telecomp, Inc. John Keyes, Microsoft Ben Laws, North Texas State U. John Rogers, Fortune Systems Joseph Sharp, Micro Science Associates

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Dr. Dobb's Journal

ARTICLES

Varieties of Unix 24 A comparative overview of Unixes for microcomputers by Alan Walworth with a brief history of Unix and comments on its future, plus a guide to choosing a Unix (Reader Ballot No. 192) **Unix Device Drivers** Unix Version 7 drivers are the point of departure for this by John Bass inside look at the Unix I/O subsystem and Unix device drivers (Reader Ballot No. 193) A Unix Internals Bibliography 50 An expert's guide to internals documentation so you won't by John Rogers have to "grep for it" (Reader Ballot No. 194) A File Browser Program 60 For those times when all you want to do is look through a by John Johnson file (Reader Ballot No. 195) An Introduction to Parsing 78 How to implement parsing schemes for assemblers, by Henry Seymour editors, or adventure games (Reader Ballot No. 196).

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Dr. Dobb's Clinic Around and around with Shugarts and Tandons (Reader by D. E. Cortesi Ballot No. 190). CP/M Exchange 20 The early days of CP/M; Using large sectors (Reader by Bob Blum Ballot No. 191). 16 Bit Software Toolbox Sneak 80286 Preview (Reader Ballot No. 197) by Ray Duncan C/Unix Programmer's Notebook Long pointer corrections, programming philosophy by Anthony Skjellum (Reader Ballot No. 198) **Computer Calisthenics** 120 Puzzles (Reader Ballot No.199) by Michael Wiesenberg

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rguments for not doing a special issue on Unix: 1) I flew to New York on October 15 to attend a Unix Expo; I was not alone in finding the show slow and boring. (Well, not entirely boring; I did see some C programming tools that were of some interest, Jim Joyce's seminars seemed well-attended, and one company was showing off an intriguing product that implements Smalltalklike object-oriented programming in a Unix environment, but the show attendance was sub-moblevel.) 2) I drove up the peninsula to San Francisco on October 26-27 for the PC Faire; the Faire did nothing to reassure me that Unix is on the verge of taking over the exciting world of IBM PCs and compatibles. (OK. I did see some friendlifying frontends for PC Unixes.) 3) Dr. Dobb's readers have read all the inflated claims about Unix market share, don't believe them, and anyway don't read DDJ for that sort of thing; as one irascible newsletter editor who pontificates under the name Felgercarb N. Eloi recently wrote, "it was absurd to assert that Unix was or ever would be the best operating system for the dentists and candle-makers who comprise the mass personal computer market." (But many of our readers do use Unix.)

Argument for doing a special Unix issue: our readers are not dentists or candlemakers.

This special issue on Unix is a new departure for *DDJ*. We have in the past done special issues on Forth and telecommunications, and current plans call for us to continue doing these special issues. We will in fact be doing more special issues in the future. But we're changing our approach to them: instead of devoting an entire month of the magazine to a topic, we are now shining a more focused light on the topic at hand, leaving room for other articles in the same issue. The idea is that we're consciously structuring these special sections to deal in some depth with a relatively narrow slice of an interesting topic.

Consider the current issue's special section, which we have named Inside Unix. Here's what we think we have done here; you can let us know how well we succeeded. The Unix section includes only three articles (plus the regular "C/Unix" column and attention to Unix in "Of Interest"), and it centers on the Unix drivers article by John Bass.

The Bass article is directed at people who want something more than marketing hype on how many Unix licenses have been sold, or analysis of where Unix fits into the office of tomorrow. It takes you deeper into the Unix system, hence the section title Inside Unix.

Rogers' richly annotated bibliography of Unix internals is again not for the tyro but is a useful tool for the programmer with a need to know about Unix internals. We hope to supply such bibliographies whenever they are appropriate. The overview article by Walworth is here to provide a background for the other pieces and to clear up some confusion about versions of Unix for those who haven't got it all quite straight.

You're right if you're thinking that all this is some kind of experiment. As Castle, the slanderous stereotype of a humanities professor in B.F. Skinner's Walden II, said, the experimental attitude has the wonderful feature of letting one be utterly confident and self-righteous without knowing anything. We're experimenting, cautiously, with the magazine, and once we see the results of our present experiments, we'll probably continue experimenting.

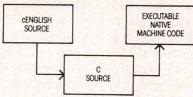
Michael Swans

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CENGLISH The C Generation Language.

What is cENGLISH? cENGLISH is a comprehensive fourth generation procedural language based on dBASE II* syntax. It is portable to a wide range of micros and minis. The language features user-transparent interfaces to a wide range of popular C compilers, operating systems, and data base managers.

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SAMPLE CENGLISH PROGRAM

MODULE: Mininame AUTHOR: bcs DATE: 8/29/84

REMARKS: Sample cENGLISH program that adds first

names to a file

FIXED LENGTH 1 ans FIXED LENGTH 15 Fname END GLOBALS

MAIN PROGRAM

BEGIN CLEAR SCREEN SET ECHO OFF

> USE "NAMES" VIEW BY "ID_FNAME" ASCENDING

AT 23,1 SAY "Add a record? Y or N" AT 23,25 ENTER ans USING

WHILE ans EQ "Y" AT 6,1 SAY "Enter first name" AT 6,20 GET Fname **READ SCREEN**

Fname = Fname END INSERT

AT 12,10 SAY "Welcome to cENGLISH," & Fname AT 14,10 SAY "HIT ANY KEY TO CONTINUE" STORE " "TO ans AT 23,1 SAY "Add another record? Y or N" AT 23,30 ENTER ans USING "! CLEAR ROW 1 THRU 23

FND WHILE

AT 12,10 SAY "That's all for now!" UNUSE "NAMES" SET ECHO ON

END PROGRAM

I'd like to know more about cENGLISH. Please send further information.

Your Name			Title	建筑基于
Company			Telephone	
Address				
City			State	Zip
Check one:	☐ End User	☐ System House	☐ Dealer	☐ Distributor
		West Ontario, Chi Phone (312) 94		0610-3809
In Canada: 0	LINE Canada	a, Inc. Complexe	La Laurent	tienne.

F4Q84



Forum

Dear DDJ.

I was interested to see Alex Cameron's contribution of standard (K&R) fopen-/fclose functions for BDSC in the August '84 Dr. Dobb's Journal ("BDSC Runtime Solution," page 118). I contributed code with a similar goal to the C User's Group over two years ago. Cameron took the conventional approach of using alloc/free to allocate buffer space in the "heap," the region of memory between the top of the externals and the bottom of the stack. I was more concerned to avoid the tendency to allocate too many buffers and bash the stack, so I #defined the maximum number of buffers (simultaneously open files) as NIOBUFS before main(), and reserved the buffers in the external data space. Thus, inadequate memory problems would be obvious at link time. My code was set up to be #included at three points in main(). I have recently ported eleven substantial programs from BDSC to Computer Innovations C86 and found the use of standard fopen/fclose to be a real help.

More recently, along with a text formatter which I wrote, I contributed a library of 43 general purpose functions to the C User's Group ("Martz Library Disk"). Your readers writing in C may save some time by getting a copy of these. Most of the functions are for character string manipulation. For example, argmatch() finds arguments to main() in any sequence, with leading/ trailing ambiguities, optionally deleting them from the argc, argv list once found. fbrkout() breaks a long string into pieces of specified width and hands it to an output stream. Breaks are between words. It has options for indentation and for representing non-printable characters. badname() verifies that a filename is valid according to CP/M file-naming rules; if not, it issues a detailed error message. findwords() counts the number of words in a string and sets up an array of pointers pointing to each. pack() packs strings into a big buffer for later retrieval, returning a pointer to the beginning of each. substitute() replaces all instances of a specified string with a specified replacement. todelim() finds the first instance of a specified delimiter (which can be one or more characters) and splits the original string into left and right portions excluding the delimiter. ynqd() is one of the most useful: "Yes No Question with Default." As an example of its use, to ask whether the user needs help:

if (ynqd("Do you need help", YES))
 givehelp();

This displays on the console:

Do you need help? (y/n) (default = YES)

Simply hitting a carriage return [signals] the default YES.... In addition to my own modest contributions, many others have contributed tools and programs totaling about forty 8-inch SSSD CP/M disks. Readers programming in C should avail themselves of these by writing to the C User's Group, 112 N. Main, Box 287, Yates Center, KS 66783; phone 316-625-3553. Six issues of the CUG Newsletter are \$10, and 8-inch SSSD CP/M disks are only \$8. Several 5-inch disk formats are also available (Apple II, Heath/Zenith, TRS-80, Northstar, Osborne, and others).

Sincerely, Eric Martz, Ph.D. 48 Hunter's Hill Circle Amherst, MA 01002

Dear DDJ.

I read the article by Joe Barnhart about the fast Fourier transform (FFT) in the September 1984 issue. A disadvantage of the FFT is that all the numbers in the transform are complex, requiring complex arithmetic. A complex addition requires two additions of real numbers, and a complex multiplication requires four real multiplications and two real additions. The user has to use twice as much memory to store a complex array of numbers for the FFT. I know of variations of the FFT algorithm that repack the real input numbers into a complex array half the original length. The FFT is performed and the result unpacked. The FFT of the repacked array uses less operations. However, the packing and unpacking algorithms are complicated.

An alternative to the FFT is the fast Hartley transform (FHT). The Hartley transform is similar to the Fourier transform, except that the input and output numbers remain real. Since most applications of the Fourier transform usually involve real input data, the FHT is more suitable for the average user. Since the FHT does not involve any complex operations, the FHT requires fewer multiplications and additions than the FFT algorithm presented in the article. The basic equation for N-point Fourier transform is

$$Xf(k) = \sum_{n=0}^{N-1} x(n) \left(\cos\left(\left(2\pi / N\right) nk\right)\right)$$
$$-i\sin\left(\left(2\pi / N\right) nk\right)\right)$$

where x(n) is the complex input, Xf(k) is the Fourier transform, and i is the square root of -1. The equation for the N-point Hartley transform is

$$X_h(k) = \sum_{n=0}^{N-1} x(n) (\cos ((2\pi / N) nk) + \sin ((2\pi / N) nk))$$

where x(n) is the real input and $X_h(k)$ is the Hartley transform. Note that the

Hartley transform does not have any complex arithmetic involved. The inverse Fourier transform is different from the forward Fourier transform. The inverse Hartley transform is the same as the forward Hartley transform. The FFT makes use of the symmetry of the Fourier transform, which leads to the butterfly graph shown in the article. The Hartley transform also has a symmetry which leads to a FHT algorithm that uses a double butterfly graph. The user can switch the results from a Hartley transform to the Fourier transform through the equations

$$REAL[X_f(k)] = [X_h(k) + X_h(-k)]/2$$

$$IMAG[X_f(k)]$$
= $[X_h(k) - X_h(-k)]/2$

Enclosed [see Listing One on page 12] is a C listing of a subroutine that performs a FHT. Many common operations of the frequency domain are computed faster in the Hartley domain. For example, convolution and cross correlation require fewer operations when done with the Hartley transform.

I hope you will let your readers know about the Hartley transform, because it is better suited for small computer systems than the Fourier transform. For a good reference, see Ronald Bracewell's article, "The Fast Hartley Transform" in the August 1984 issue of *Proceedings of the IEEE*. Another reference is my article "An Algorithm for the Fast Hartley Transform" in the Stanford Exploration Project #38.

Sincerely, Ron Ullmann Picture Element Ltd. 635 Waverly Palo Alto, CA 94301

Dear Doctor:

In a recent issue, a reader told you about how a patch to the DRI macro-assembler RMAC, which he received from Manx, solved his need to use underscores and periods in identifiers. Anyone mixing C or Pascal with assembly language modules linked together will find this enhancement to RMAC useful.

Because the above-mentioned patch may have wide appeal to your audience of system hackers, I am including a list-

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THAT

DOES

EVERYTHING

YOU WANT

IT TO DO



D&W DIGITAL, INC. 20655 Hathaway Avenue Hayward, California 94541 (415) 887-5711 ing [see Listing Two on page 13] that achieves the purpose, and which can also be customized for MAC. This same listing was available from several bulletin boards, but given the huge amount of software that those boards carry, it might have been unnoticed by many.

I would like to point out that any potential commercial firm interested in this patch should make arrangements with me prior to using it.

In an entirely different matter, Ray Duncan and thereafter some readers discovered many problems with MASM, the Microsoft/IBM 8086 macroassembler. It's a sorry state of affairs that some companies can provide such low level of quality to the marketplace and still be praised by much of the press. But the reason I'm writing you about this is that I'm using two versions of MASM; one is 1.07 and the other is 2.04. The former one seems to have less problems than the 2.04. Many of the bugs found by Mr. Duncan are not present in version 1.07, which seems to perform normally in these tests. I would recommend readers to try to obtain this version and see if I'm right.

Some other bugs are still present, even in this better version, plus problems resulting from being a two-pass assembler. I don't know or have RASM, but ASM-86, which comes with CP/M86, is a three-pass assembler, which allows it to do a better allocation of space before actually starting the assembly. This eliminates many nonsense NOPs that MASM needs to scatter through the object code, degrading even more a slow-performing chip like the 8088.

Regards, George Blat Blat Research + Development Corp. 8016 188th Street SW Edmonds, WA 98020

Dear DDJ,

"Designing a File Encryption System" (DDJ, August 1984) by Thomas and Thersites is delightful. Can you imagine the hilarity in the halls at the Puzzle Palace when their cafeteria serves recycled permutation table generators? I can just see the FBI on stakeout at the embassy supermarkets watching for a surge in sales of one pound bags

of leguminous encryption aids.

Sincerely, Adam Fritz 133 Main Street Afton, New York 13730

DRI Support

Dear Editor:

I am writing in response to Steve Conley's letter in the August issue of *Dr. Dobb's Journal*. Mr. Conley described a bug he found in RMAC. He was upset when people at Digital Research told him that they didn't know when, or if, the problem would be fixed. I would like to address several of the concerns which he raised.

It has always been Digital Research's policy to be honest with our customers. I truly wish we could fix all the bugs in all of our products as fast as we would like. Unfortunately, the reality is that this doesn't happen. Instead we try to prioritize problems and do all we can to make sure our customers are aware of them. Fixing this problem has a low priority because it is possible to work around the problem and because RMAC is an 8-bit product. If we had promised Mr. Conley that the problem would be fixed "soon" he probably would have been satisfied. I firmly believe, however, that it is much better to be honest. Therefore the engineer described the status of the problem to Mr. Conley and discussed with him two possible work arounds for the problem. To me this represents quality support rather than indifference.

Providing quality technical support to a large customer base can be difficult. The method which we have chosen to provide this support is our Professional Programmer Support Program (PPS). PPS includes unlimited toll-free phone access to our engineers, a technical newsletter and a subscription to CompuServe so that the customer can access our data bases. This package is available for only \$250 per year for each customer contact person.

I think that PPS is what Mr. Conley was referring to when he mentioned a \$250 software maintenance package. Maintenance, however, is available to all registered users of our products. We use the registered users data base to notify customers whenever a new re-



What C did for Programming Mark Williams has done for C Programming

The C Programming System from Mark Williams

MWC86 gets your C programs running faster and uses less memory space than any other compiler on the market. Then csd, Mark Williams' revolutionary C Source Debugger, helps you debug faster. That's The C Programming System from Mark Williams Company

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MWC86 is the most highly optimized C compiler available anywhere for the DOS and 8086 environment. The benchmarks prove it! They show MWC86 is unmatched in speed and code density.

MWC86 supports large and small models of compilation, the 8087 math coprocessor and DOS 2.0 pathnames. The compiler features common code elimination, peephole optimization and register variables. It includes the most complete libraries. Unlike its competition, MWC86 supports the full C language including recent extensions such as the Berkeley structure rules, voids, enumerated data types, UNIX* I/O calls and structure assignments.

Quality is why Intel, DEC and Wang chose to distribute MWC86. These industry leaders looked and compared and found Mark Williams to be best.

User Friendly

MWC86 is the easiest to use of all compilers. One command runs all phases from pre-processor to assembler and linker. MWC86 eliminates the need to search for error messages in the back of a manual. All error messages appear on the screen in English.

A recent review of MWC86 in PC World, June, 1984, summed it up:

"Of all the compilers reviewed, MWC86 would be my first choice for product development. It compiles quickly, produces superior error messages, and generates quick, compact object code. The library is small and fast and closely follows the industry standard for C libraries."

csd C Source Debugger

Mark Williams was not content to write the best C compiler on the market. To advance the state of the art in software development, Mark Williams wrote csd.

csd C Source Debugger serves as a microscope on the program. Any C expression can be entered and evaluated. With csd a programmer can set tracepoints on variables and expressions with full history capability and can single step a program to find bugs. The debugger does not affect either code size or execution time. csd features online help instructions; the ability to walk through the stack; the debugging of graphics programs without disturb-

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ing the program under test; and evaluation, source, program and history windows.

csd eases the most difficult part of development — debugging. Because csd debugs in C, not assembler, a programmer no longer has to rely on old-fashioned assembler tools, but can work as if using a C interpreter — in real time.

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Mark Williams Company 1430 W. Wrightwood Ave. Chicago, IL 60614

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lease is available. Unfortunately we could not find Mr. Conley at the address he listed as either a subscriber to PPS or as a registered user. Because of this, we will not be able to notify him when updates occur for any of his DRI products.

Mr. Conley mentioned problems he has had reaching DRI by phone. Recently we have made some improvements so that it is now relatively easy to reach our Warranty Support Department. They do not provide customers with technical support but do provide customers with warranty support. Their number is (408) 646-6464.

In summary, I am very proud of the support we, at DRI, provide our customers. I believe we have found a very good way to provide a much needed service to our customers.

Sincerely, Marion Brown Support Center Manager 160 Central Avenue Pacific Grove, CA 93950

Caveat Emptor

Dear DDJ,

The purpose of this letter is to warn *Dr*. *Dobb*'s readers of problems in dealing with JRT.

Early in June of this year I called JRT on the phone and ordered a copy of their Modula-2. I was told that the product would be shipped within a week. On 20 June my VISA account was billed \$102.95 for Modula-2 plus shipping.

When the product had not arrived by August, I tried over a period of three weeks, repeatedly and at all hours, to reach JRT at their listed phone. The phone was never answered. Finally, at the end of August I sent JRT a certified letter, return receipt requested, describing the situation and asking that either my copy of Modula-2 be shipped or that my VISA account be credited. The return receipt came back to me on 7 September, signed by Jim Tyson of JRT.

It is now 24 September and I have heard nothing from JRT, I have not received Modula, and my VISA account has not been credited. While most of my experience ordering from computer suppliers has been extremely satisfactory, I think JRT's actions, taking your money and not shipping the product, are at best a sharp business practice and, at worst, border on fraud.

Sincerely, R. A. Langevin 7621 Fontaine Street Potomac, MD 20854

DDJ

Letters (Text begins on page 8) Listing One

Fast Hartley Transform

```
    The FHT performs the fast Hartley transform over an an array of
floating point numbers. The array of real numbers are pointed to by

 * FX. LENGTH is the number of points in the array and must be a power of two (i.e. 16, 32, 2048). The Hartley transform of the array is stored in the array FX upon completion. If LENGTH is not a power of two, FHT returns a value of -1. Otherwise, FHT returns 0. By Ron Ulmann
 (length, fx)
                      double *fx;
   int length;
                                         ii, kk, jj, ll, istep;
*pba, *pbb, *pbc, *pbd;
temp1, temp2, arg, fcos, fsin, dsin, dcos;
               int
               double
               double
               /* Test to see if length is a power of two and is not zero. for (kk = length; (kk & 1) == 0; kk >>= 1);
               if (kk != 1) return (-1);

/* Reorder the data */
arg = sqrt (1. / (double) length);
                                                                                   /* scale the data */
               jj = 0;
               for (ii = 0, kk = 0; ii < length; ++ii, kk += jj) { if (ii <= kk)
                                          temp1 = *(fx + kk) * arg;
                                           *(fx + kk) = *(fx + ii) * arg;
*(fx + ii) = temp1;
                             for (jj = length>>1; kk >= jj && jj >= 1; kk -= jj, jj >>= 1);
                arg = 3.141592653589793238462643;
                dcos = cos (arg); dsin = sin (arg);
                             for (ii = 0; ii < length; ii += istep)
                                           pba = fx + ii; pbc = pba + jj;
temp1 = *pbc;
                                           *pbc = *pba - temp1; *pba += temp1;
```

```
fcos = dcos; fsin = dsin;
                  for (ll = 1, kk = jj - 2; ll < (jj >> 1); ++ll, kk -= 2)
                         for (ii = ll; ii < length; ii += istep)
                                 pba = fx + ii; pbb = pba + kk;
pbc = pba + jj; pbd = pbc + kk;
temp1 = fcos * *pbc + fsin * *pbd;
                                 temp2 = fsin * *pbc - fcos * *pbd;
*pbc = *pba - temp1; *pbd = *pbb - temp2;
                                  *pba += temp1; *pbb += temp2;
                          temp1 = fcos*dcos - fsin*dsin;
                          fsin = fsin*dcos + fcos*dsin; fcos = temp1:
                          for (ii = (jj >> 1); ii < length; ii += istep)
                                 pba = fx + ii; pbc = pba + jj;
temp1 = *pbc; *pbc = *pba - temp1;
                                  *pba += temp1:
         return (0);
                          /* end of FHT */
Listing Two
                       Patches for MAC and RMAC
                            by George Blat
                 Blat, Research + Development Corp.
                             8016 188th SW
                           Edmonds, WA 98020
         *******************
;The following changes are (c)1983 Blat R+D Corp. Permission is
granted to use these patches only in non-commercial applications.
; MAC and RMAC are trademarks of Digital Research, Inc. which holds
; ownership and all rights to the original programs.
    ********************
; Mac and Rmac are two reliable assemblers developed by Digital
Research which have a good number of useful features. It seems
; natural to get the most out of them.
Among the features that can be added to Mac and Rmac, are the ability to use the period '.' and the underscore '_' as part of
; symbol names such as labels, even as first character of the
; symbol. The underscore, for instance, makes a much better word
;separator than the dollar '$' sign when used in a multi-word
; label. In a dense program listing, it's certainly easier to find
;STAT PORT than STAT$PORT, and @hl to de than @hl$to$de.
By the same token, I don't agree with the decision of Digital
; Research of making the dollar sign a don't care character. It
; introduces confusion as it allows symbols that don't look the
; same to be equivalent.
;In addition, RMAC can be easily patched to create .REL files;where the global (external) names have up to 7 active characters.
;This helps by allowing you to create more meaningful symbol names
; and therefore improve program legibility. This change is still
; entirely compatible with the industry standard Microsoft format.
; The following patches should be assembled with MAC (not RMAC)
```

;and the resulting hex file should be applied over the original ;programs with DDT, SID or ZSID. KEEP AN ARCHIVE COPY OF THE

false equ Ø true equ not false

End Listing One

; ORIGINAL MAC OR RMAC BEFORE PATCHING.

Letters (Listing Continued, text begins on page 8) Listing Two

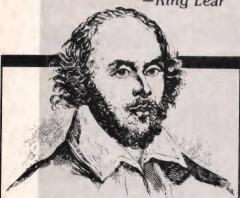
```
; select one and only one of these
rmac
        equ
                 true
mac
        equ
                 false
                                   ; true
        if
                 rmac
global7
                                   ; set to false if you don't want
                          true
                 equ
                                   ;7 char globals
patcharea
                 equ
                          13bh
                                   ; set this to false if you like to
                          1d7ah
dollarcounts
                 equ
                                   ; keep the dollar as a don't care char
                          1d9ch
checkalfa
                 equ
                 equ
                          2844h
toup
        endif
        if
                 mac
                                   ; shorten but keep the copyright notice
                          103h
copyrite
                 equ
                          1834h
dollarcounts
                  equ
                          1853h
checkalfa
                 equ
        endif
         if
                 mac
         org
                  copyrite
                  '(c)1977 DRI'
        db
patcharea:
         endif
         if
                  rmac
         org
                  patcharea
         endif
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         rz
                  171
         cpi
         rz
                  101
         cpi
         rz
         if
                  rmac
         call
                  toup
         endif
                  'A'
         sui
                  'Z'-'A'+1
         cpi
         cmc
         ret
         if
                  rmac and global7
                  12d6h
compare equ
                  12dbh
 setit7 equ
         org
                  compare
                                    ; replaces cpi 7
         cpi
                  8
                  setit7
         org
                                    ; replaces mvi a,6
         mvi
                  a,7
         endif
                  dollarcounts
          if
                  dollarcounts
          org
                                    ; replaces mov m,a
          nop
         endif
                  checkalfa
          org
                                    ;replaces cpi 3f
          call
                  patcharea
          cmc
                                    ;jz
                                            ldbl
                                             40
          sbb
                                    ; cpi
                                             ldbl,
                                                     etc.
          ret
                                    ;jz
          end
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At Christmas I no more desire a rose

Than wish a snow in Mau's new fangled mirth

But like of each thing that in season grows

King Lear



MacInker A Gift For Christmas A Gift For All Seasons

If Shakespeare had had a word processor he would have consumed about 25 cartridges to run a first draft of his works. At an average of \$10/cartridge the cost is \$250. With MAC INKER he would use one cartridge, his total would be 50 cents in ink and his print-out quality would be much improved.

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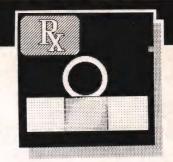
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DR. DOBB'S CLINIC



by D. E. Cortesi, Resident Intern

The Intern Loses His Head: A Cautionary Tale

It was a dark and stormy night. Rain lashed against the windows of the Clinic. The Intern sprawled across a gurney. He pushed his mask aside and slurped from a paper cup of coffee. Winced. Sighed. And spoke, his voice barely audible above the wind. "I lost a patient," he said. "It was my own damn fault, sheer arrogance. But I paid for it"

The New Drives

I had a pair of Shugart 800s (he went on), single-sided 8-inch drives. They worked fine, but I wanted more. Six hundred Kb just isn't enough for some of the things I do. Double-sided drives have 1200K, and with 256 directory entries they'd hold any project short of the U.S. Census.

And they're much faster—you know me and speed. Modern drives step at 3 milliseconds, twice as fast as my old drives, and with twice the data under the heads they incur fewer and shorter seeks on the average.

But I wanted not merely new drives but half-height drives. No practical reason—just because they're sexy. Oh, a pair of half-heights is a lot less bulky than two normal drives, but my real motive was . . . aesthetic.

So I placed an order with Floppy Disk Services (741 Alexander Road, Princeton, NJ 08540; 800-223-0306) for an enclosure with power supply, two drives, and a cable. It cost just over \$1300.

Floppy Disk Services will configure just about any combination of drives, and I had to choose a make of drive. Here was the first place I really went wrong. I asked for Shugart 860s for no better reason than that the old Shugarts had given such good service. If I'd only asked for advice—from FDS

or a local guru—I wouldn't have ended up holding a detached head in my hand.

The Interface

The disks came a month later. The enclosure was handsome, the power supply looked sturdy, and the drives were ... aesthetically delicious. Open cutouts on the back panel might have allowed air to shortcut the fan, but a piece of cardboard from the shipping carton blocked them neatly.

I knew that all drives have a zillion jumper options and that the odds against FDS plugging them right for my system were astronomical, so I'd ordered the Shugart manual. That was a good move. The manual made it glaringly obvious that floppy technology had changed quite a bit since my disk controller, a venerable CCS 2422, had been designed.

My first problem was how to control the drive motors. The old drives rotated all the time but only closed the heads onto the disk when the 1793 asserted the head-load line (clack!). When five index holes passed without any activity, the 1793 would automatically reset the head-load (clink!) so the disk could turn freely.

The new drives loaded the heads as soon as a disk was inserted. The way their options were strapped on arrival, they would rotate the disk as long as the drive-select line was asserted. My BIOS (which followed the original CCS BIOS) never cleared the drive-select port, so the last-used drive would rotate indefinitely—with the heads pressing on the disk. Not good.

The new drives allowed a jumper option that would start the motor only when the drive was selected and the head-load signal was asserted. Another option would keep it turning for 5 seconds after the fall of head-load then stop it. These options solved the first

problem very nicely.

They also created the second problem. The new drives might take 168 milliseconds to come up to speed after head-load was asserted. On the other hand, they might be ready to go instantly—if the motor were still turning from the last access. How could the 1793 controller chip know when to wait for the motor to start and when it needn't wait?

Well, these drives emit a signal that was new to me. The line, True Ready, is pin 8 of the interface. The drive asserts it when the motor is up to speed and the head is stable; this is exactly what the 1793 chip needs to tell it to go ahead with a read or write. What's more, the 1793 has an input, Head-Load Timing, that takes exactly that information. Unfortunately, pin 8 on my hoary old disk controller board is a no-connect. The Head-Load Timing input to the 1793 is developed by a 50 millisecond one-shot on the board itself.

OK, I told myself, that's why I own an X-acto knife and a soldering iron, isn't it? It took most of a weekend to work out that it is possible, using only existing components of the CCS 2422 board, to implement True Ready as the Head-Load Timing signal of the 1793. And it worked. I got the Shugart 860s reading and writing with all four heads.

The Decapitation

After a few days, drive B started to fail intermittently. Sometimes it was "record not found"; sometimes it was a CRC error on a sector ID; sometimes an error on a sector proper. Strange thing, it was worse with Dysan disks than with junk disks.

OK, now I had a choice. I could refit the old drives, pack up the new ones, cart them to the UPS office, pay to ship them back to New Jersey, and wait (how many weeks?) for them to come back. Or I could take off the cover and have a peek myself. One little peek wouldn't hurt.

With the covers off, I could hear the problem. The rotational speed was varying enough to be audible. Was it a bad motor? No! There was simply too much pressure on the disk jacket. The drive has a spring-loaded shoe that bears down on the disk jacket. I put out a cautious pinky and lifted the shoe: the disk sped up and a bad sector became readable. I released it: the drive slowed down audibly and an error occurred. Maybe the Dysan disks had thicker jackets or a little more internal friction.

This is the point at which I lost the patient. I looked into the drive and decided that the pressure of the shoe was set by the position of a big plastic cam. The same cam raised the head and tripped the eject arm when the drive was opened. Its position was maintained by two setscrews. They were covered with touch-me-not varnish. Should I ship the drives back, or should I get out a screwdriver and try an adjustment? You can guess what I did.

The cam adjustment was tricky. The cam worked against a heavy torsional spring that fought every move. Worse, after I started tinkering, it dawned on me that it wasn't the cam at all. The pressure shoe just floated in it, held down by a weentsy little spring of its own. I got the cam back where it should be, more or less, fixed the shoe pressure, and buttoned it up. And it worked! My goodness, was I relieved. Maybe I had flubbed a little, I told myself, but, by golly, I had diagnosed and repaired the problem.

Ah, the bliss of ignorance. That big spring was working away on the headlift cam, gradually shifting the setscrews. The head was lifting less and less when the disk was ejected. I noticed a little extra noise when the disk popped out of the drive but thought nothing of it. Until the drive quit working.

Catastrophe!

It wouldn't read or write on side one. I took the cover off again and looked inside, and a bowling ball dropped into my stomach. The upper head was dangling on its fragile wires, completely detached from the arm!

A quick look with a dental mirror revealed the awful truth. In the Shugart

860, the upper head is suspended in a plastic frame. The sum total of its support is a pair of tiny bronze straps. They are less than a millimeter wide and no thicker than a sheet of paper. If the head doesn't lift far enough, the edge of the disk jacket will tweak it as the disk pops out. The head will flop back and forth on these flimsy metal straps. Do it often enough, the straps will fail and the head will dangle on its wires.

OK, there I was with a thoroughly inop drive and not a prayer of making a warranty claim. So I started making phone calls, trying to find a professional to fix the drive. What I got was more bad news bulletins than a stock ticker

in 1927.

The first guy I talked to told me that, although Shugart 800s were "built like Mac trucks, they run forever," the 860 half-heights were "uh, let's say, a little fragile. You shoulda got the Tandon 848-2, it's a real nice drive." Thanks for the tip; what about replacing the upper head?

No, the only repair unit for the heads was the entire head assembly, upper and lower both, and it cost just under \$300. Fine, I said, that's less than a whole drive, when can we get one?

That could be a problem, I was told. "We think of Shugart as being three miles and thirty days away. We might

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get lucky and have your part in three weeks. Probably more, though, since Shugart has given up on that drive."

They have? I called Shugart. Yes, a nice lady in Marketing told me, they were no longer selling the 860. She thought it might be because the market for 8-inch drives was so much smaller than that for 5-inch ones. No, it definitely was not because the 860 was a bad drive. They were still making the 800 model, and they'd support the 860 for several years. Repair parts? Certainly; just call our one and only official distributor in Los Angeles.

The Tandon 848

So I've got not just a busted drive but a busted *orphan* drive, see? I'm out at least three weeks and \$300 for a new head assembly, then I'll have a working orphan drive. Rubbish. I called Priority One Electronics. What about the Tandon? Sure, it's a fine drive, we have 'em in stock, and they are 100% compatible. Plus they're on sale.

The new Tandon came in only two weeks, but the Tandon manual didn't; it was back ordered. The drive has at least as many jumper options as the Shugart, but (of course) they had different names silk-screened onto the board. The piece of cardboard that was stuffed into the drive's gullet to keep the heads apart during shipping had a cryptic table of jumper options. Some of the factory settings it showed didn't match the reality of the board. Others it named turned out not to be jumpers at all but traces that could be cut or soldered.

I called Priority One repeatedly; I even talked them into reading me the Tandon manual over the phone. The guy who read it to me was not the world's best interpretive reader. I got the impression that I couldn't use True Ready and control the motor with Head-Load Timing at the same time. There were too many ambiguities.

Finally, the manual arrived. With tax it cost just under a dollar a page. You know, the one really good thing about the Shugart 860 drives is the manual. It is clear and well organized. The Tandon manual isn't. Its description of the jumper options is cryptic, ambiguous, and actually wrong in a few places. No wonder I'd been confused by a hasty reading over the phone!

Fortunately, the drive itself is nice.

Much better than the Shugart. I can't say how robust the head suspension is, since the head assembly is invisible and I have no intention of taking a screwdriver to that drive. But it's much quieter in operation. The Shugart (the remaining 860 is now drive B) makes a harsh buzz when it seeks and loud snapping noises as its door-lock solenoid operates. The Tandon seeks with an oily purr that's barely audible over the hum of a cooling fan.

The Shugart doesn't know where its head is when it powers up; until it is homed, it won't read reliably. The Tandon homes itself when power is applied, so it is ready to go on the first command.

The Tandon isn't really compatible, though. Oh, it responds to the same commands the same way—almost. But its power supply connector isn't the same, and the threaded holes for side mounting are in different places than the Shugart. The Shugart door handle opens left; the Tandon opens to the right. The Shugart will seek to, and write on, track 77 (the 78th track), but the Tandon will go not-ready if you try that. I know, because my disk format routine had an off-by-one error that didn't show up until I tried it on the Tandon.

"Anyway, that's how I lost a drive and some downtime and about \$600. I have my half-heights now, though, even if they are of different makes. Plus an extra drive I can cannibalize for spare parts—poor headless thing."

The Intern tossed the crumpled coffee cup toward a box of bloody listings. "Thanks for listening." He wandered off down the hall, a rumpled figure under the cold lights. The wind howled outside, and the rain streamed down the windows.

DDJ

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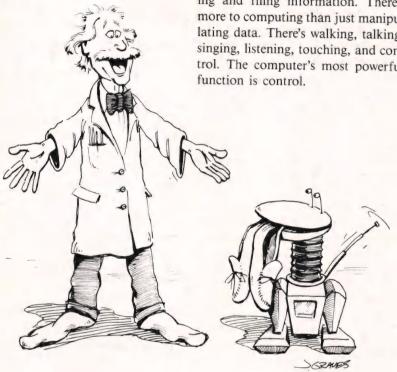
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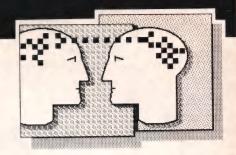
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CP/M EXCHANGE



by Robert Blum

I've run a little late completing the subroutine to handle buffered disk I/O that I promised to run this month. In its place I want to talk about the early years of CP/M and how it became the most popular operating system for 8bit computers. I also want to touch on the benefits of using disk sectors larger than 128 bytes. Both of these topics were requested by some nice folks that I recently met at a user group meeting. They thought a discussion without the bits and bytes, or at least minimizing the technical details, would be most helpful—especially for the hobbyist who is new to CP/M and isn't familiar as yet with assembly language programming.

In the Beginning

From its inception, CP/M was targeted for the 8008's successor and Intel's latest brainchild, the 8-bit 8080 microprocessor. While a software consultant for Intel, Dr. Gary Kildall had written the earliest versions of CP/M for his own experimental machine. His original development system included one of Shugart Associates first 8-inch disk drives, which had just come from equipment life testing and was about to take its last step. Fortunately, plenty of magic was left to finish CP/M. No one realized it at the time, but the combination of the 8080 CPU, CP/M, and two 8-inch disk drives was soon to become the standard of the then fledgling personal computer industry.

Within a few years, the price of the 8080 had dropped from its original \$400 - \$500 level to one that permitted a number of small companies (many working out of basements and garages) to begin shipping reasonably priced microcomputers, mostly as kits, to a growing audience of enthusiastic hobbyists. Although you couldn't do much more than programming for grins in 8K of memory, it became obvious very quick-

ly that one important element was missing: an operating system capable of supporting disk file management.

The decision of several companies not to develop their own operating system and the inability of others to deliver a suitable product were primarily responsible for CP/M's becoming today's pseudo-standard. Several of the first companies to adapt CP/M for their products made disk drive subsystems for S-100 bus-compatible machines. The prominence of the S-100 bus at this time focused even further emphasis on CP/M and detracted from other specialized research and development projects.

The average early microcomputer sported a 2 MHz clock rate and generally used less than the maximum 64K of main memory, placing obvious limitations on the resources available to CP/M. This limited environment helped sharpen the basic design goal of CP/M: to provide a straightforward, no nonsense approach to a single-user operating system.

Within just a few years, CP/M was offered on practically every 8080-compatible 8-bit computer system built and was being adapted or at least planned for every new system to come along. This nearly universal acceptance of CP/M heightened the need for enhancements to the disk interface portion of the BDOS to ease the adaptation process.

Version 2.2 of CP/M was brought out in the early '80s. Its most exciting feature was a new, flexible, table-driven generic disk interface and the ability to address larger capacity disk drives. Not only was the job of integration greatly simplified, but the flexibility of the new interface allowed many new storage options to be offered by the computer manufacturers. It soon became common to offer several different disk capacity options for the

same computer.

Within the last year, DRI has released its latest revision of CP/M called CP/M Plus. This version turns out to be a completely new system, structured for an environment that includes expanded memory of at least 96K. As expected, when given enough memory to perform all of its magic, CP/M Plus can improve the runtime of programs that make heavy use of the disk system.

For over 10 years, CP/M has led the way in 8-bit operating systems; it continues today to maintain its position of prominence by having more installed systems than any competitor. Since those early days, CP/M has gone through a number of upgrades but no change in philosophy. It remains the same rock-solid generic disk operating system that we have grown up with.

How It Operates

CP/M is simple-minded in its dealings with the host I/O system (BIOS). The rules are few; as long as they are religiously followed, the marriage will remain a peaceful one.

The portion of CP/M that intercedes between the computer's hardware and the BDOS is the BIOS. Contained in the BIOS are all the machine-dependent routines needed to interpret CP/M's language to that of the hardware system. One major task of the BIOS, and probably the most important, is to maintain peace and order over the disk system.

Most of the time during a disk I/O operation is spent waiting for the drive's mechanical apparatus to properly position itself for the data transfer. Consider for a moment the number of interrelated mechanical events that must happen in precise order to prepare for a single data transfer. First, the spindle motor is started and allowed to stabilize at a constant speed.

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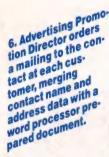
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Next, the heads are loaded against the disk surface. Finally, the heads are stepped in or out to the proper track. At this point, to search for the requested sector and transfer it requires only a few additional milliseconds.

To complete the startup cycle and read one record on even the latest model disk drive can account for a delay of as much as one-third to one-half of a second. If this seems like a long time, it is—certainly long enough to be worthy of efforts to reduce it to a bare minimum. It's not hard to estimate how slowly a program would run if a complete startup cycle were necessary for each data record read. It stands to reason that as much data as possible should be transferred during each cycle.

The complexity of the BIOS is largely dependent on the hardware and whether the physical disk records are larger than CP/M's logical sector size of 128 bytes. If, for example, the disk being used is formatted with a physical sector size of 128 bytes, the BIOS has the fairly uncomplicated job of instructing the disk controller hardware where to put each data sector as directed by the BDOS. Depending on the hardware, this task can be as simple as loading several registers with the track and sector values and initiating the I/O operation by loading an instruction into the command register. If you are using one of the newer intelligent disk controllers that address the disk through data block numbers rather than by actual sector and track numbers, the job is slightly more complicated; some calculations are necessary but only a few.

The introduction of CP/M 2.x

brought with it the ability to easily use physical sector sizes larger than the standard logical sector size of 128 bytes. At this time, two new buzz words became prominent when referring to disk systems: single-density describes disk formats in which both the logical and the physical sector sizes are 128 bytes in length, and double-density is used to describe almost any disk format where more than one logical sector is contained in a physical sector. This new version of CP/M also made it easier for the system integrator to fine tune the disk system to the computer system.

There are two reasons for complicating what was once the very simple issue of disk I/O. Changing the physical disk sector size from 128 to 512 bytes, for example, should in theory increase the disk system's throughput by a factor of four because four times as much data is being moved in one operation: for each sector read from the disk, the next three reads should be satisfied directly from memory and at memory speed. In theory this may be true, but other factors generally prevent an increase of this magnitude. A goal of twice as fast is probably more in order.

Another benefit of using larger disk sector sizes is that you can store more data on the same size disk. Most of the recording area of a disk is used for storage of control information that separates the physical sectors and describes the contents of the data record. For example, each data record area is preceded by a series of sync bytes to assist the hardware in locking onto the recorded signal. Once in phase with the recorded signal, the data record descriptors, the data record, and a few error-checking bytes are read from the disk. To in-

crease the data content in each sector from 128 to 512, for example, would require reducing the number of sectors and slightly changing the amount of control information and its content.

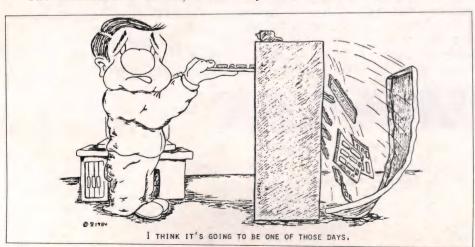
A perfect example of this is the double-density disk format used by Intercontinental Micro Systems (ICM). The standards published by Western Digital (ICM uses its 2793 disk controller chip) specify that, to ensure reliable operation, a maximum of 15 - 512 byte sectors be allocated to each track of an 8-inch disk. After exhaustive testing, ICM found that it could reliably format each track with 16-512 byte physical sectors. Formatting its double-density disks in this way permitted ICM to achieve the maximum possible disk capacity without using the extra memory required by an even larger sector size.

Using Large Sectors

To use physical sectors larger than 128 bytes requires that two routines be present in the BIOS. The first routine, blocking, maps CP/M's logical sector requests into the larger host buffer. The other routine, deblocking, performs the opposite operation of extracting the proper logical sector from the host buffer.

Imagine for a moment that a memory area 512 bytes in length has been set aside and divided into four 128-byte increments. Each of the 128-byte increments corresponds to a CP/M logical sector, and the entire 512-byte area corresponds to the host physical sector. When CP/M makes its first logical write request, the data is moved from the DMA address to the first slot in the host buffer. The second CP/M write request is placed into the second position of the host buffer, and so on until the fourth and last logical sector slot has been filled; the host buffer now must be emptied or data will be destroyed. From this example, we can see that using larger physical sectors allow the number of actual disk I/O requests to be reduced.

When CP/M makes a logical sector read or write request, the request is accompanied by the actual sector and track numbers. These two values are all that is needed to calculate exactly which host buffer contains the requested sector and where the sector is within



the buffer. If the request is a read, the host buffer is read into memory and the logical sector extracted. The buffer is kept intact in hopes that other sequential read requests will be made that can be satisfied directly from memory.

On the other hand, write requests are a little more difficult. Not only must the system place the record to be written into the proper slot in the host buffer, but any other data in the same buffer must not be disturbed. This usually requires that the host buffer first be read into memory, then modified by inserting the logical sector, and finally written back to disk. As before, the action of restoring the updated buffer on disk is held up in hopes that another sequential write request will be made—which, again, would be handled at memory-to-memory speed.

Everything that I have talked about works very well most of the time. A few situations, however, create severe buffer conflicts requiring two or three times more disk I/O activity than would be required on a single-density system. The cause of this problem is the bottleneck created by using only one memory-resident I/O buffer. For example, many traditional batch processing programs are written to input an old master file and write an updated one based on maintenance transactions input from a third file; each time a record is written to the output file, the output host buffer must first be read from disk, updated with the new logical record, then written back to disk. The additional I/O activity is due to the necessity of repeatedly writing the host buffer just updated back to disk to make room for the next incoming master file and transaction file record. This amounts to two extra I/O operations that wouldn't be required on a single-density system.

Several methods have been used to alleviate this problem. One allocates an extra memory buffer reserved exclusively for write operations. CP/M Plus also uses buffer memory, almost as much as you can give it, to buffer host sectors. Even at its worst, using larger physical sectors will give you a much more responsive disk system.

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Varieties of Unix

An Introductory Guide to Microcomputer Unixes

ow available on computers from dozens of manufacturers ranging from Altos and Apple to Zentec and Zilog, including systems as small as the IBM PC and as powerful as the Cray supercomputer, Unix is well positioned to become a widely accepted standard operating system. According to a recent report issued by Montgomery Securities, "It is difficult to underestimate the importance of Unix to the computer industry In the business microcomputer world, Unix will simply become the industry's standard operating system. MSDOS will evolve to be Unix compatible and will be available as a subsystem under Unix."1

By the time you acquire a general understanding of why Unix microcomputers are so attractive, you are likely to have discovered that there are several varieties of Unix, plus several "Unixlike" or "Unix look-alike" systems. What are the differences between these systems, and do the differences make any difference?

owner of the Unix trademark. True Unix systems are developed by purchasing a tape containing Unix source code from AT&T and enhancing and massaging the AT&T programs in accordance with the requirements of the intended environment. For example, a user-friendly front end menu system might be added to shield novices from the possibly intimidating terseness of the standard Bourne shell user interface.

Imitation Unix systems, such as Coherent, Cromix, Idris, QNX, uNETix, and UNOS, mimic Unix but do not contain the AT&T source code. Given the momentum now enjoyed by true Unix, the advantages, if any, of an imitation Unix ordinarily cannot compensate for the dangers involved in traveling along a nonstandard path. Of course, it all depends on your requirements: if you are doing nothing but word processing, and the only Unix feature you care about is the Unix tree-like hierarchical file structure, and are sure you won't want to use

There is no standard Unix. It's not even clear exactly what programs are defining features in any given implementation. Is it possible to extract a hypothetical standard from the union or intersection of existing implementations?

True versus Imitation Unixes

To qualify as true Unix, an operating system must be licensed by AT&T,

your computer for anything else in the future, then any number of operating systems imitating the Unix file structure could suffice. But if you care about the availability of a wide selection of software, want to stay flexible, and prefer to avoid unnecessary risks, you should stick with true Unix.

Cromemco's Cromix exemplifies some problems of look-alikes. Cromemco decided to imitate partly be-

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cause Unix licenses were very expensive at the time (the price has since plummeted) and partly because major modifications, such as translating everything into Z80 assembly language and eliminatin- many capabilities. were needed to accommodate the limitations of an 8-bit 64K Z80 environment. Cromemco did a good job of fitting many Unix features into that environment: back in 1981, when it was first released, Cromix was impressive. In 1984, however, powerful, new, low-cost hardware makes it unnecessary to accept the constraints of an 8bit processor with a 64K address space.

By using Z80 assembly language rather than the high level C language, Cromemco lost a major advantage of Unix: efficient portability to new hardware. Thus moving Cromix to the 68000 was a long and difficult task.

Except for software using Unix features that Cromix failed to implement, porting Unix software to the 68000 Cromix is feasible. The task of developing a Cromix version, however, typically ranks low (if it appears at all) on a Unix software developer's list of priorities; the result is that a person who opts for Cromix can make use of only a small fraction of the Unix software available to the owner of a true Unix system.² (In July 1984, Cromemco acknowledged the importance of standard Unix by unveiling new computers that run Unix System V.)

Because the Unix imitations, on the face of it, are not in the running, and because an analysis sufficiently detailed to provide insight into which ones might be worth considering in which special circumstances would have to be quite lengthy, the lookalikes will not receive further attention here.

A brief history of Unix will be presented to set the stage for a discussion of current microcomputer Unixes. Stanix, a hypothetical mainstream bare-bones version of Unix, then will be described in some detail, not only to provide general information about Unix but also to illustrate what is involved in analyzing a Unix system and to provide a paradigm useful as a starting point for describing various Unixes. Fortune Systems' FOR:PRO, Xenix, PC/IX, VENIX, System V, and 4.2 BSD will be examined next. Al-

though this survey includes most of the main microcomputer Unixes, some significant versions are regrettably omitted due to lack of sufficient information about them at the time of writing. Vendors annoyed by inadequate coverage here are invited to provide details about their Unix implementations to the author, so that a more comprehensive survey can be provided in a later article.³

A Brief History of Unix

Unix was developed in the early 1970s at Bell Labs by Ken Thompson and his associates. From the outset, the intent was to create a convenient and flexible environment for program development. Contrary to the tendency at that time to focus on maximizing the efficiency with which expensive hardware could be utilized, the developers of Unix concentrated their attention on efficient use of human resources (and, in particular, on efficient use of software developers' time). As hardware costs plummeted and software development costs rose, the wisdom of that approach became increasingly apparent.

Prior to Unix, operating systems had been written in assembly language. and Unix itself was originally written in assembly language for the DEC PDP-7 processor. In 1972, however, Unix was largely rewritten in C, a new language developed at Bell Labs by Dennis Ritchie, that combines low-level power with high-level convenience and portability. (A small part of the Unix code—about 5 percent—remains in assembly language for the sake of efficiency and because of the occasional need to use a hardware function not accessible via C.) Although programming the operating system in a highlevel language makes its use of the hardware somewhat less efficient, that loss in efficiency is more than offset by the relative ease with which the code can be understood, maintained, enhanced, and ported to new hardware.

The distribution of Unix was constrained by federal restrictions on the AT&T monopoly's participation in the commercial marketplace; in 1973, however, Unix Version 5 (not to be confused with System V) was released to educational institutions and to some commercial organizations. PDP-11 minicomputers were widely used in

universities at that time, and Unix rapidly became popular as an operating system for those machines.

Version 6, released in 1975, was made available to commercial establishments as well as nonprofit organizations, but the price for the commercial market was very high, documentation was minimal, and support and maintenance were not provided.

Version 7, which appeared in 1978, contained enhancements including support of large files (up to one billion bytes), a standard I/O library, a more capable C compiler, an improved shell, and more sophisticated typesetting software. The University of California at Berkeley, Brian Kernighan's alma mater,⁴ ported Version 7 to its VAX minicomputers, and that was the configuration that was soon widely used at universities and other noncommercial institutions.

Bill Joy and his associates at the Berkeley Computer Science Department introduced a wide variety of enhancements, including the vi screen editor, the C shell, curses, and termcap. Berkeley enhancements were made available to the outside world in the 4.1 Berkeley Software Distribution (4.1 BSD). A more recent Berkeley version of Unix, known as 4.2 BSD, offers virtual memory, networking capabilities and faster file access.

System III, AT&T's first serious attempt to market Unix as a product, appeared in 1981. System III contained features from the Programmer's Workbench (PWB), which includes utilities such as the Source Code Control System (SCCS), Remote Job Entry (RJE), and nroff and troff.

System V, announced in 1983, is the version of Unix most widely used within the companies that once constituted AT&T and it is this version that the reconstituted AT&T would like to see accepted as a universal standard. System V contains many of the 4.1 BSD enhancements. It features Interprocess Communication (IPC), which employs named pipes, messages, shared memory, and semaphores. In January of 1984, AT&T announced a new release of System V, V.2.

Constant versus Variable Features

One of the reasons for Unix's populari-

ty is that it contains features now widely recognized to be essential for efficient operation of a multi-user system. The hierarchical file structure allows sensible organization of data. The file permission system protects files from unauthorized access or destruction. The password system limits use of the system to legitimate users. Convenient background execution improves user productivity by making it easy to complete time-consuming data processing tasks without interfering with ongoing work.

These basic features are explained in numerous introductory Unix texts, are fairly well known, and do not differ significantly from one version of Unix to another. Therefore, although they are extremely important, they will not be discussed further here. (For an explanation of such Unix fundamentals, see *Understanding Unix* by Groff and Weinberg, *The Unix Operating System* by Christian, or *The Unix Operating System* by Bourne.)

The following sections concentrate on two aspects of Unix that vary far more from version to version and are much more difficult to grasp: utilities and system calls. It is difficult to become familiar with these areas of Unix due to the vastness of the territory they cover. A typical Unix system has hundreds of utilities and dozens of system calls. Mastering many utilities requires a substantial effort because of their complexity, and understanding what certain system calls do (or even what a system call is) requires an understanding of internal computer operations more advanced than that enjoyed by many users.

The Utilities of Stanix

We will examine the utilities first because they are of primary interest to the majority of Unix users. The limited space available here makes it impossible to explain the utilities thoroughly, so we will simply list the most prevalent ones with a brief indication of what they do. The resulting checklist can serve as a starting point for detailed analysis of the utilities provided by various versions of Unix. Our immediate objective, however, is to use this list as a means of revealing, in general terms, both the contents of the Unix toolkit and the extent to which

acctom	searches and prints process accounting files
adb*	general purpose interactive debugger
admin	creates and administers Source Code Control System files (SCCS is a set of utilities for the administration of software development or document development projects. SCCS enables you to keep track of changes made to source code or text files, including the date of each change, the nature of the change, who made the change, etc.)
ar*	maintains archives and libraries
arcv	converts archives to a new format
as	assembler (all versions have assemblers, but they're not all called as)
at	executes a command at a specified future time
awk	a language for pattern scanning and processing
banner	prints large letters
basename*	removes "/" and "." extensions from a filename or path name
bc*	interactively processes arbitrary-precision mathematical expressions
bdiff	reports differences between two big files
bfs	scans big files
cal*	prints a calendar
calendar	reminder system
cat*	catenates (i.e., prints or lists) one or more files
cb*	C program beautifier
cc*	C compiler
cd*	changes the current directory
cdc	changes the delta commentary of a SCCS file
chgrp	assigns a file to a different user group
chmod*	changes file access permissions
chown*	changes the ownership of a file
chroot	changes the root directory for a process clears an inode
cmp*	compares two files
col*	takes reverse line feeds out of a file
comb	combines SCCS deltas
comm*	reports lines common and uncommon to two sorted files
ср*	copies a file or set of files
cpio	copies file archives in and out
cref	generates C program cross-reference listings
cron	executes commands contained in /etc/crontab at predesignated
	times
crypt*	encrypts or decrypts a file
csh	Berkeley's C shell
csplit	context file split
ctags	creates a function name index for a C or Fortran 77 source file
cu*	files or for functioning as a terminal on the called system
cut	cuts out selected fields from each line of a file
CW	prepares constant width text for troff
date*	displays or sets the system date and time interactively processes arbitrary-precision mathematical
dc*	expressions
dcheck	checks the consistency of file system directories
dd*	converts and copies a file (e.g., to a non-Unix system)
delta	changes a SCCS file
deroff*	removes nroff, troff, tbl, and eqn constructs

Table I.
Stanix Utilities

df displays statistics on disk usage diff* shows how two files differ

diff3* reports differences among three files diffmk marks differences between files

dircmp compares directories

du*reports disk usage and file size statisticsdumpdumps selected parts of an object filedumpdirprints the names of files on a dump tape

echo* displays arguments such as strings, filenames, shell variables, and

command output

ed* the old standard Unix line editor, useful in shell scripts

efl extended Fortran language

egrep* searches files for matches to a full regular expression env sets the environment for command execution

eqn formats mathematical text for nroff or troff
ex Berkeley's improved version of the ed editor

expr* evaluates simple mathematical expressions and extracts strings

f77 Fortran 77 compiler factor factors a number returns a false value

fgrep* searches files for matches to a fixed string

file* reports the type of a file (executable code, text, etc.)

find* locates files with specified properties

fsck file system check with automatic repair option

get gets a version of a SCCS file getopt parses command options

gets suspends shell script processing to get user input getty sets the terminal mode and baud rate during startup

graph draws a graph

grep* searches for a text pattern in one or more files

head displays the first lines of a file

help provides helpful information about the SCCS

hyphen finds hyphenated words
icheck checks file system consistency
id prints user and group IDs and names

init* sets the environment for all user programs and allows users to log

on to the system

join* produces a join of two relational data base files

kill* terminates designated processes

ld* link editor

lex* generates lexical analyzers line reads a line from standard input

lint* reports possible problems in C programs

In makes a link to a file

login logs the current user out and logs in a new user

logname gets the current login name look finds lines in a sorted list

lorder finds the ordering relation for an object library

lpq* displays the printer queue

 lpr*
 spools a file for printing on a specified printer

 lprm*
 removes an item from the printer queue

 ls*
 displays a list of files in a directory

m4 a macro processor

mail* sends mail to other specified users make* maintains sets of related program files

makekey creates an encryption key displays Unix Manual pages

Table I (cont)

various versions of Unix are the same at the utility level.

Utilities are simply programs that serve as useful tools. According to the Unix philosophy, each utility should perform a single task well. Power and productivity result from creatively combining the basic functions provided by the utilities. Some tasks performed by Unix utilities, such as copying or removing a file or logging in and out, are fundamental necessities for operating the computer. Thus, they are naturally regarded as part of the operating system (by definition an operating system is software that performs such fundamental functions). Traditionally, however, many other programs that are far less essential to operation of the computer have been regarded as part of the various Unix operating systems. To some extent, this is just a result of all programs that AT&T decides to provide on the Unix release tapes becoming automatically part of Unix. There is no other reason why Fortran, for example, is considered part of Unix and COBOL is not.

The Stanix utilities described in Table I (page 26) are standard in the sense that each appears in at least three of the following six major versions of Unix: Version 7, 4.1 BSD, System III, System V.2, FOR:PRO, and Xenix 3.0. This selection procedure, although somewhat arbitrary, produces a list of the mainstream utilities while winnowing out exotic commands like rp6fmt, vpmc.u3b, 300s, and 4014, which are of no importance to most Unix users. Of the 195 commands selected by this procedure, 92 are found in all six of the Unix versions; these are indicated in the table with asterisks.

Stanix System Calls

System calls are to a program what utilities are to the user: they are commands used by the program to tell the operating system to perform some basic action, such as opening a file for reading or creating a new process. Programs can also interact with the operating system by calling functions. The difference between the two methods is that the code for system calls is embedded in the operating system itself, whereas the code for a function must be obtained from outside the operating system.

As in the case of utilities, the list of

Stanix system calls in Table II (page 30) was derived by selecting those found in at least three of the following Unix systems: Version 7, 4.1 BSD, System III, System V.2, FOR:PRO, and Xenix 3.0. As before, asterisks indicate inclusion in all six of these Unixes.

Comparison of Six Major Versions of Unix to Stanix

Having presented Stanix, we can now examine the extent to which various versions of Unix differ from this standard. For each of the six major Unix versions—Version 7, 4.1 BSD, System III, System V.2, FOR:PRO, and Xenix—Table III (page 32) shows the number of Stanix and non-Stanix utilities contained in each version and the number of Stanix and non-Stanix system calls in each version.

The figures in Table III should not be used as a means of evaluating these versions of Unix. These figures are presented only as a method of conveying a general idea of the extent of these systems, the degree to which they overlap, and how much they differ. Although much effort has been devoted to arriving at accurate figures, inaccuracies are inevitable.

A major problem in compiling such statistics is that available listings of commands and system calls either contain omissions or, as in the case of complete sets of Unix manual pages, are too voluminous to digest in the time that was available for this study. The Man Pages tables of contents (the chief source used here for Version 7, 4.1 BSD, System III, and System V) fail to reference commands like egrep and fgrep, which are documented on the same man page as grep. Obvious omissions of this sort have been corrected, but others have probably slipped through.5 In particular, it is likely that the number of additional system calls shown in Table III for these systems is misleadingly low.

The number of additional utilities included is less meaningful than it might seem at first glance; some additional available commands were not counted because they are not considered operating system commands. This is especially true of FOR:PRO and Xenix. For example, communication utility programs available from AT&T for use on System V tend to become

mesq* controls whether other users can write to your screen mkdir* makes a directory mkfs* constructs a file system on an unmounted device makes a device or special file mknod* prints documents using the mm macros mm typesets documents, slides, and viewgraphs mmt displays a file one screen at a time more mounts a file system mount* moves a file or set of files mv displays a table of path names and inodes for a file system ncheck* changes your group identification newgrp* sets the priority at which a program should run nice* line numbering filter nl displays object file symbol names nm* executes a command that will ignore keyboard interrupts and will nohup continue after the user logs out a text formatter nroff displays a file in requested formats such as octal, hex, and ASCII od* compresses and uncompresses files pack passwd* assigns or changes an account's password merges the same lines of several files or successive lines of a single paste paginates and adds an optional header pr* prof* profiles program execution prints the deltas of a SCCS file prs displays information about current processes ps* displays a table of system status information based on the state of nstat the kernel permuted index generator ptx prints the working (current) directory name pwd* summarizes the file system ownership quot converts an archive file to a random library format that can be loaded ranlib efficiently rational Fortran preprocessor ratfor* invoked by init to run during startup and shutdown rc regular expression compiler regcmp restores the file system incrementally restor rm* removes a file or set of files removes a delta from a SCCS file rmdel rmdir removes a directory displays current SCCS editing activity sact compares two versions of a SCCS file sccsdiff symbolic debugger sdb side-by-side difference program sdiff sed* stream editor establishes a mnttab table setmnt the standard Bourne shell sh* shutdown the normal system shutdown program reports object file size statistics size* suspends shell execution for a specified interval sleep* sorts lines of a file by specified fields sort* checks spelling spell interpolates a smooth curve spline splits a file into pieces split* strings locates printable strings in a binary file strip* removes symbol table and relocation bits

struct	Fortran to RATFOR translator
stty*	displays and sets terminal characteristics
su*	temporarily changes your user ID so you can access restricted files and programs (The password must be given or the command will fail.)
sum*	calculates a checksum for a file to detect bad blocks
sync*	updates the super block on the hard disk and ensures that all disk writes have been completed
tabs	sets terminal tabs
tail*	displays the last lines of a file
tar*	tape archive utility
tbl	formats tables for nroff and troff
tc	typesetter simulator
tee*	copies standard input data, transferred through a pipe, to a specified file and to the standard output (A pipe passes the output of one command to the input of another.)
test*	tests to see if a condition exists or if a relational expression is true or false
time*	displays time statistics for the execution of a command
touch*	accesses a file without changing it to update its "date of latest access" field
tp	manipulates a tape archive
tr*	translates or filters specified characters
troff	formats text for typesetting
true*	returns a true value
tset	sets shell variables to accommodate a specific type of terminal
tsort*	sorts contents of a file based on a partial ordering of items in the file
tty*	displays the name of the current terminal's device file
umask	sets the permission default mask used in file creation
umount	unmounts a file system
uname	prints the name of the Unix system being used
unget uniq*	reverses a get on a SCCS file
units	eliminates successive duplicate lines found in a file
update	measure conversion program
uuclean	periodically updates the super block on the hard disk with sync to minimize data loss in the event of a system crash cleans up the uucp spool directory
uucp*	allows conhisticated automated agreement at the second
uux*	allows sophisticated automated communication between Unix systems
val	executes a command on a remote Unix system
vc	determines if a file is a SCCS file with specified characteristics converts lines of input using specified arguments and control statements
vi	a sophisticated screen editor developed at Berkeley
vpr	Versatec printer spooler
wait*	stops interactive shell processing until all background processes are completed
wall*	broadcasts a message to all logged on users
wc*	reports the number of characters, words, lines, and pages in a text file
what	identifies SCCS files
who*	shows who is logged on
whodo	reveals who is doing what on the system
write*	allows interactive communication with other logged on users
xargs	constructs argument lists and executes commands
yacc*	yet another compiler compiler: a compiler creation system
yes	repeatedly displays a string or argument

part of the operating system by fiat, but when such a utility (e.g., a VT100 terminal emulator) is offered by Fortune, it is not considered part of FOR:PRO.

Finally, bear in mind that in some cases two versions of Unix have a utility (or system call) with the same name, but the functions performed, or the options available governing just what can be done with the utility or system call, are different.

With all their shortcomings, the numbers are still of interest. They show that the major Unix versions share a large body of commands that are essentially the same from one version to another. The body of shared utilities is sufficiently large that people familiar with one version will feel at home with another. They, however, may be annoyed at the lack of favorite utilities: programmers accustomed to Berkeley versions, for example, are likely to be disturbed when they find the C Shell (csh) is missing from the AT&T versions.

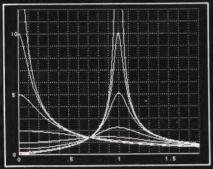
This analysis of the extent to which major Unixes overlap suggests two guidelines for the evaluation of any particular version of Unix: (1) if the version does not contain a healthy majority of the 195 Stanix utilities, it is, on the face of it, seriously incomplete, and (2) it is important to check to be sure that all the utilities you will need are available. Of course, novices who have no idea what utilities will be needed and who intend to avoid direct interaction with the operating system can apply the second guideline only by relying on expert assistance, the recommendations of experienced users, or the general reputation of the product to establish that the system will be able to perform as required.

Having gotten a feel for the extent to which these main versions of Unix differ from one another, let's turn to a consideration of the noteworthy features of various microcomputer Unixes. FOR:PRO will be discussed at some length to illustrate the sort of customization of Unix that is needed to create an effective and friendly microcomputer operating environment. We'll then look at other important microcomputer Unixes, including some that could not be included in the analysis above due to lack of data.

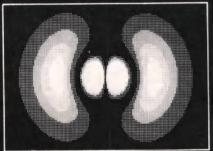
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FOR:PRO

Fortune's FOR:PRO is Version 7 Unix with some System III software, 4.1 BSD enhancements, and Fortune enhancements. Thus, FOR:PRO is a variant of mainstream Berkeley Unix. Like System V, it contains many of the most desirable Berkeley enhancements.

In addition to Berkeley enhancements, FOR:PRO contains enhancements made by Fortune. These are of three kinds: bug fixes, changes for improved performance, and alterations needed to adapt Unix to a microcomputer environment. We will examine briefly the major changes of the last kind, beginning with alterations related to the Fortune computer's floppy disk drive.

The Unix source code provided on AT&T's distribution tapes is designed for use on a minicomputer that employs tape drives for backup and initial loading of programs. Although a tape

backup unit is available for the Fortune micro, the floppy disk drive included with the base system has to provide backup capability for users who don't have the tape streamer; the floppy drive is also normally used for new software installation. Thus, FOR:PRO needs driver software that can interface with the floppy drive.

More interestingly, it needs a version of the cp (copy) command that, unlike the standard Unix cp, is intelligent enough to pause and suitably prompt the user when a new floppy disk needs to be inserted during back-up of a set of files too large to fit on a single disk. Similarly, when restoring a file system from a set of floppies, the Fortune cp needs to know enough to prompt for insertion of the next disk. A less essential Fortune enhancement to cp is the recursive option, which simplifies backup onto floppy disks by enabling a single command to be used to

roughing or j	7
access*	reports the accessibility of a file based on its permission modes and the real user ID of the user
	Grotour door in the second
acct*	initiates records for each system process in a file, or disables the record-keeping mechanism
alarm*	causes a signal to be sent to the current process after a specified number of seconds
brk	changes the amount of memory accessible by the current process
chdir*	changes the current working directory
chmod*	changes the access permission modes of a file
chown*	changes the owner and group of a file
chroot	changes the relative root directory for file identification
close*	closes a file
creat*	creates a new file
dup*	returns another file descriptor for a previously opened file so that the
	file can be accessed by two different file descriptors
exec*	executes an executable file
exit*	terminates a process
fcntl	provides control over open files
fork*	creates an identical twin (child) process
fstat	returns file status
getpid*	returns the process ID of the current process
getuid*	returns the real user ID of the current process
ioctl*	controls the operation of a terminal or other character device
kill*	sends a signal to a specified process, often resulting in termination of that process
link*	assigns an additional name to a previously existing file
lock	hastens execution by virtually preventing the current process from being swapped out of memory
Iseek*	changes the position of the read/write pointer in a file
mknod*	creates a directory or device file
INKHOO.	creates a un ectory or device me

Table II. Stanix System Calls

mounts or unmounts a file system

mount*

copy an entire file system, including the contents of subdirectories.

Unix systems normally require reconfiguration when hardware components such as new memory boards or I/O processors are added. In other words, the operating system must be told about the new hardware and reconstituted to take the new capabilities into account. Some Unix micros lack reconfiguration capabilities, making hardware additions impossible.6 On others, reconfiguration procedures require expertise that new users lack. Fortune avoids this difficulty with auto-configuration: when the Fortune system is powered up, it automatically determines what hardware is in the system and configures itself appropriately. For users with sufficient expertise, additional facilities for fine-tuning the system will optimize performance based on the nature of expected system usage.

A third major Fortune enhancement

is a menu shell that enables novices to use applications programs and administer the system without having any knowledge of Unix. Although the menu system is helpful for beginners, experienced users find it easier to work directly with Unix using either the Bourne shell or the C shell. As users learn more about Unix, they can easily switch back and forth between the Bourne and menu shells, letting the menu system assist with tasks they do not yet know how to perform with regular Unix commands.

As a rule, business microcomputer users are far less tolerant of operating system problems than engineers and computer scientists. For the latter sort of user, a system crash may be a minor annoyance; for the business user, it is more likely to be a major trauma. This is the case both because downtime and lost data can be very costly and because the business user typically has no idea what to do when something goes wrong.

nice*	sets the execution priority of the current process
open*	opens a file for reading, writing, or appending
pause*	suspends execution of the current process until a signal is received
pipe*	allows two processes to communicate by creating a mutually accessible data buffer
profil*	monitors or disables the user's program counter during the execution of a C program
ptrace*	traces and controls a child process
read*	reads data from a file
setpgrp	sets the process group ID
setuid*	sets the effective user or group ID of the current process
signal*	intercepts signals for analysis, often preventing termination of the current process
stat*	reports the attributes of a file
stime*	sets the system date and time
sync*	writes all important memory data to disk
time*	returns total seconds since January 1, 1970
times*	returns system time consumption statistics for the current and child processes
ulimit	gets and sets user limits
umask* umount	sets the default mask used to establish access permissions for files unmounts a file system
uname	returns the name of the current Unix system
unlink	unlinks a filename from a file, and if it is the last filename or
	link to the file, removes the file from the file system
ustat	returns file system statistics
utime*	changes the record of the latest time at which a file was accessed and modified
wait*	suspends execution of the current process until a child process terminates
write*	writes data into a file

Table II (cont)

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c-systems

P.O. Box 3253 Fullerton, CA 92634 714-637-5362 Two years of selling Unix systems to the business marketplace has given Fortune incentive to get the glitches out of its version of Unix. The result is that FOR:PRO is now an exceptionally robust Unix implementation. For the same reason, FOR:PRO now comes with a set of documentation oriented to the needs of inexperienced users.

Xenix

Xenix is Microsoft's adaptation of Unix System III. Like FOR:PRO, Xenix includes ex and vi; it does not include an office oriented word processor comparable to Fortune's Fortune:Word.⁷ For those wishing to adhere to the traditional Unix approach to word processing—an approach more suited to program documentation and writing scientific articles than to office automation—Xenix provides tbl for creating tables and eqn for representation of complicated mathematical expressions.

Also offered are the diction and style programs, which search for awkward expressions, provide a measure of how difficult your writing is to read, and so on. Xenix provides a novice-friendly menu system called the "Visual Shell." Because it's also available for MSDOS systems, this shell offers a means of providing a consistent user interface on all micros in an environment containing both Unix systems and IBM PCs.

In addition to uucp, Xenix includes Microsoft's micnet communications software, a less elaborate system that is somewhat easier to use than uucp. Unlike FOR:PRO, Xenix does not provide on-line documentation. However, a help facility is included in the visual shell. Xenix 3.0 provides utilities for reading and writing MSDOS files, a convenient feature for anyone who wants to run both MSDOS and Xenix on the same computer.

PC/IX

PC/IX is a fairly complete version of Unix System III, developed for the IBM PC by Interactive Systems Corp. of Santa Monica. PC/IX is said to be fast and to contain a good reconfiguration capability, but it is available only as a single user system and lacks some standard Unix utilities. The missing programs include fsck, pstat, ranlib, tar, and the C shell, csh. Although lpr

is not included, a sophisticated print spooling utility called print is supplied instead. INED, a good screen editor derived from the Rand e editor, is offered in place of vi.

Utilities are provided for moving files back and forth between PCDOS

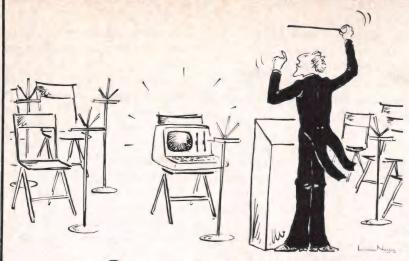
and PC/IX. No menu shell is offered. The troff supplied with PC/IX produces output for only a single phototypesetter, the Graphics Systems CAT. Compilers are available for C and Fortran 77; interpreters are included for BASIC and a version of SNOBOL.

Unix	Version Seven	4.1 BSD	System	System V	FOR: PRO	Xenix
Number of Stanix utilities included that are in all six versions	92	92	92	92	92	92
Number of additional Stanix utilities included (Total number of additional Stanix utilities is 103.)	34	51	74	72	87* (54)	79** (41)
Number of additional non-Stanix utilities included	16	105	79	140	57	49** (22)
Number of Stanix system calls included (Total in Stanix is 49.)	43	41	47	48	44	47** (46)
Number of additional system calls	6	15	1	10	20	23** (15)

This is a projected number for early 1985. The number in parentheses reflects what is officially available at the time of writing (August 1984).

Table III. Comparison of Six Unix Versions

^{**} This number is for the 3.0 release of Xenix. The number in parentheses reflects the pre-3.0 situation.



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Model 7228 Advanced Programmer — Supports all PROM types listed. Super-

—Supports all PROM types listed. Superfast "adaptive" programming algorithm programs 2764 in 1.1 minutes.

Model 7128 Standard Programmer — Lower-cost version of 7228. Supports all PROM types except "A" versions of 2764 and 27128. Standard programming algorithm programs 2764 in 6.8 minutes.

Avocet	Target		CP/M-86
Cross-assembler	Microprocessor	CP/M-80	IBM PC, MSDOS**
XASM04 NEW	6804	\$ 250.00	\$ 250.00
XASM05	6805	200.00	250.00
XASM09	6809	200.00	250.00
XASM18	1802/1805	200.00	250.00
XASM48	8048/8041	200.00	250.00
XASM51	8051	200.00	250.00
XASM65	6502/65C02	200.00	250.00
XASM68	6800/01, 6301	200.00	250.00
XASM75	NEC 7500	500.00	500.00
XASM85	8085	250.00	250.00
XASM400	COP400	300.00	300.00
XASMF8	F8/3870	300.00	300.00
XASMZ8	Z8	200.00	250,00
XASMZ80	Z80	250.00	250,00
XMAC682 NEW	68200	595.00	595.00
XMAC68K NEW	68000/68010	595.00	595.00

Model 7956 and 7956-SA Gang Programmers — Similar features to 7228, but program as many as 8 EPROMS at once. 7956-SA stand-alone version copies from a master EPROM. 7956 lab version has all features of stand-alone plus RS-232 interface.

EPROM: 2758, 2716, 2732, 2732A, 2764, 2764A, 27128, 27128A, 27256, 2508, 2516, 2532, 2564, 68764, 68766, 5133, 5143. **CMOS:** 27C16, 27C32, 27C64, MC6716. **EEPROM:** 5213, X2816A, 48016, 12816A, 5213H. **MPU** (w/adaptor): 8748, 8748H, 8749, 8749H, 8741, 8742, 8751, 8755.

1228	Advanced Programmer	\$ 549
7128	Standard Programmer	429
7956	Laboratory Gang Programmer	1000
7956-SA	Stand-Alone Gang Programmer	879
PDV	Driver Software	95
481	8748 Family Socket Adaptor	98
511	8751 Socket Adaptor	174
755	8755 Socket Adaptor	135
CABLE	RS-232 Cable (specify gender)	30

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VENIX

VenturCom's VENIX is an IBM PC implementation of Version 7 Unix with Berkeley enhancements, including vi and the C shell. Unlike PC/IX, VENIX can handle up to three simultaneous users. Awk, lex, and yacc are included, but lex is apparently incomplete.8 Troff is absent. Simple graphics functions are provided for tasks such as drawing lines and circles. On-line reference materials, available on fullblown Unix systems via the man command, are not included in VENIX. A BASIC interpreter is provided. Semaphores are available for interprocess communication, a step in the direction of System V's IPC capability. The Final Word is supplied as an optional word processing alternative. Of special interest to people dreaming of portable Unix systems is the plan (perhaps a reality by the time this is published) to offer a version of VENIX as an option on Data General's new 10-pound PC.

System V

In addition to bug fixes and performance enhancements, System V contains a variety of changes from System III. Improved file I/O performance may be noticeable due to a doubling of the block size to 1024 bytes. An improved scheme of file system updates reduces the risk of file corruption in the event of a system crash.

From a software developer's viewpoint, the most interesting improvement is System V's new Interprocess Communication (IPC) capability, which utilizes messages, shared memory, semaphores, and named pipes. The message capability allows a process to directly and efficiently communicate with another process. Shared memory is memory available to more than one process. Semaphores are used to coordinate access to shared memory by the multiple processes using it.10 Named pipes, like ordinary pipes, channel data from one process to another. The difference is that an ordinary pipe requires the two processes to be concurrent; with a named pipe, output from a current process can serve as input to a process that does not yet exist. An IPC remove command is available to clear out unwanted message queues and semaphore identifiers. A new file system checker, dfsck, allows multiple file

systems to be checked simultaneously.

The C programming environment has been altered in several respects, including a new Common Object File Format (COFF), enhancements to the math library, and eflow, a new program for flow analysis that generates module-calling hierarchy charts. An annoying deficiency of the System V C compiler is that it allows variable names to be only eight characters long (a limitation shared by many older C compilers). The improved symbolic debugger, sdb, can be used for both C and Fortran 77 programs.

The -ms macros, used by the nroff text formatter, have been eliminated.

4.2 BSD

4.2 BSD, the latest release of Unix from Berkeley, offers virtual memory, advanced networking capabilities based on "sockets," enhancements for fast file access, and the ability to use filenames much longer than the 14-character maximum on most Unixes. 4.2 BSD is the Unix of choice for engineers using relatively large and powerful systems such as superminis; it is less suitable for ordinary micros. Systems built around the 68000 processor, for example, lack the hardware required for effective virtual memory implementation (the 68010 overcomes this lack). Also, 4.2 BSD is relatively large, which interferes with efficient performance on a micro with limited memory. As micros become more powerful, these problems will become less significant.

The Future of Unix

AT&T is making a major effort to establish System V as the standard. But just as 4.2 BSD lacks some of System V's capabilities, System V lacks some important 4.2 BSD features. To some extent, we can expect the best features of both 4.2 BSD and System V to be incorporated into future Unix versions. The catch is that there is a limit to how many 4.2 BSD features can be grafted onto System V; addition of System V features to 4.2 BSD is far less problematic. Much depends on what IBM does, and strategists there must be tempted to thwart AT&T by promoting Unixes other than System V. (It is rumored that IBM is considering making 4.2 BSD available for use under VM.11) So the extent to which System V will be

accepted as a standard remains in doubt.

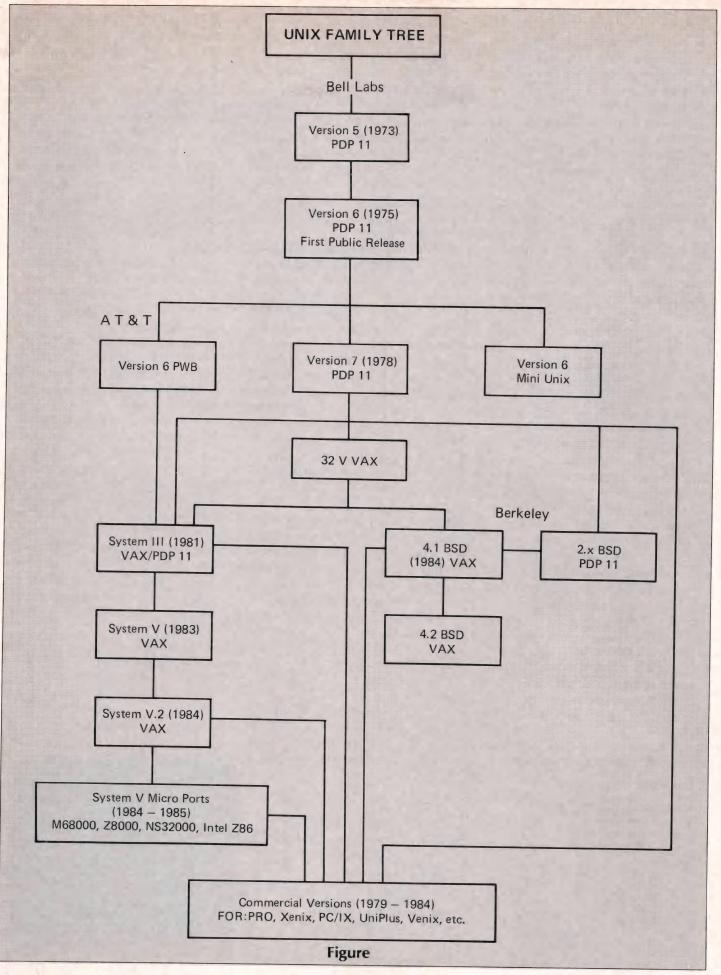
Spending megabucks on advertising does not guarantee acceptance of a proposed standard. Micro Unix versions based on Version 7 and System III, such as Xenix, PC/IX, VENIX, and FOR:PRO, are in use at far more sites than System V. According to the Supermicro newsletter, "with the Xenix announcement for the AT, IBM has administered a possibly fatal blow to AT&T's expensive effort to establish System V as a standard." 12

Jean Yates of Yates Ventures was quoted in September as saying, "Within 18 months AT&T will be conforming to the IBM standard—Xenix." Micro columnist John Dvorak recently suggested that "AT&T is not only losing key personnel but also may lose the System III vs. System V battle.... The failure of AT&T to add record locking and virtual memory to System V... may mean that AT&T will lose control of the direction Unix will take." 14

It should be pointed out that AT&T is aware of System V's deficiencies and is working to overcome them. In a talk given in April 1984 at the European Unix User Group (EUUG) conference at the University of Nijmegen in Holland, Bell Labs' Larry Crume indicated that demand paging will be incorporated into AT&T's kernel as a configuration option in the near future.

The record-locking issue merits special attention because lack of record locking has often been mentioned as a weakness of Unix. To be sure, until recently there was no official Unix standard record-locking mechanism, and some Unix systems still don't offer record locking. But Unix systems aimed at the business marketplace, such as FOR:PRO, VENIX, and Xenix, must offer record locking because it's needed for efficient shared data base applications such as multi-user accounting systems.

The record-locking scheme developed by John Bass¹⁵ is the de facto standard and, as of the summer of 1984, is an official standard adopted by the /usr/group Standards Committee.¹⁶ In the original version developed for Onyx in 1981 and now in the public domain, the key system call is named locking. A more recent implementation calls the



key system call lockf. The lockf version is essentially the same as locking but provides enhancements such as the ability to test whether a region is locked without at the same time locking it. This record-locking scheme is effective and widely used, and AT&T has indicated it will cooperate with the /usr/group Standards Committee's adoption of it as a standard.

Choosing a Unix

Given the vast variety of Unix versions, which should you select if you are choosing a Unix system? Depending on your circumstances, you should consider not just what is contained in a given version of Unix but such aspects as:

- The likely enhancements in future releases of the version
- · How fast the version runs
- · How bug-free it is
- The quality of available documentation
- The quality of the hardware that the Unix version runs on
- · Availability of support
- The range of software that runs on the version (in particular, whether all the application software you need is currently running in a reasonably well-debugged state on the system)
- Price

Don't decide you need System V just because AT&T has spent so much time and money advertising it. On the other hand, take all the talk about the failure of AT&T to make System V the standard with a grain of salt. As noted earlier, System V's deficiencies are being remedied. System V IPC capabilities provide an effective foundation for integrated application software; this inherent strength, as well as AT&T's marketing effort, will result in continu-

ing integration of System V features, if not true System V, into new microcomputer products. Pundits who proclaim that Xenix on the AT will blow System V out of the water ought to give some serious attention to Microsoft's efforts to produce a new version of Xenix based on System V. Although currently (August 1984) little if any commercially available software makes use of the new System V capabilities, a large quantity of high-quality System V software is likely to be on the market soon.

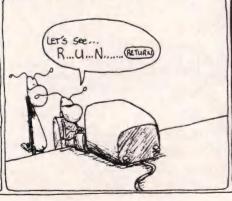
If the availability of the forthcoming System V software is important to you, bear in mind the possibility of avoiding current System V shortcomings by choosing a Unix that is not certified System V but that offers, or in future releases will offer, System V compatibility. Some microcomputer vendors who advertise System V in reality offer System V features grafted onto another version of Unix, rather than true System V. If it turns out that what is being offered is indeed real System V, be sure to check performance, reliability, and availability of adequate application software before you buy. Of course, these things ought to be checked before purchasing any other version of Unix, too. The special danger posed by AT&T's System V-and by IBM's products—is that massive marketing efforts, plus the magic of three familiar letters, may lead people to make purchasing decisions without being sufficiently careful to make sure that what they are getting satisfies their requirements.

Notes

¹ This report, titled "The Meaning of Unix," can be obtained by contacting Bill Shattuck at Montgomery

- Securities (415) 627-2572.
- Note, however, that as of August 1984 System V Unix is an exceptional case; there is currently little application software for System V computers.
- ³ Information should be sent to Alan Walworth, 825 Clara, Palo Alto, CA 94303. Ideally, I would like man pages so that I can provide a detailed picture of a wide variety of Unix systems. Although ordinary sales literature is better than nothing, it is insufficient for in-depth analysis.
- ⁴ Brian Kernighan, coauthor with Dennis Ritchie of the key reference work *The C Programming Language*, was an early participant in Unix development at Bell Labs. Kernighan coined the term "Unix."
- Note that, even if all these problems were taken care of, there would still be a similar problem with options, since you need to know all the options to grasp the full range of issuable commands. To see this point clearly consider that egrep and fgrep could have been implemented as options provided with grep (grep -e and grep -f). Such an implementation would have two fewer commands but no less functionality. So a comprehensive analysis of the capabilities of various Unix versions would require an investigation of just what command options, as well as just what commands, each provides.
- ⁶ Gene Dronek, "PCIX," UNIX/ WORLD, March/April 1984, p. 37.
- However, office-oriented word processing may exist for machines running Xenix. Microsoft and manufacturers of hardware on which Xenix runs should be able to provide







- information about what is available.

 * According to Mark S. Zachmann, lex "is missing one of its object libraries." This statement appears in his article "A Venerable UNIX," in PC, vol. 3, no. 11, June 12, 1984, pp. 246 248.
- 9 FOR:PRO also uses a 1024-byte block size. Using a large block size has both pros and cons: to take a simple example, if there are a thousand files, each 400 bytes long, using up a 1024-byte block for each one wastes a lot more disk space than using up a 512-byte block for each (roughly 1000 times 600, or 600,000 bytes wasted versus about 100,000 bytes). 4.2 BSD overcomes this drawback by using blocks 4096 bytes long for most files but arranging, when suitable, to let several small files share a single 4K block. The trade-off is that this and other file system optimization techniques add considerably to the size of 4.2
- For a good introductory discussion of semaphores, see Operating System Design: The XINU Approach by

- Douglas Comer (Prentice Hall, 1984).
- ¹¹ Sol Libes, "News and Views," *Microsystems*, September 1984, p. 8.
- Supermicro, August 31, 1984 (published by ITOM International Co.,
 P.O. Box 1415, Los Altos, CA 94022).
- ¹³ Jean Yates, *Fortune*, September 17, 1984, p. 70.
- John Dvorak, *InfoWorld*, September 10, 1984, p. 96.
- John Bass, a Unix expert who assisted with development of Fortune's FOR:PRO, is currently a consultant with DMS Design.
- 16 The /usr/group standards are published as a document titled *Proposed Standard: 1984 /usr/group Standard*, available from /usr/group, 4655 Old Ironsides Drive, Suite 200, Santa Clara, CA 95050. The *Reviewer's Guide to the Proposed /usr/group Standard*, which is available with the *Proposed Standard*, includes a listing showing which system calls and subroutines are provided in the /usr/group standard, System V, System III, Version 7, and

4.1 BSD. It also contains some useful background information, an explanation by John Bass of the lockf recordlocking standard, and a report by D. Cragun and D. Kretsch of Bell Labs on the ways System V differs from the /usr/group standard. Cragun and Kretsch start off with a peculiar piece of reasoning that suggests AT&T is not wholly enthusiastic about the /usr/group standard: "The current membership of the /usr/ group Standards Committee represent end users of Unix systems, developers of Unix systems, developers of systems that are based on or look like Unix systems, and applications developers. Therefore, the /usr/group standard is not a Unix system standard." /usr/group also publishes a Unix Software Catalog, a new edition of which is due out in early 1985; this could be a useful starting point for obtaining information on microcomputer Unixes omitted from this article.

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Unix Device Drivers

by John L. Bass

Some Unix systems currently being shipped use disk queuing algorithms that optimize throughput. Their performance looks less attractive when you realize that the best-throughput algorithms yield the worst values for response time and fairness.

his article is an introduction to the Unix I/O subsystem and Unix device drivers. The concepts herein cover a wide range of Unix operating systems as well as a number of non-Unix systems. Unix Version 7 drivers are the baseline for the information in this discussion. Device drivers in both earlier and later versions of Unix are similar in concept to these Version 7 drivers but have different system interfaces, queuing structures, and control flow.

For more information on Unix, see *The Bell System Technical Journal*, Part 2, July – August 1978, vol. 57, no. 6. See also "The Unix I/O System" by Dennis M. Ritchie, found in the *Unix Programmer's Manual*, seventh edition, vol. 2B, and in other documents for various releases of Unix.

A Unix I/O Subsystem Overview

Before we look at Unix drivers, we need to take an overview of the Unix kernel to understand the overall system control flow. Shown in Figure 1 (page 40) is the block structure of the Unix I/O system—from a functional view. Each rectangular block in the diagram implements a certain functionality in a modular fashion. Communication between blocks is accomplished via subroutine calls and data structures passed as arguments in those calls. Each rectangular block with rounded corners represents a switch table structure used to select one of several similar functions.

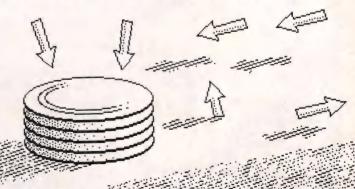
The System Call Interface and Switch

System and application processes interface to the I/O subsystem via the library subroutines open, close, read, write, ioctl, and lseek. Each of these library subroutines copies its arguments according to system call conventions, sets the system call number, and then causes a hardware/software call to the system call manager. This procedure varies from system to system.

The system call manager copies the arguments out of the process' memory and into the kernel's memory. It then uses the system call number to index into the system call switch table (a jump table) to find the proper kernel subroutine to call. The arguments are assembled for the routine, and the routine is called.

When the routine returns, the system call manager copies the return status into the process' memory and resumes execution of the process.

John Bass, 6946 Blue Hill Drive, San Jose, CA 95129.



The File I/O Subsystem

The file I/O subsystem has entry points in the system call switch table for each file I/O system call. The following are the basic entry points used by applications:

Open: scans the directory structure to locate the file named by the application. The inode for the file is brought into memory for use by the other system calls. The inode describes all of the file type, ownership, and allocation information for a file.

If the inode describes a character or block special file, then the driver must be passed the open call. The major device number in the inode is used as an index into either the block or character device driver switch table to obtain the driver's open routine address. If no driver open routine address is found in the switch table, then the driver does not require an open call. If an address is found, then the open routine is called.

otherwise, a file descriptor is returned to the application process.

Close: If the inode is for either a character or block special file and no other process has the inode open, then the close routine (if any) in the switch table is found and the driver's close routine is called.

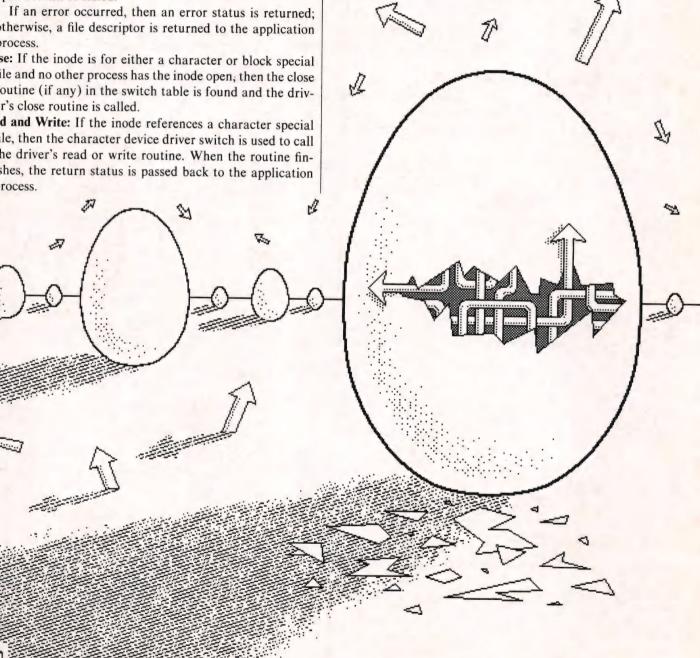
Read and Write: If the inode references a character special file, then the character device driver switch is used to call the driver's read or write routine. When the routine finishes, the return status is passed back to the application process.

If the inode references a block special file, then the current file pointer offset is translated into a logical block number for the device.

If the inode references a file or directory, the current file pointer offset is translated into a logical block in the file. The allocation information for the file is then used to locate the logical block number on the device.

The block I/O subsystem is then requested to return a system buffer with the device data. If it is a read system call, then the data in the buffer is copied into the applications buffer. If it is a write system call, then the data in the applications buffer is copied into the system buffer, and the buffer is flagged to be written back to the device.

This process is repeated for as much data as was requested by the applications system call.



Lseek: This system call does not cause any I/O action to be performed. It simply changes the current file pointer offset used by the read and write routines.

Ioctl: If the inode does not reference a character special file, then an error status is returned; otherwise, the ioctl routine in the device driver is called.

The Block I/O Subsystem

The block I/O subsystem maintains an in-memory buffer pool that forms a simple FIFO cache of blocks read or written. Requested blocks are returned immediately when they are found in the pool. If these blocks are not found in the pool, then the oldest buffer is freed, allocated for this device/block, and then passed to the proper device driver strategy routine.

The Process and Swap Scheduler

The system swapper uses a special buffer descriptor to build a swap in or swap out I/O request. The swapper then calls the device driver's strategy routine with the buffer descriptor to process the request. Normally, large swaps are done in a number of 10K to 60K chunks to minimize hogging of the device.

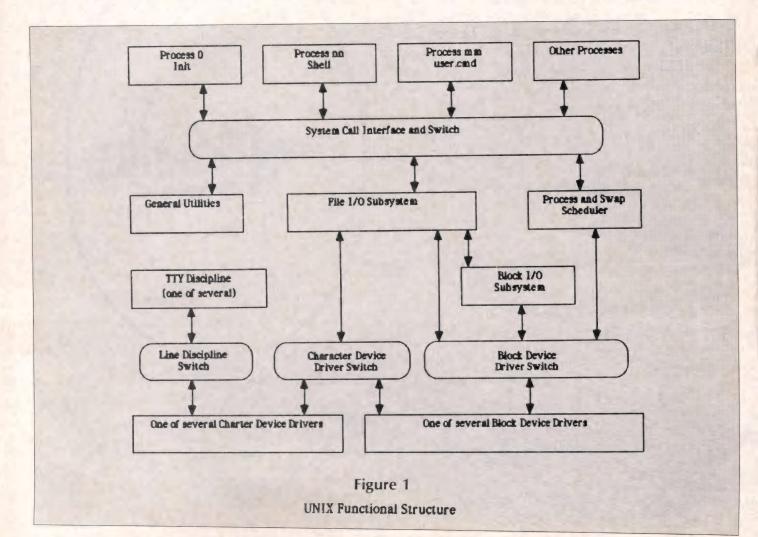
The Block Device Driver Switch

The switch table is an array of structures indexed by the block major device number. This structure contains the addresses for the device driver's Bopen, Bclose, and Bstrategy subroutines. It also contains the address of the device's I/O queue for use by system metrics. Device drivers are not required to have a Bopen or Bclose routine. When they do not, the entry point for a null subroutine is placed in the table for the missing subroutine.

Each block device driver will have one or more entries in this table. The entries are created during system generation or, on some systems, during autoconfiguration when the system is started up.

The Character Device Driver Switch

The switch table is an array of structures indexed by character major device number. This structure contains the addresses for the device driver's Copen, Cclose, Cread, Cwrite, Cioctl, and Cstop subroutines. Also often included is a pointer to the first TTY structure controlled by the driver for use with system metrics. Device drivers are not required to have a Copen, Cclose, Cioctl, or Cstop subroutine. As with the block device, the address of a null routine is placed in the table. For a device that does not have a read routine (write only—e.g., something like a printer) or does not have a write routine (read only device—a paper tape reader), it is advisable to cause the missing function to return an error. For these devices, the table contains the address of a routine that returns an error.



The Block Device Driver

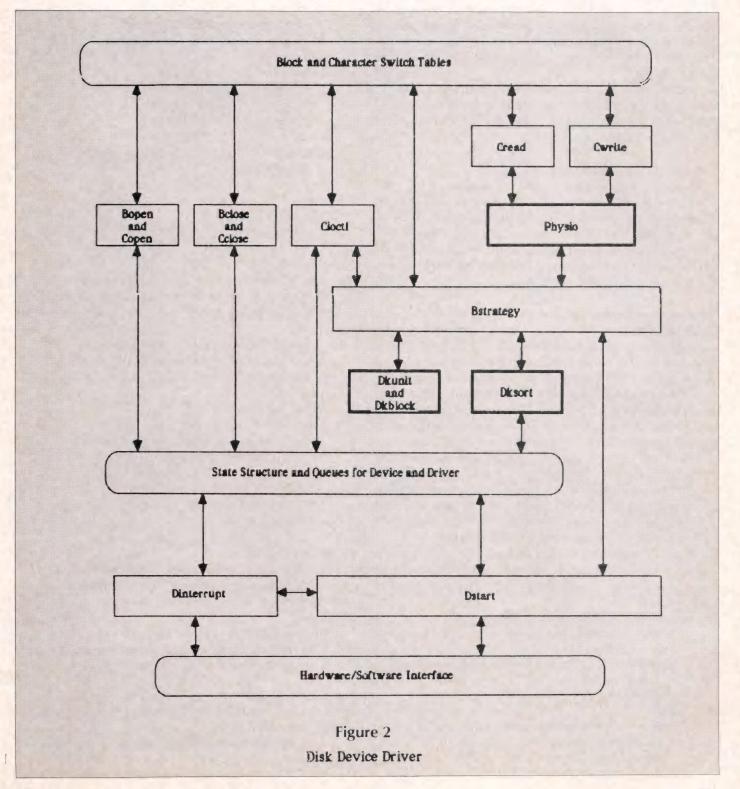
A generic disk driver represents the general form of most block device drivers. The structure of a disk driver is given in Figure 2 (page 41).

The block device drivers are used mainly by the file I/O subsystem and block I/O subsystem to provide buffered access to file system devices. In practical terms, these "devices" can be disk drive devices of all kinds, disk emulators (for instance, a RAM disk), and sometimes a network-provided remote disk service.

Most block device drivers also have a simple character driver interface as well. This interface allows direct multiblock transfer to and from the device without using system buffers. This process is mainly used by file system maintenance utilities. The character driver interface also allows the driver to use ioctl calls for special applications (for example, a Format Diskette command).

The Character Device Driver

Character device drivers are used to interface all types of



devices to Unix. The driver interfaces the external device to open, read, write, and close system calls to maintain the device-independent I/O model.

An important specialized form of character device driver exists for communication devices that interface terminals to the system. All the special processing to handle echo, character erase, line erase, end of file, xon/xoff flow control, and signal generation is contained in the default tty line discipline. The line discipline switch is an interface to link the default tty line discipline into the terminal driver as well as provide for alternate tty protocols and special tty handling.

Much of the difference between Version 7, Berkeley releases, System 3, and System 5 revolves around changes and improvements in the tty line disciplines. There are significant differences between the implementations for each of these releases.

Figure 3 (page 46) shows the basic outline for a simple terminal driver. This driver completely defaults to using only the default tty line discipline. In practice, a fully implemented driver is much more complex.

The Block Device Driver

This section will discuss the generic disk driver in more detail (see Figure 2). Simple algorithms will be presented for each major routine found in the basic disk driver. The outlines provided here are simplified to some extent. The details vary slightly between various versions of Unix. If you have access to a driver source for your system, consult those listings for more details.

Subroutines Bopen and Copen

The basic function of an open routine is to provide initialization for the controller and drive. Both the block and character open routines may be active at the same time if a sleep is done during initialization of the controller or drive. Likewise, it is possible to have a concurrent close on one interface and an open on the other. Thus, if either open or close sleeps on some I/O, it may be necessary to set some semaphore to prevent race conditions during opens and closes.

There are separate open routines in both the block and character interfaces. To be certain that no minor device is open, the routine must check that no block minor device is open and that no character minor device is open. To forget this is a common mistake: it results in the controller/driver getting initialized while active I/O is in progress.

The open routine is called for each open system call for the minor device. The kernel should call open for the root, pipe and swap devices ... but this is sometimes overlooked or difficult to do.

Begin subroutine open(minor_device)

While open_close_lock set, sleep on open_close_lock Set open_close_lock

If no minor device on this controller is open, then

Initialize the controller

Mark controller as ready

End if

If no minor device on this drive is open, then Initialize the drive

Mark drive as ready

End if

Mark minor_device as open Free open_close_lock End subroutine open

Subroutines Bclose and Cclose

This is simply the reverse problem from open with the additional task of flushing and waiting for outstanding buffered writes.

Begin subroutine close(minor_device)

While open_close_lock set, sleep on open_close_lock
Set open_close_lock

If any buffered writes for this minor_device, then

Flag and queue all buffers found
Wait for minor_devices queue to become empty

End if

Clear minor_device open flag

If no minor device on this drive open, then

Take drive off line (if required)

Release drive from controller

End if

If no minor device on this controller open, then

Release controller

Flag controller as idle

End if

Free open_close_lock

End subroutine close

Subroutine Cioctl

Most disk drivers do not have an ioctl interface. In those that do, the most common use of the interface is to provide a means for formatting floppy disks (or other removable media).

Begin subroutine cioctl(minor_device, cmd)

If user is not root or cmd is not format, then

Return error status

End if

While raw_buffer is busy, sleep

Mark raw_buffer as busy

Set up command parameters in raw_buffer

Set command type to format

Call strategy to queue I/O request

While raw_buffer is not done, sleep

Mark raw_buffer as free

End subroutine cioctl

Subroutine Cread

The normal raw disk read function simply calls physio to validate the user's request, acquire the buffer, initialize the buffer parameters, queue the request, and monitor the I/O completion.

Begin subroutine cread(minor_device)

Call physio to build raw read request for strategy End subroutine cread

Subroutine Cwrite

The normal raw write request is just like the read request.

Begin subroutine cwrite

Call physio to build raw write request for strategy End subroutine cwrite

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System Function Physio

The system function physio does all the normal handling to set up a dma transfer to/from an applications buffer. This includes validation of the addresses and lengths requested by the application. The physical addresses are calculated and plugged into the provided buffer header. Physio then calls the provided strategy routine and waits for I/O to be completed. Any error processing is done, and the resulting status is returned to the application.

Subroutine Bstrategy

The strategy routine's job is to take the requested buffer header, map it to the correct device and physical block, and enter it into the queue.

Begin subroutine strategy(buf)

If the requested I/O is outside this partition, then

Set error flags

Mark buffer as done

Return to caller

End if

Map correct device and block number from buffer parameters using partition table, dkunit, and

dkblock

Map block number into cylinder group

Call dksort to sort request into proper place in queue

Call Dstart if the device is idle

End subroutine strategy

System Functions Dkunit, Dkblock, and Dksort

These system functions are used to implement system standard device interleaving and request queue sorting.

The device interleaving is used to interleave a logical partition over two or more physical drives to balance I/O request loading on the drives. A secondary use of this function is to combine two drives into a larger, single logical partition.

The request queue sorting is used to provide a standard queue optimization. The standard algorithm used is up-elevator (C-Scan) with read preference. This algorithm gives better I/O throughput than FIFO, but allows significant unfairness to be introduced between multiple disk-bound processes. Although this is a good general algorithm, other algorithms produce fairer access to the disk and less erratic response times, while only reducing the total disk throughput by a small margin.

Subroutine Dstart

The purpose of this routine is to translate the parameters in the buffer header into command parameters for the disk controller. The I/O request is then started by the controller. When the request is done, the hardware generates an interrupt, which then calls the Dinterrupt routine. The interrupt routine processes the completion or retry of the last request and calls start to get the disk controller going again.

Begin subroutine start

If request queue is empty, then

Return

End if

Mark device as busy

If buffer is raw_buffer and command is format, then

Build format command

Start format operation

Retur

End if

Build transfer command

Start transfer operation

Update metrics

End subroutine start

Subroutine Dinterrupt

The interrupt first checks for error on the transfer. If an error is found and there have not been too many retries, then the last request is restarted or marked as a hard error.

If the transfer was correct, then the buffer is marked done and start is called for the next request.

Begin subroutine interrupt

If device was idle, then

Log false interrupt if necessary

Return

End if

If a device error, then

If a soft error and only a few retries, then

Clear error condition, if necessary

Restart controller

Return

End if

Flag error for request

End if

Mark request as done

If more requests in queue, call start

End subroutine interrupt

The Simple Serial Terminal Driver

The basic form of a single line terminal driver is shown in Figure 3 (page 46). This driver is the minimal form for a single-character-at-a-time UART with interrupts handled in C code. In practice the Version 7 drivers are significantly more complex, particularly after performance optimization using software pseudo-dma. System 3 and System 5 drivers have additional complexity because device-specific output processing from the tty generic routines is transferred to the driver. It can be expected that even later versions will continue this trend to better support remote network terminals, multi-windowed terminals, and bit-mapped graphics terminals.

Subroutine Copen

Begin subroutine copen(minor_dev)

If not a legal minor_device, then

Post an error

Return

End if

Initialize tty queuing/state structure

If first open on device, then

Set open flag

Set default initial state flags

Call system function ttychars for initialization

End if

Initialize hardware interface and interrupts

Call system function ttyopen with queuing structure to complete open sequence

End subroutine

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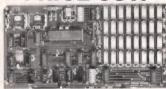
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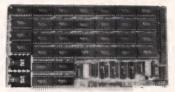
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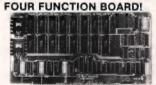


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System Function Ttyopen

The ttyopen function does system-specific initialization that is common to all terminal drivers. This function generally includes establishing terminal ownership, process groups, and marking the queuing structure as open.

Subroutine Cclose

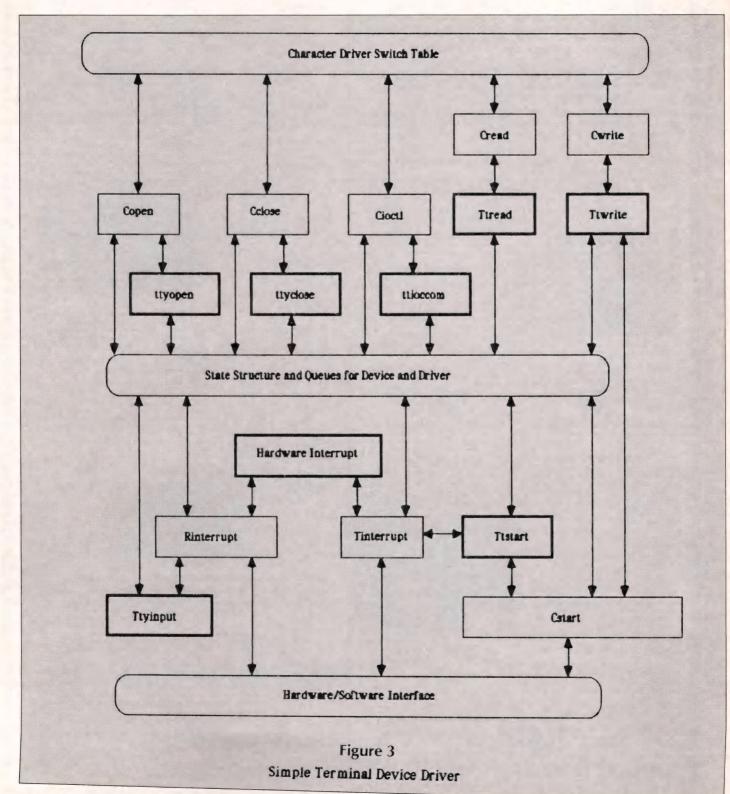
Begin subroutine cclose

Acquire queuing structure for this minor device

Call system function ttyclose with queuing structure End subroutine

System Function Ttyclose

The ttyclose function performs the common close processing for terminal devices. This generally involves disassociating the device from any process group, waiting for characters in the output queue to finish transmitting, clearing the input queues, and marking the queue as closed.



Subroutine Cioctl

Begin subroutine cioctl(minor_device, cmd)

Acquire queuing structure for this device

Call system function ttioccom with queuing structure and cmd arguments

If hardware parameters have changed for device, then Reprogram hardware to conform to new parameters

End if

End subroutine

System Function Ttioccom

The ttioccom function performs the common processing to handle terminal ioctls reading and editing the hardware and software state variables for a serial port.

Subroutine Cread

Begin subroutine cread

Acquire queuing structure for this minor device Call system function ttread with the queuing structure End subroutine cread

System Function Ttread

The ttread function calls other routines to process the raw characters on the input queue. Any required editing is done, and the resulting input data is copied into the applications buffer. If more data is required and the input queue is empty, the routine sleeps while waiting for input.

Subroutine Cwrite

Begin subroutine cwrite

Acquire queuing structure for this minor device Call system function ttwrite with queueing structure End subroutine cwrite

System Function Ttwrite

The ttwrite function calls other routines to process the outgoing data. Terminal-specific handling is done for tabs, new lines, upper-case and lower-case characters, and delay processing. The routine sleeps as required on the output queue, copies data from the applications buffer to the output queue, and calls the start routine to keep the device busy.

Subroutine Cstart

Begin subroutine cstart

If hardware is not ready, then

Return

End if

If a character is on output queue, then

Remove character from queue

If the character is data, then

Send the character out the serial line

Else

Do delay processing

End if

End if

End subroutine estart

System Function Hardware Interrupt

By some hardware and/or software means the communica-

tions device presents an interrupt request to the system. Often special processing is required to save the hardware/software state at the time of the interrupt. After this state is saved, the proper driver interrupt processing routine is called.

Subroutine Tinterrupt

Begin subroutine tinterrupt

Acquire queuing structure for device

Call system function ttstart to do any special handling and then call driver's cstart routine

If the output queue has enough free space, then Wake up any sleeping applications to fill it up

End if

End subroutine

System Function Ttstart

The ttstart function does common processing before calling the device's start routine. The function generally includes checks to prevent starting a line that is stopped for some reason or is already busy.

Subroutine Rinterrupt

Begin subroutine rinterrupt

Acquire queuing structure for device

If there is data in receiver, then

Get character from serial receiver

Call system function ttyinput to process character

End if

Reset interrupt enable as required

End subroutine

System Function Ttyinput

The ttyinput function handles common processing of input characters at interrupt time. This generally includes queuing character echo, handling xon/xoff flow control, signals, end of file, and converting input case. The input is queued for edit processing by ttread. A wakeup of ttread is done as required—either on a special condition or on every character if in raw or cbreak mode.

Background

There are many pitfalls for device driver writers. To explore them in reasonable detail would require a three- to four-day seminar. Two interesting problems are common "mis"-features in many Unix systems currently being shipped.

Better Dsort Algorithms

To evaluate various candidate algorithms, readers must first abandon prior knowledge of what is best and then take a good look at the Unix environment and applications.

(1) A large number of studies have been done on disk queuing strategies for batch environments to optimize throughput. Response time and fairness are not addressed as key variables in those studies. Unfortunately, the optimal solutions for throughput have the worst response time and fairness qualities. Computer scientists as a whole tend not to reevaluate old trade-offs in the face of new requirements. The educational community continues to close the minds of newer computer scientists by teaching "truths" that are out of perspective with today's problems.

(2) Queuing algorithms that change the order of writes going to the disk prevent applications from using fail-safe updates to large data bases. With an unknown write ordering, it is impossible to back out certain update transactions after a system crash. FIFO handling of writes is a must.

(3) The standard Unix dsort gives preference to reads and defers all writes to improve response times. This is generally the best policy to prevent blocking on buffered output data. Unfortunately, the standard implementation also defers writes for swapping and paging as well. This ties up the swap and paging buffer headers for long times when several active readers can lock down the request queue. This results in step reductions or nonlinear decay in both system throughput and system efficiency as the disk queue length increases.

(4) Any algorithm that allows one or more processes to dominate the queue is bound to cause a wide variance in response times. Since slow response times are subjective (that is, the user remembers only the worst), then algorithms that generate wide variances are likely to create the "slow response time" conditions that the user will see and remember at random times. If the variance gets worse as the load increases, then the load will become more visible to the user than would normally be expected.

With these requirements in mind it is clear that the standard dsort is nonoptimal for a timesharing system. The standard up-elevator algorithm with deferred writes allows a single active reader of a sequentially allocated file to lock up the disk queue with readahead. This will continue for a maximum scheduling quantum of several seconds. Several such users can create service time delays to other processes in the disk queue of several times the scheduling quantum! If the system is swapping or paging at the same time, then swap and page requests are likely to be serviced once per few seconds—this allows the incore process hogging the queue to do so for even longer periods of time.

The typical up-down elevator algorithm is even worse. The requests for I/O at the ends of the service area get serviced only once per pass, while the middle gets serviced twice per pass. The result is a normal distribution for the probability of service and a process throughput as a function of the location of the file. Processes accessing data at the ends of the request area typically get between 5 - 50% of the normal throughput depending on queue length and access patterns. Note that for most Unix systems the swap/paging areas and the inodes/directories tend to be at one end of the service pattern. The typical result is exec/fork operations that are significantly degraded as the queue length increases, resulting in abnormal response times for simple commands. When swap/paging traffic is affected by this, the system suffers a large step reduction in efficiency and throughput as the swap/paging time exceeds the scheduling quantum.

To fix this behavior requires that lockdown be bounded to short bursts (some lockdown is desired to obtain higher throughputs), and that swapping or paging always get premium service.

The proper algorithm is one that sorts the disk queue in the reverse direction from that in which file data is sequentially allocated. This is down-elevator on most Unix systems. Second, writes on minor devices with file systems are queued FIFO and serviced after all outstanding read requests and

swap/page requests have been serviced.

A better variation of this is to service small batches of writes that fall into the natural down-elevator algorithm. Thus, on every pass a few writes are taken off the queue and released. This helps prevent the write-deferred buffer from clogging up the buffer pool. The writes are still done FIFO but do not cause a burst of nonoptimal FIFO traffic in some random access pattern.

Better Serial Interrupt Handling

Character-at-a-time, interrupt-driven servicing can use up large amounts of high-priority, nonschedulable cpu time. For most systems, the time to service an input keystroke in raw mode is between 800 and 3500 microseconds. This includes the time required to process that hardware interrupt, save system state, call the high-level receiver interrupt routine, echo the character, start the output, wake up the sleeping application, and do a context switch to run the process. Not included is the additional 2500 to 4000 microseconds that it takes to perform the read system call and schedulable kernel-level handling of the input data. At 2400 – 4800 baud, most systems are totally cpu bound and will lose incoming data. Normally humans don't type that fast, but repeat functions and multicharacter function keys do!

A common solution to this problem is to handle the receiver and transmit interrupts in a short assembly language interrupt routine. This routine uses a 50-200-character dedicated output buffer and a shared 300-2000-character input buffer. The tightly coded output interrupt routine requires 30-90 microseconds per character, and the normal output routines require 10-200 microseconds per character to keep the output buffer full. Similar numbers are true for input. Wakeups are done only on buffer full or empty. A watchdog timeout routine calls the input processor every few clock ticks to process incoming data when the buffer doesn't get full. The net effect is a 70-95% reduction in cpu time for serial communications traffic and significantly reduced chances of dropping input characters.

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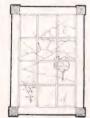
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A Unix Internals Bibliography

by John Rogers

"You are in a dark, musty, source code directory, with many mysteriously named source files around you..."

number of books and manuals describe how to use the Unix operating system. However, Unix internals documentation is pretty scattered (where it exists at all). This is unfortunate, especially for those of us who work with Unix-the lack of design documents makes our jobs harder. Some public domain Unix-like operating systems (GNU and XINU) are in the works for the rest of the world, and a number of worthwhile ideas in Unix are adaptable to other systems. In the next few years, documentation on the Unix algorithms and data structures will become more valuable to many people than the actual source code licenses for Unix.

how something works is to "grep for it" in the source code (in fact, there are t-shirts that say "grep for it!"). This isn't always desirable, however, because some of us don't have access to the source code, or, if we do, the source isn't particularly lucid. A comment in the code even says, "You aren't supposed to understand this"!

I've since given up on finding one comprehensive document, but I've become aware that bits and pieces of design documentation are available. Because most of this documentation is in fairly obscure places, I've put together a bibliography to help other people find the information they need.

Using this Bibliography

I have divided the main body of this article into seven subject areas: the kernel, the shell, compilers and language

You are supposed to understand this.

Most Unix gurus will tell you that, because Unix is small, written in a high-level language, and available with the source code, documentation isn't as necessary as it is for some other systems. However, Unix has enough code to make earning the title "Unix guru" quite a task. For the first year or two that I was working with Unix, I kept thinking "there has to be some sort of design document that Bell Labs hasn't released," but I haven't found it yet. The normal means of discovering

development tools, communications, the C runtime library, miscellaneous utilities, and regular expressions. This is followed by a list of references in alphabetical order by author. Although the organization may seem a little arbitrary, I don't think people will have problems finding what they want.

Within the main body of this article, books, papers, research reports, magazine articles, and the like are cited with the author's name in square brackets; complete bibliographical information appears in the list of references. In the few places where man page references appear, I use the standard form (e.g., LS(1) refers to the man page for "ls" in section 1 of volume 1 of the manual). I have adopted the numbering scheme used in almost every major release except System V (i.e., section 4 has device

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Copyright (c) 1984 by John Rogers (ir@forced LUICP), All rights reserved.

(jr@foros1.UUCP). All rights reserved. Unix is a trademark of AT&T Bell Laboratories. drivers, and section 5 has file formats); most other things are unchanged.

K&R, of course, refers to The C Programming Language by Kernighan and Ritchie (see [Kernighan and Ritchie 78al in the list of references). UPM is short for the Unix Programmer's Manual, which almost always comes in two volumes: the first contains the man pages, and the second contains a number of papers about Unix (some of which are referenced later). BSTJ is the Bell System Technical Journal (now the AT&T Bell Laboratories Technical Journal). available from Room 1J319, 101 J. F. Kennedy Parkway, Short Hills, NJ 07078. CSTR stands for Computer Science Technical Report, available (for free) from Room 2C-213, AT&T Bell Laboratories, Murray Hill, NJ 07974. CSRG is the Computer Science Research Group at U. C .-Berkeley.

The Kernel

A group of people at Purdue University wrote a public domain operating system named XINU (a recursive acronym for "XINU Is Not UNIX"); the source code has been published in [Comer]. Although XINU has a lot of the flavor of Unix (especially since it's written in C), it is not a complete timesharing system by any means; there are no shells or utilities, for instance. For someone unfamiliar with Unix internals, this is a good place to get acquainted with the concepts used in the Unix kernel. For someone who has already read some of the source code to Unix's kernel, however, the XINU code is kind of disappointing.

A document [Lions77] put together for an operating systems course at the University of New South Wales in Australia describes the Unix kernel in some detail. Because it takes the form of annotations to the source code (by line number), it isn't much use without a source listing (see, for instance, [Lions76]). Unfortunately, the document is based on Version 6; there have been four major releases of Unix since then, so it's not exactly current.

[Lions77] presents another problem for people without source licenses. Because it contains a lot of details about the kernel, you're supposed to have a Unix source license to get a copy. Nevertheless, lots of bootleg copies are floating around. For that reason and for the sake of completeness, I'm mentioning this document here. For more information on the whole topic, see [Lions78], which is available to the public.

Allocation of Directory Entries

Allocation of directory entries in most releases of Unix is pretty trivial; 4.2 BSD is the only major exception. See the DIR(5) man page for details on that.

Allocation of Disk Blocks and Inodes

[McKusick, Joy, Leffler, and Fabry] discusses allocation of data blocks and inodes in 4.2 BSD. In other releases of Unix, this allocation tends to be simpler; see the FILSYS(5), FS(5), or FS(4) man page entry for details.

Booting

[Christian] has a pretty good piece (section 19.5) on booting, titled "Booting, Process 0, Process 1." Since booting seems to be different in every release and on every machine, read this with a grain of salt. Section 8 of your Unix manual probably has more detail and accuracy than anything else.

Device Drivers: General

If you have no idea how Unix's device drivers fit in with the rest of the kernel, you might want to read [Comer]; it gives you the flavor of the subject, although a fair number of the details are different from Unix (remember, he's writing about XINU).

Once you have a rough idea of how device drivers fit into Unix (i.e., via major and minor device numbers and the device "switches"), then [Ritchie78] is the thing to read: it discusses the device-switch tables as well as the kernel routines nulldev(), cpass(), passc(), iomove(), getc() and putc() (not to be confused with the standard I/O routines of the same names), sleep(), wakeup(), timeout(), spl3() and so on, bread(), getblk(), breada(), brelse(), bwrite(), bawrite(), bdwrite(), geterror(), and physio().

Because [Ritchie78] is terse (like most of Unix), you might want to read something that goes a little slower and gives examples. [McNamara, Vaish,

and Bryant], which examines various aspects of device drivers in detail and gives two pages of pseudo-code for a block device driver, discusses sleep(), wakeup(), timeout(), physio(), spl0-7(), disksort(), iowait(), and deverror().

If you're actually going to write a device driver, then you may need all the help you can get. [Nystrom] is pretty much the complete authority, with hundreds of pages, lots of source code, and reprints of [Hickman83a] and [Hickman83b]. Unfortunately, you also need a Unix source license, and I'm not sure if that is available other than by taking a seminar from International Technical Seminars (see the list of references).

One last suggestion: According to an article on Usenet by John Levine at INTERACTIVE Systems (john@ima.UUCP), "the PC/IX manual includes a fairly informative section on writing device drivers." I haven't seen a copy yet.

Device Drivers: Block

[Ritchie78] discusses buffer headers and associated routines. [Hickman83a] is another source of information. As mentioned earlier, [McNamara, Vaish, and Bryant] gives two pages of pseudo-code for a block device driver.

Device Drivers: Character

[Thompson] and [Ritchie78] look at "character lists" (really queues) and the routines that access them.

Directories

Chapter 8 in [Kernighan and Ritchie 78a] discusses the format of directories. In [Kernighan and Pike] (page 59) is the comment that an inode number of zero indicates an empty directory entry.

exec()

[Johnson and Ritchie 77] mentions that exec() builds the argument list for a new program by allocating memory on the stack.

File I/O

[Thompson] in section 4.1 gives some information on the processing done by open(), including descriptions of the per-user file table, the system file table,

and the inode table; [Christian] in section 19.6 more or less repeats the same information. [Ritchie78] discusses opens on devices. See also namei() below—namei() is called indirectly when the user does an open() or creat().

getty

[Christian] in section 19.5 examines the relationships between init, getty, and login, though not in much detail. Note that the parameters passed to getty tend to vary from one implementation of Unix to the next. See the GETTY(8) or GETTY(1M) man page for details.

iget() and iput()

[Wales] discusses iget() and iput(). iget() is called to locate or read in an in-core copy of an inode, given its inode number; reference counts are used to keep track of how many processes are using a given inode. iput() decrements the reference count and, when the reference count goes to zero, frees the in-core copy, writing it out to disk if it has been modified.

[Wales] also discusses the hashing used to find in-core inodes—and the use of a singly linked list if collisions occur. [Lankford] mentions that, as of System V and 4.1 BSD, inode table entries are hashed (in releases prior to those, the table was searched sequentially), and [Ritchie79] notes that in the original PDP-7 Unix, iget() left the inode it found in a constant location.

init

Section 6.6 in [Ritchie and Thompson] gives a superficial overview of how init works (ignoring both getty and login). The INIT(8) (or INIT(1M))man page provides more detail. Of course, init keeps changing from one release to the next. [Gauthier] in section 19.5 supplies a great deal of detail about how process 1 is created "from scratch."

Memory Management

[Johnson and Ritchie 77] includes a short discussion of various aspects of memory management in the Unix kernel. [Thompson] observes that "the swapping process is the only process that waits for primary memory to become available."

mount()

[Ritchie and Thompson] in section IV and [Thompson] in section 4.2 discuss how the mount table is built and how namei() uses it.

namei()

This routine in the kernel converts from a path name to an inode number. It is mentioned in [Leffler, Karels, and McKusick], along with the fact that 4.2 BSD has namei() caching—with a table of names, inode numbers, device numbers, and pointers to inode table entries. This same source explores the logic used by namei(). Sections 4.1 and 4.2 in [Thompson] discuss various aspects of the translation of the name to the inode number. (See also iget() and iput() above.)

Process Table

[Leffler, Karels, and McKusick] mentions that the newproc() routine in the kernel does a linear search of the process table to allocate an ID for each new process. [Goodwin] describes in significant detail various algorithms for allocating new process IDs.

[Leffler, Karels, and McKusick] also gives a list of other kernel routines and/or system calls that do sequential searches of the process table, as well as the reasons for each search. The routines are: exit(), wait(), fork(), newproc(), kill(), gsignal(), schedcpu(), and sched().

Read-ahead

The Unix kernel implements a readahead scheme where the kernel tries to predict which disk blocks will need to be read in and to read them in before they are actually requested by a user program. This is discussed in [Ritchie78]. [Goodwin] proposes an improvement on the algorithm.

Scheduling

[Gauthier] in section 19.3 looks briefly at scheduling, as does [Thompson] in section 2.3. You can glean other details from a careful reading of the PS(1) man page.

u page

Much of the data about a process is stored in the u page (so called because the global variable with its address is named "u"); this is discussed in [Ritchie78] and [Goodwin], where it is

called the per process data area, and in [Thompson], where it is called the system data segment. Note that a u page is different from a process table entry (of which there is also one per process): the u page is swapped to disk, while the process table entries are always resident in main memory.

Update Process

[Gauthier] on page 204 mentions the update process (with /etc/update showing in the "ps" example). On page 213 is the comment: "the /etc/update program forces disk updates every thirty seconds and should be run at every installation."

The Shell

[Ritchie and Thompson] in section 6.5 describes the shell's use of exec(), fork(), wait(), and read(); how it handles pipes and I/O redirection; and how shell scripts work.

Background Processes

[Joy] shows how the C shell handles its table of background processes.

cd

Most man pages for CD(1) (or CHDIR(1)) mention that the command must be built into the shell; [Ritchie79] gives an interesting historical note on how the authors of Unix discovered this.

Filename Expansion

See the section on regular expressions (page 56).

I/O Redirection

[Holt] on page 169 gives a sketchy description of how this is handled.

Running Programs

[Holt] on pages 167-168 presents some pseudo-code for the steps a shell goes through when it creates a subshell and runs a given program; it does not, however, describe how shell scripts are handled when they are run. See also the discussion of the system() subroutine in the section on the C runtime library (page 54.)

Compilers and Language Development Tools cpp

[Johnson and Lesk] mentions that the

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C preprocessor is written using yacc and lex. Martin Minow posted a complete public domain cpp to Usenet this summer, and another partial implementation ("p") is given with full source code listings in [Schreiner].

lex

[Johnson and Lesk] states that lex is written using yacc. [Aho and Ullman] has quite a bit of the theory concerning lex in its chapter 3. See also the section on regular expressions. A public domain version of lex is available from Decus.

lint

[Johnson78a] touches on the design of lint in the section on implementation.

pcc

[Johnson and Lesk] mentions that pcc is written using yacc and lex.

[Johnson79] is a long, detailed introduction to the guts of the portable C compiler. It discusses parsing, symbol table handling, expression trees, and register allocation. It gives a couple of examples of the templates used to rewrite expression trees and eventually to generate code, as well as a number of the function and source filenames for the compiler.

[Leffler] is an exhaustive document (100 pages, although some of it applies only to the Harris /6). It examines the organization of the compiler in great detail, giving source file and function names throughout. Surprisingly, it gives very few examples of expression trees and rewriting templates. It is very good nonetheless.

The Ritchie (PDP-11) C Compiler

[Ritchie76] is, of course, the authoritative paper on the PDP-11 C compiler. (Most C compilers are derived from pcc, but there are a few. . . .)

[Pammett] discusses porting the Ritchie C compiler to the TI990. For a paper of this size (200+ pages), it says amazingly little about how the compiler works. An appendix, however, gives a detailed description of the code tables.

yaco

[Johnson78b] examines how the parser built by yacc operates and provides a detailed example of a parse ta-

ble. The theory behind yacc gets attention in [Aho and Ullman], which contains pointers to other papers and books on the subject as well. This book also presents the grammar and parse table for a subset of eqn.

A public domain implementation of vacc is available from Decus.

Communications

Networking in 4.2 BSD

[Leffler, Joy, and Fabry] devotes 29 pages to the nitty-gritty of sockets and protocol handling in the 4.2 kernel.

UUCP

[Nowitz] discusses queuing, work file formats, control files, and a bunch of the "setup" protocol, but doesn't offer much about the main file transfer protocol (the "g" protocol). [Nowitz and Lesk] looks at the initial handshaking.

For those who are really interested in how the "g" protocol fits into UUCP, Piet Beertema of CWI in Amsterdam (piet@mcvax.UUCP) wrote another protocol—the "f" protocol for use on X.25 networks—that "drops into" UUCP. The source code for this protocol is public domain and was posted to Usenet this summer.

The C Runtime Library

bsearch()

bsearch(), the binary search routine from System V, uses algorithm B in section 6.2.1 of [Knuth] (according to the man page).

Calling Sequences

[Johnson and Ritchie 81] discusses these in great detail.

ctype (isalpha(), isascii(), etc.)

This is pretty trivial, but a paper was actually published that talks about how the CTYPE(3) macros work; see [Gimpel] if you're interested.

dbm(3)

The algorithm used by the data base routines dbminit(), etc., which are documented in the DBM(3) man page, is described in [Fagin, et al.], [Carter and Wegman], and possibly in the November 1982 BSTJ (part two).

hsearch(), hcreate(), hdestroy()

These hash table routines (in System V) use algorithm D from section 6.4 of [Knuth] (according to the man page).

isatty()

[Arnold] mentions that isatty() simply calls gtty() and checks the return value.

Isearch(), Ifind()

These linear search routines (lfind() was added in System V, release 2) use algorithms from section 6.1 of [Knuth].

Memory Allocation

[Kernighan and Ritchie 78a] gives listings of alloc() and free() in section 8.7. Various algorithms are used in different releases of Unix: System V has two different versions of malloc(), and 4.2 BSD supposedly has a power-of-two allocation scheme.

printf(), fprintf(), and sprintf()

For source listings of more or less complete printf() functions, see [Hendrix] or [Comer].

Some versions of the prints() family call an internal routine named _doprnt(): see, for instance, [Kernighan and Pike], page 189. Eric Kiebler (eric@washu.UUCP) posted this to Usenet a while back:

"BEWARE the _doprnt code, my son!
The bits that twitch; the types that clash!
Use the portable varargs stuff,
Avoid the _doprnt's teeth that gnash!"

qsort()

This uses the quicker-sort algorithm, which has been described everywhere including section 5.2.2 of [Knuth]; see especially page 123 where qsort() chooses a trial median from the center of the list.

stdio

A great deal of detail on the workings of the standard I/O library is given in chapter 8 of [Kernighan and Ritchie 78a].

Strings (strcmp(), strtok(), etc.)

A public domain implementation of the various string functions (literally

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dozens of them) was written by Henry Spencer and posted to Usenet.

system()

Listings of various versions of the system() subroutine abound; see [Kernighan and Pike], pages 223-229, and [Kernighan and Ritchie 78b], section 6.

System Calls

Some manuals give the assembly language statements necessary to invoke a given system call (V7 and 4.1 BSD both do this). Later versions of Unix, however, don't do this, presumably because almost no one writes in assembly language anymore. See the section on the kernel (page 51).

tsearch(), tfind(), tdelete(), twalk()

These routines from System V are for working with binary trees; they use algorithms T and D given in section 6.2.2 of [Knuth].

Miscellaneous Utilities

adb

You can get some hints on how adb does its work from the PTRACE(2) (process trace) man page; note that the details of the system call differ depending on the hardware and the Unix release.

ar

There are various versions of ar (file archiver) floating around. The most recent one (in 4 BSD and System V, Release 2) has a public domain equivalent in the par (portable archive) and unpar programs.

at

[Thomas and Yates] discusses atrun and /usr/spool/at.

awk

[Aho, Kernighan, and Weinberger] has a particularly concise paragraph in section 5 that explains how awk works: it uses yacc and lex; regular expressions are handled by deterministic finite automata; awk builds a parse tree from the program, which is interpreted when actually processing data. [Kernighan and Pike] mentions on page 124 that awk uses hashing to implement its associative arrays. See also

the section on regular expressions.

bo

The BC(1) man page mentions that bc is a preprocessor for dc (see below). Various authors note that it is written using yacc.

bdiff

[McGilton and Morgan] on page 173 says that bdiff uses split and diff to do its work.

dc

[Morris and Cherry] discusses certain details of dc, including how it does arithmetic and the dynamic storage allocation method it uses (described in more detail in [Knowlton]).

df

The df (display filesystems) utility calculates the amount of free space on a file system differently in different releases: in V7 it reads the disk's free list, in 4.x BSD it reads the superblock, and in Systems III and V it uses the ustat(2) system call. (This information is from Usenet.)

diff

The algorithm used in diff was developed (independently) by Harold Stone and by Wayne Hunt and Tom Szymanski; see [Hunt and Szymanski] or [McIlroy and Hunt] for details.

echo

Just about every book on C shows how the echo command accesses the command line arguments; [Kernighan and Ritchie 78a] gives three different versions on page 111.

ed

You can get some of the flavor of ed from [Kernighan and Plauger 76] and [Kernighan and Plauger 81]; see also the section on regular expressions.

egrep

egrep uses an extended version of the normal regular expression algorithms; see the section on regular expressions.

eqr

[Kernighan and Cherry] in sections 5 and 6 supplies various details about the design of eqn, including a simplified version of the yacc grammar that it

uses.

fgrep

[Whale] gives the source code for a public domain version of fgrep; the comments discuss the Knuth-Morris-Pratt string-matching algorithm.

grep

[Kernighan and Pike] discusses the tradeoffs between the different algorithms used in grep, fgrep, and egrep. See the section on regular expressions.

passwd (command)

[Gauthier] provides on page 196 a short explanation of why the passwd command must be set-uid root (if this isn't already obvious).

pwd

[Kernighan and Pike] gives hints on pages 51-52 about how pwd works.

spell

See [Kernighan and Pike], page 314, [Peterson], and [McIlroy].

Regular Expressions

Regular expressions are used to varying degrees in awk, ed, egrep, Jim Gosling's emacs, grep, lex, rn (a "readnews" replacement that was posted to Usenet this summer), sed, the shells, vi (Berkeley's screen-oriented editor), and yacc. While they're very popular under Unix, they aren't used very often in other environments. Rather than discuss regular expressions under each utility that uses them, I've collected all the information here.

Implementation

[Holub] contains source code in C for a version of grep that implements full regular expressions. [Kernighan and Plauger 76] and [Kernighan and Plauger 81] give source code to handle slightly different regular expressions in RATFOR and Pascal, respectively.

Theory

The standard reference is [Aho and Ullman], which cites various other publications on the subject. [Aho and Corasick] may also be a worthwhile paper to read; I haven't seen a copy yet.

References



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A File Browser Program

by John R. Johnson

asting about for a useful problem to exercise my new C compiler, I heard about a useful program on a VAX system called "show." This program is similar to the "type" intrinsic command in CP/M, but it allows you to move about at will in the file being displayed. I have often been irritated by "type" when I am looking for a line near the end of a large file and it scrolls past before I can stop the display. It could be a useful utility.

Browse is essentially the front end of a simple editor program. Since it will not change the file, but only display it, a line orientation is adequate. To make moving around easier, the lines in the display should be numbered.

A simple command line parser is required to make the program useful. The ability to repeat a command a set number of times is a desirable option. The parser should accept numeric arguments for either repeat count or line number, depending on the command function.

reading in the file. Should the file be buffered on disk or kept entirely in memory? If it is kept in memory the random access display would be quick. Response time would suffer with a disk buffer. The trade-off is file size. If it is kept entirely in memory the file must be short enough to fit or it will be truncated.

If the file browser has a slow response there is no real reason to use it rather than the editor to examine a file. Any editor will allow most of the functions of the browser. Since it is used primarily for looking over program source code, and I believe programs should be kept as short as possible, I opted for speed. Browse will arbitrarily chop the end off of any file that is too long to fit in the available memory.

The hardware-dependent features are severely restricted. Direct cursor addressing is specifically not required. A string that clears the screen and homes the cursor is used. If your terminal does not support this feature, lo-

Power can be superfluous and features can just be in the way when all you want is the right tool for the task.

There are existing utilities to list files on the printer. A list option in a file browser would be worth including only for those cases where hard copy is desired for only a few lines. If this could be included it would be worth doing.

The most difficult decision involved

cate each instance of the #define constant CLEARS, and replace the call puts(CLEARS) with a call to a function to write a screen full of blanks. Edit the file Browse.h to set the screen and printer page sizes to correspond with your hardware, and that should be all of the installation required.

I do not recommend using I/O redirection with this program. It would put quite a lot of junk into an output file. A minor modification to the list function could allow listing selected portions of

John Johnson, 413 West Sycamore, Carbondale, IL 62901. the program to an output file. This could be useful if your editor allows you to read in only complete files. You could use Browse to pull a function or two out of a larger file so you could incorporate them into a different program. I chose not to include this capability. It is not useful with my editor.

The various functions in the program are quite straightforward. I have tried to put adequate comments into the source to define each of them.

Browse recognizes several commands for moving around in the file being examined. These commands are explained with the required syntax in the function help(), which is the last function in the source listing. (See the table on page 61 for a list of the Browse commands.) Additional commands could be added by writing the appropriate functions to execute them and adding the command character into the if ... else if ... string in the function command(...). A useful command to add would be a string search capability. This could be patterned after the grep function in the book Software Tools, which should be in every serious programmer's library.

At this point in the development, discretion forced a halt before a simple file browser turned into a full-fledged editor. The listing accompanying this article (page 62) was created to be compiled under BDS C version 1.50a. It should convert easily to other C compilers or to other versions of BDS C.

DDI

(Listing begins on next page)

Reader Ballot

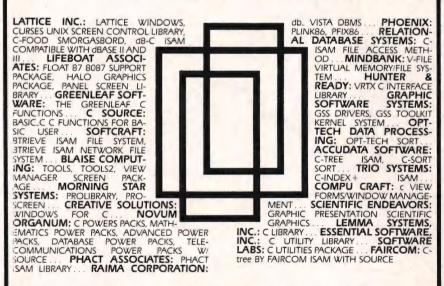
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The following is a brief listing of the commands for Browse. The information may also be found in a help screen (at the end of the program listing), which is available to the user on-line. <n> represents any positive integer.

Command	Result
<n></n>	Redisplay with line <n> in the center</n>
<n> u</n>	Go up <n> lines and redisplay</n>
<n> d</n>	Go down <n> lines and redisplay</n>
	b
Redisplay beginning of file	
е	Redisplay end of file or buffer
<n> t</n>	Reset tab stops to every <n> spaces and redis-</n>
	play the screen; tab stops default to every four spaces if not set
<n> n</n>	Go down <n> pages (default is one)</n>
<n> p</n>	Go up <n> pages (default is one)</n>
q	Quit and return to operating system
<n1> <n2> 1</n2></n1>	List file from $<$ n1 $>$ to $<$ n2 $>$ on the system list device (line printer)

Table

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File Browser Listing (Text begins on page 60)

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Program Browse.c
     by John R. Johnson
     Version 1.01
                     Dec 7, 1983
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     by John R. Johnson
 *
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     will be answered if you call
     at 618-529-2717. Make sure
     the time is between 9 AM and
     5 PM Central time.
     I regret that I cannot return
     long distance calls except
     collect. Thank You.
#include <bdscio.h>
   /* Leor Zolman's definitions */
#include <browse.h>
   /* browse definitions */
main(argc, argv)
int argc;
char **argv;
   int tabstop;
   /* tab stops for display */
   int lincht;
   /* number of lines in buffer */
   int offset;
   /* window width / 2
   int curlin:
  active line number
   ( center of window )
int *lines;
char *max;
 * array of pointers to strings.
* This array is the line index
* for the file. max is the
* maximum useable address for
 * buffer. The buffer is
 * allocated but not accessible
* except through this array.
 * The array lines[lincnt] is a
* trick to get an array of
```

```
* dynamically assigned length.
 * It is located by makbuf()
 * and created by filbuff().
char inbuf[BUFSIZ];
/* file input buffer */
char filename[18];
/* filename buffer */
char cline[135];
/* command line buffer */
puts (CLEARS);
printf("\nBROWSE copyright ");
printf("1983 by John R.");
printf(" Johnson\n");
tabstop=4;
/* default value for tabs */
 * get the file name, if it is
 * not given on the command
 * line prompt the user.
if ((argc>1) &&
    (strlen(argv[1])<18))
   strcpy(filename, argv[1]);
else
   while(1)
      puts("Enter file name > ");
      if (getline(filename, 18))
         break;
   open the file requested
if (fopen(filename,inbuf) == ERROR)
   error("\nfile %s not found"
                    ,filename);
   exit();
  create the arrays
lines = makebuf(&max);
if (lines==0)
   error("\ncouldn't create
      buffer for %s", filename);
   fclose(inbuf);
   exit();
```

```
* read the file into the arrays
   if ((lincnt=
    fillbuf(lines, max, inbuf)) == 0)
      error("\ntrouble reading %s",
                          filename);
      fclose(inbuf);
      exit();
    * close the file so we don't
    * do anything to it
   fclose(inbuf);
    * initialize the display
   offset = setoff();
   curlin = offset:
    * display the screen and parse
    * and interpret commands.
    * Notice the next current line
    * is returned from the command
    * parse routine.
   while ((curlin=command(filename,
         curlin, cline, offset, lines,
         lincht, &tabstop)))
      clear the screen
   puts (CLEARS);
} /* end of the main function */
    special functions used */
* int error(format, arg)
 * char *format, *arg;
 * ring the bell and print an
 * error message formatted as
 * for the printf function.
*/
int error (format, arg)
char *format, *arg;
   puts (BELL);
   printf(format, arg);
```

```
* int setoff()
 * set the display offset
int setoff()
   return ((SCRHT-1)/2);
   display(keylin, offset, lines,
                      last, tab, f)
 * int keylin, offset, lines[];
 * int last, tab, f;
 * display offset lines before and
 * after the current line. Stop
 * when screen is full and wait
 * for further commands. Do not
 * alter the display if current
 * line if negative. A negative
 * value is used to keep from
 * writing over the help screen
 * when it is displayed.
display(keylin, offset, lines, last,
int keylin, offset, lines[], last, tab;
char *f;
   int j, lone, ltwo;
    * if current line is negative,
    * omit display update
   if (keylin<0)
      return;
    * don't try to display more
    * lines than there are.
   if ((keylin+offset)>last)
      keylin=last-offset;
    * don't try to display before
   * the beginning of the file.
   if ((keylin-offset)<0)
      keylin=offset;
   * if the entire file fits on
   * the screen, just display the
   * entire file. Otherwise just
   * display what fits, so it the * current line stays in the
   * middle of the screen.
   if (last<(offset+offset+1))
                         (Continued on page 66)
```

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```
{
      lone=0;
      ltwo=last;
   }
   else
      lone=keylin-offset;
      ltwo=keylin+offset;
   }
    * do the display of the
    * lines we chose and then
    * print the file name at the
    * bottom of the screen.
   puts (CLEARS);
   for (j=lone; j<(ltwo);++j)</pre>
      outlin(j,lines[j],tab);
   printf("file: %s > ",f);
}
 * int n, t;
 * char *str;
 * output a line to the terminal
 * expanding tabs by t. To take
 * care of terminals with fixed
 * tab stops. Truncate displayed
 * line to screen width to prevent
 * uncontrolled scrolls.
int outlin(n,str,t)
int n,t;
char *str;
   char c;
   int col,k;
   k = \emptyset;
   printf("%3d: ",n);
   /* print the line number */
    * now output the line
   for (col=5; col<(SCRWID-6);)
       * expand tabs for terminals
       * that don't support tab
       * setting to any widths.
      if ((c=str[k++])=='\t')
         do
         /* at least one blank */
```

```
putchar(' ');
           col++;
         } while((col%t)&&
                 (col<(SCRWID-6)));
        quit at the new line
      else if ( c=='\n' )
         break;
       * for all others,
         just put the char
      else
         putchar(c);
         col++;
    * always end with a new line
  putchar('\n');
   int makebuf (pmax)
  char **pmax;
* allocate all of free memory for
* a buffer for the lines array
* and the text buffer. Arg is a
* pointer to max so that max can
* be set to the last available
 * memory location. Return the
 * pointer gotten from alloc(...)
* for the value of lines.
int makebuf (pmax)
char **pmax;
{
   char *here;
   unsigned templ, temp2;
   templ=endext();
   /* first memory location */
   temp2=topofmem()-3000;
   /* last free memory */
   here=alloc(temp2-temp1);
   /* allocate it */
   if (here==0)
```

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File Browser Listing (Listing Continued, text begins on page 60)

```
return (0);
   *pmax = temp2;
   return (here);
}
  int fillbuf(lines, max, inbuf)
 * int lines[];
 * char *max;
 * struct _buf *inbuf;
 * Fill the string arrays allocated
 * by makbuf(). As each line is
 * placed into the text buffer,
 * enter the corresponding pointer
 * into the array lines[]. Notice
 * the text buffer builds down from
 * top of free memory while the
 * array lines[] builds up from the
 * bottom. Filling stops on end of
 * file or when the array meets the
 * text buffer.
 * Return the number of lines read.
int fillbuf(lines,max,inbuf)
int lines[];
char *max;
struct _buf *inbuf;
   int count;
   /* line counter */
   char *bufptr, *buflin;
   /* buffer ptrs */
char tbuf[100];
   /* temp input buffer */
   bufptr = max;
   /* start of buffer */
   *bufptr-- = '\0';
   /* put in the null */
   *bufptr-- = '\0';
   buflin = bufptr - 8;
 if (lines > buflin)
 return (0);
strcpy(buflin,"<Start>\n");
 lines[0] = buflin;
 bufptr = buflin;
 count=1;
while (&lines[count] < bufptr)
     * get a line into the buffer
     * and place the pointer in
     * lines[] array for access.
    if (fgets(tbuf, inbuf))
       buflin = bufptr -
                strlen(tbuf) - 1;
       if (&lines[count] > buflin)
          break;
       *--bufptr = '\0';
```

```
lines[count] = buflin;
         bufptr = buflin;
         strcpy(buflin, tbuf);
         count++;
      }
      else
         break;
   buflin = bufptr - 8;
   if (&lines[count] < buflin)
       * put on the end of file
       * marker if we got there.
      strcpy(buflin, "<End>\n");
      lines[count] = buflin;
      *--bufptr = '\0';
      *--bufptr = '\0';
      ++count;
  return (count);
}
 * int command(f, active, cmd,
         offset, lines, lincht, t)
 * int active, offset, lines[];
 * int lincnt, *t;
 * char cmd, *f;
  command interpreter and display
 * handler. Parses the command
  line and executes the correct
 * function to execute the command.
 * Additional commands can be added
 * into the if ... else if ... else
 * construction in the parser.
 */
int command(f,active,cmd,offset,
                     lines, lincht, t)
int active, offset, lines[];
int lincht, *t;
char cmd[], *f;
   int length, first, second, temp;
   char key;
   display(active, offset, lines,
                    lincht, *t,f);
    * If display is suppressed,
    * then activate it.
    */
   if (active<0)
      active=-(active);
```

(Continued on page 70)



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```
/* get a command line */
length=getline(cmd, 132);
   if command line is null,
 * then do nothing more.
if (length == \emptyset)
   return (active);
  Set the key to the first
 * alpha in command.
key=letter(cmd,length);
  Get up to two numbers from
 * the command line.
first=numone(cmd,length);
second=numtwo(cmd,length);
 * No key found means first is
 * the desired current line.
if (key == \emptyset)
   if (first<offset)
      return (offset);
   if ((first+offset)>lincnt)
      return (lincnt-offset);
   return (first);
}
 * force the key to lower case.
key = tolower(key);
 * if key is u
 * move up n lines.
  (default 1)
 */
if (key == 'u')
   if (first)
      if ((active-first)
                  < offset)
         return (offset);
      else
         return (active-first);
   else
      if (active > offset)
         return (--active);
       else
          return (offset);
```

```
}
  if key is d
   move down n lines.
   (default 1)
if (key == 'd')
   if (first)
      if ((active+first) >
           (lincnt-offset))
         return (lincnt-offset);
      else
         return (active+first);
   else
      if (active <
           (lincnt-offset))
         return (++active);
      else
         return (lincnt-offset);
   }
}
  if key is b
* move to beginning of file.
if (key == 'b')
   return (offset);
   if key is e
 * move to end of file.
if (key == 'e')
   return (lincnt-offset);
  if key is t
 * set tabs to n (default 4)
 if (key == 't')
    if ((first<=0) | | (first>20))
       first=4;
    *t=first;
    return (active);
   if key is p
    n'th previous page.
    (default 1)
  */
 if (key == 'p')
```

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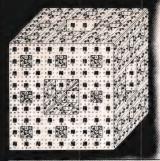
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File Browser Listing (Listing Continued, text begins on page 60)

```
if (first)
      if ((temp=2*offset*first)
           > active)
         return (offset);
      else
         return (active-temp);
   }
   else
      if ((temp=2*offset)
           > active)
         return (offset);
      else
         return (active-temp);
}
  if key is n
* n'th next page (default 1).
if (key == 'n')
   if (first)
      temp=first*(offset+offset);
      if ((active+temp)
           > (lincnt-offset))
         return (lincnt-offset);
      else
         return (active+temp);
   }
   else
      temp=offset+offset;
      if ((active+temp)
           > (lincnt-offset))
         return (lincnt-offset);
         return (active+temp);
   if key is q
 * quit and exit to system.
if (key == 'q')
   return (0);
 * if key is l
 * list from first to second
 * lines on printer.
if (key == 'l')
   lister(first, second, lincht, lines,
      *t,f);
   return (active);
}
```

```
* default, illegal command.
    * display help screen for
    * everything else.
   help();
   return - (active);
  int letter(str,len)
  char *str;
   int len;
 * returns the first alphabetic
 * character in string str
 * returns null if there are no
 * alphas in the string.
char letter(str,len)
char *str;
int len;
   char c,*cptr;
   int k;
   cptr=str;
   k = \emptyset:
   while((c=cptr[k++]))
      if (isalpha(c))
         break;
   return (c);
   numone(str, len)
   char *str;
   int len;
  returns the integer value of
 * the first decimal digit string
 * encountered in string str.
 * Returns zero if no digit string
 * is found.
int numone(str,len)
char *str;
int len;
   char c, *cptr;
   int k;
   cptr=str;
   k = \emptyset;
   while ((c=cptr[k]))
```



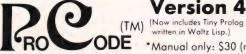
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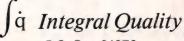
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```
if (isdigit(c))
         break;
      ++k;
   if (c)
      return (atoi(cptr+k));
   return (0);
  int numtwo(str, len)
 * char *str;
 * int len;
 * returns the integer value of
 * the second decimal digit string
 * found in string str.
 * Returns zero if there is no
 * second digit string in str.
int numtwo(str,len)
char *str;
int len;
   char c,*cptr;
   int k,n;
   cptr=str;
   k = \emptyset;
   while ((c=cptr[k]))
      if (isdigit(c))
         break;
      k++;
   if (c==\emptyset)
      return (0);
   cptr=nondigit(cptr+k);
   k=cptr-str;
   return (numone(cptr,len-k));
}
 * char *nondigit(ptr)
 * char *ptr;
 * advance ptr to the first
 * position that is not a digit
 * and return the new value of
 * the ptr.
char *nondigit(ptr)
char *ptr;
   char c, *cptr;
   cptr=ptr;
   while(1)
      c=*cptr;
```

```
if (isdigit(c) == FALSE)
         return (cptr);
      ++cptr;
}
 * lister(here, tohere, topcnt,
                   lines, tab, f)
 * int here, tohere, topcnt;
 * int lines[], tab;
 * char *f;
 * Print the lines of the file
 * from here tohere on the system
 * list device using CP/M list
 * driver. If here is greater
 * than tohere roll around to
 * line Ø when the end of file
 * is reached and continue list.
 * The listing should be paginated
 * according to the values in
 * brouse.h for form width and
 * length. Each line should be
 * numbered. Expand tabs by tab.
 * Print the filename and a page
 * number at the top of each page.
lister(here, tohere, topcnt,
                      lines, tab,f)
int here, tohere, topcnt;
int lines[], tab;
char *f;
{
   char c, *cptr;
int col, row, i, j, page;
if ((tohere<=0) ||
    (tohere>=topcnt))
   tohere=topcnt-1;
if ((here<=0) ||
    (here>=topcnt))
   here = \emptyset;
i=here;
page=row=1;
col=0;
while (1)
   fprintf(2,"\nfilename: %s"
                            ,f);
                         page %d\n\n"
   fprintf(2,"
                         , page);
   row=row+3;
   while (row<(FORML-2))
      cptr=lines[i];
      col=5;
      j=\emptyset;
      fprintf(2,"\r%3d: ",i);
                        (Continued on page 76)
```

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```
while ((c=cptr[j++]))
       if (c=='\t')
        {
           do
              putc(' ',2);
              col++;
           } while ((col%tab)&&
              (col<(FORMW-1)));
       else if ((col>=(FORMW-1))
                && (c != '\n'))
           putc('\n',2);
          break;
       else
          putc(c,2);
          col++;
         row++;
         col=5;
         if (i==tohere)
            col=0;
            break;
         if (++i >= topcnt)
            i=0;
      while (row++ <= FORML)
         putc('\n',2);
      page++;
      row=1;
      putc('\r',2);
      if (col==0)
         break;
 * This comments out the pause at
* the end of each page, reinstate
* these two lines of code for
* single sheet paper feeding.
*/
      printf("\rpage %3d:",page);
      printf(" any key to continue > ");
      c=getchar();
 */
}
 * int help()
 * Command help facility. Display
* a concise list of the browse
```

```
* commands with syntax and
 * results shown. If new commands
 * are added make sure you add
 * them here also.
help()
   printf(CLEARS);
  printf("\n
                 Browse Command");
  printf(" Information\n");
  printf("\nCommand
                       Results");
  printf("\n-----
                       ----"):
  printf("\n #
                       redisplay");
   printf(" with line # in center");
   printf("\n # u
                       go up #");
   printf(" lines and redisplay");
   printf("\n # d
                       go down #");
   printf(" lines and redisplay");
   printf("\n b
                       redisplay");
  printf(" beginning of file");
  printf("\n e redisplay");
printf(" end of file or buffer");
   printf("\n # t
                       reset tab");
   printf(" stops to every # spaces");
   printf("\n
                       and redis");
   printf("play screen. Tab stops");
   printf("\n
                       default to");
   printf(" every 4 spaces");
   printf("\n # n
                       go down #");
  printf(" pages ( default is one )");
   printf("\n # p
                       go up # p");
   printf("ages ( default is one )");
   printf("\n q
                       quit and ");
   printf("return to operating system");
   printf("\n # # 1
                       list file ");
   printf("from first # to second # on");
   printf("\n
                       the system ");
   printf("list device ( printer )");
   printf("\n # represents any ");
   printf("positive integer");
   printf("\nenter any command or");
   printf(" a return to redisplay\n");
}
/* end of the special functions */
```

End Listing

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An Introduction to Parsing

by Dr. Henry A. Seymour

hat is parsing and why would a programmer want or need to learn about it? Well, parsing is the process of breaking down an input string into its most elementary parts, referred to as tokens. The portion of a program that performs this action is called the parser. Parsers are used in many areas of computing:

- (1) A compiler translates a high-level language such as Basic or Fortran into object code. Usually one Fortran statement translates into about six object code instructions.
- (2) An assembler translates an assembly language program into object code instructions. The assembly language is machine dependent; usually each instruction is translated into one machine instruction.

gram with indentations at the appropriate places.

- (6) A command language processor is a program that accepts the job control language of the operating system and determines the meaning of the request.
- (7) A query language processor is a program that accepts English language requests, determines their meaning, and performs the inquiry from a data base.
- (8) A text editor is a program that accepts a string of commands and, based upon those commands, creates or modifies a file.

All of these applications are interesting enough to discuss in detail; however, because most readers are probably familiar with an assembly language, I will use the assembler as the vehicle of demonstration. Knowledge obtained in the

What smart databases, adventure games, Basic interpreters and Latin teachers have in common.

- (3) An interpreter is an operation similar to the assembler, but the computer executes the machine instruction immediately; the compiler and assembler produce object code for later manipulation.
- (4) A translator is a program that takes as input a source language and produces an equivalent version in the same language or in a different language; for example, Fortran 66 to Fortran 77, RPG to COBOL, etc.
- (5) A pretty printer is a program that takes as input a source program, such as Pascal, and outputs the same pro-

designing and writing of a parser for an assembler should be easily applied to any of the other areas.

Assembler

In the parsing process, an input string first must be scanned to obtain the tokens of data, then the tokens must be evaluated to determine whether they are meaningful. For example, the input string

LOOP LOAD VAL.5

must be scanned, and the tokens must be isolated:

LOOP

LOAD

VAL

Dr. Henry A. Seymour, Martin Marietta Aerospace, P.O. Box 6184. Huntsville, AL 35806.

Then a decision can be made as to whether the string of tokens represents a valid instruction.

The first step in writing an assembler is to state the characteristics of the assembly language. The language that I will describe does not represent an existing language but is for demonstration purposes only. It is, however, similar to many assembly languages available today. The format is:

[label] operation operand [,register]

The brackets indicate that the enclosed field is optional. Characteristics of the language are:

- Blanks and commas are delimiters.
- The label must begin in column one.
- Each field is either numeric or alphabetic.

The second step is to analyze the language's characteristics to determine its logical structure. A graphic display of this logical structure is called a transition diagram. Figure 1 (below) shows the logical structure of the language described above. The characteristics of a transition diagram are:

- · Circles are called states.
- · Arrows indicate transition paths.
- Double circles mean a terminating state.

The characters associated with the arrows cause control to move from one state to another. The characters within the circles represent the state type:

- DS is the delimiter state (the beginning state).
- SS is the symbol state.
- NS is the number state.
- TS is the terminal state (ending state).

Let's go through both paths of the transition diagram to see if it will accept the language defined above. We begin at the delimiter state, DS. If the first character is alphabetic, control proceeds to the symbol state, SS, which contains the intelligence of the program. Control at SS implies that an alphabetic field is being parsed.

The looping arrow returning to SS means that control will accept any number of alphabetic characters and will remain in SS. However, upon encountering either a blank or a comma, control will proceed to the terminal state, TS. This means that the process has arrived at a point where a token

has been obtained and can be saved in some location. To allow the next token to be retrieved, control is given back to the delimiter state, DS.

Now consider an alternate path: If a digit is encountered, control will proceed to NS. Being at NS implies that a digit has been found, and control will remain at NS as long as digits are encountered. Upon recognizing a blank or a comma, control will proceed to the terminal state.

The transition diagram will recognize only one unit of information at a time: a number, a symbol, or a delimiter (either the blank or the comma). This is a simplistic model that doesn't consider error conditions. I will discuss that topic later on.

The Pascal-like program in Listing One (page 82) represents the logic in the transition diagram. The functions BLANK, COMMA, ALPHA, and NUMBER test the character to determine its class. The assumption of the program is that the input record is read into an array. The procedure GET_CHAR will move a character from the input array into CHAR for later testing by BLANK, COMMA, ALPHA, and NUMBER. After

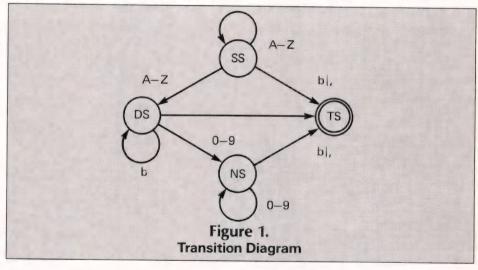
the character has been tested it will be moved into the token array by the procedure MOVE_CHAR.

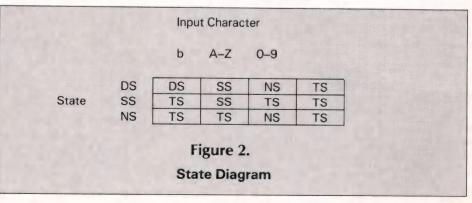
The point to recognize here is that the transition diagram has helped a great deal in describing the program logic. To check for more complex structures in the assembly language and for error conditions, the program must become very large and hence more difficult to read. In that case, the transition diagram will be an even more important aid in the program design process.

Although this approach to the implementation of a parser is preferable to having no developed plan at all, a better and simpler approach gives greater control over the parsing process. That approach involves one additional step: the creation of a state diagram.

State Diagram

The state diagram is equivalent to the transition diagram, but it can be implemented with greater ease and with less source code. The state diagram is a two-dimensional representation of the transition diagram. Each state—that





is, each circle—in the transition diagram is represented as a row in the state diagram. The terminal statesthat is, double circles—are the exception and are represented as rows. The transition diagram in Figure 1 would be represented by the state diagram in Figure 2 (page 79).

The contents of the array are the states that may be reached. The terminal state does not need a row because, once it is recognized, there is no reason to continue using the array. In the process of transforming the transition diagram into the state diagram, two error conditions became obvious. When in SS, no exit path exists for a digit, and when in NS, no exit path exists for a symbol. Temporarily, I will place the state code TS in the appropriate cells; later I will discuss how to specify error states.

As shown in Figure 3 (below), the input record is held in an array called an input buffer, and the parsed token is placed into a token buffer. The source record is read into the input buffer, and a pointer is used to point to each position. The program scans the input buffer, copying characters into the token buffer. Upon encountering a delimiter, the scanning process stops: the token buffer contains a unit of information.

After the token has been parsed, control is returned to the parsing process with the input pointer pointing to the character that caused the temporary halt. The process begins again at the delimiter state, and another token is parsed. The process continues until an end-of-line condition is detected.

The program in Listing Two (page 82) represents the state diagram shown in Figure 2. The function TYPE in Listing Two determines the category of the input character, as shown in Figure 2, and expresses that in the form of a column value. The program uses two variables, OLD_STATE and NEXT_STATE, to hold the state code of the token that is presently being constructed and the next state that the program is about to enter. The if statement determines how a token has been recognized. The implementation of the state diagram is efficient, easy to understand, and maintainable.

Error Conditions

The trapping of some errors can be implemented in the state diagram; how-

 $FOUND: if OLD_STATE = SS and$ LENGTH(TOKEN) >6 then ERROR('LENGTH ERROR');

Another type of error is the combin-

ever, certain errors should be checked

only after the token has been obtained.

For example, if the language specifica-

tions state that a label and operand

variable be six characters or less, then

the program must check for this. In the

process of making a general but effi-

cient model, however, some capabili-

ties such as counting are unavailable.

buffer, moving characters into the to-

ken buffer, until a delimiter is encoun-

tered. After the token has been ob-

tained, its length can be determined. If

the length is in error, an appropriate

error message can be displayed. A typ-

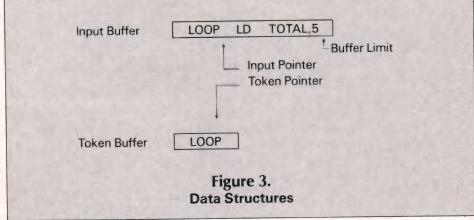
ical error check for length would be:

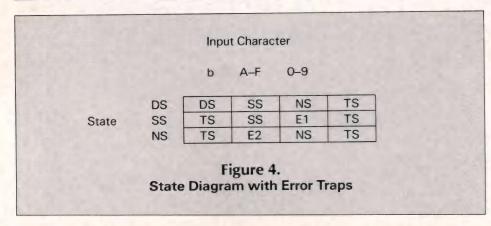
The solution is to scan the input

ing of two tokens. In the present specifications, it is invalid to mix letters and numbers, such as LOAD5. The present state diagram will go to TS upon encountering the 5, but, upon reaching the FOUND label, the error will not be obvious. A token of 5 will be found next, and it will be up to another portion of the program to determine whether an error has been found. This kind of error causes the program to assume that the entire field has been obtained, which invalidates the remaining parsing operation. It can also cause multiple error statements to be printed when, in fact, only one error exists.

The state diagram could be rewritten to give it more error checking capability. This would be important if the programmer wanted to make the processor user friendly. How user friendly a program is depends on its ability to recognize errors, identify them to the user, and if possible make corrections. However, the more user friendly the program, the larger and more complex it is.

The state diagram in Figure 4 (at left) includes two new states, E1 and E2. The state diagram now has the ability to trap the two error conditions, such as A1 and 1A. There are no rows for these new states, and they will be treated similarly to the state TS; that is, when the program encounters E1 or E2, it will discontinue the use of the state diagram and proceed to a portion of the program that handles the error





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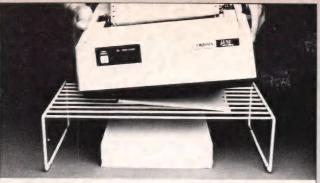


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conditions.

The code associated with the FOUND label now can check to determine whether either of these errors has occurred and, if so, what information may be transmitted to the user. The code in Listing Three (page 86) shows one approach to this.

Expanded Assembly Language

Let's increase the strength of the pseudo-assembly language and create a state diagram that will recognize all possible valid tokens. The new instruction format is:

[label] operation operand [,register]

The characteristics of the language follow:

- Blanks and commas are delimiters.
- The operand and register may be a symbol, an integer value, or a hexadecimal value.
- The X followed by a string indicates a hexadecimal value (X'1F').
- The operation field must be a symbol.
- The label must begin in column one.
- The instruction is free form but must be stated completely on one record.
- The operand field may contain one or two operands separated by one of the following arithmetic operators:
 *, -, +, and /.

Procedure GET_TOKEN;

DS: GET_CHAR (* from input buffer to CHAR *); if BLANK(CHAR) then go to DS else if ALPHA(CHAR) then go to SS else if NUMBER(CHAR) then go to NS else if COMMA(CHAR) then go to TS;

SS: MOVE_CHAR (* from CHAR to token buffer *);

GET_CHAR (* from input buffer to CHAR *);

if ALPHA(CHAR) then go to SS

else if BLANK(CHAR) or COMMA(CHAR) then go to TS;

NS: MOVE_CHAR (* from CHAR to token buffer *);
GET_CHAR (* from input buffer to CHAR *);
if NUMBER(CHAR) then to to NS
else if BLANK(CHAR)or COMMA(CHAR) then go to TS;

TS: (* do work with recognized token *)

Listing One

OLD_STATE : = DS;

repeat

GET_CHAR (* from input buffer to CHAR*);

COLUMN : = TYPE(CHAR);

NEXT_STATE : = ARRAY(OLD_STATE, COLUMN);

if NEXT_STATE ≠ DS then

if TERMINAL(NEXT_STATE) then go to FOUND

else

begin

MOVE_CHAR (* from CHAR to token buffer *); OLD_STATE := NEXT_STATE;

OLD_STATE

end

else null (* skip blanks *);

until INPUT_POINTER > BUFFER_LIMIT;

FOUND: (* Token has been found.

Type of token is described by the contents

of old_state. *)

Listing Two

 The operand may contain a literal, such as RSTU.

By analyzing these characteristics, one can begin to design the transition diagram. The first noticeable characteristic is that variables are made of letters and numbers. Also, numeric, hexadecimal, and character fields all use the common alphabetic and numeric set of characters. This will be an important feature in the transition diagram.

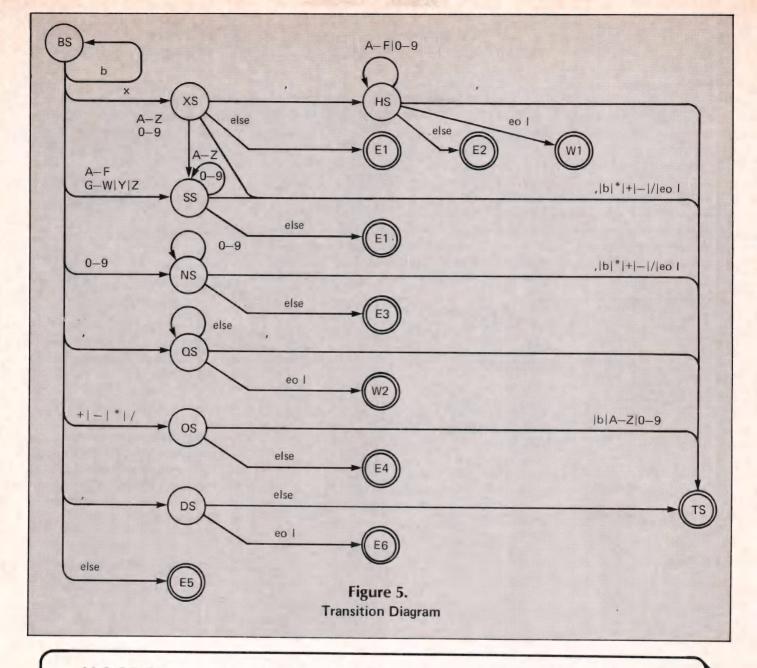
A study of these features determines the states that must be defined, the paths between the states, and possible error conditions. The transition diagram in Figure 5 (page 83) represents a program that can recognize tokens as well as some error and warning conditions. The error and warning features include a suffix digit, which uniquely identifies the condition that has been detected. Also included is the ability to recognize the end of the input line. The meaning of each state code in Figure 5 is as follows:

- BS is the blank state (beginning state).
- DS is the delimiter state.
- XS is the X state (might be a symbol or hexadecimal state later).
- HS is the hexadecimal state.
- SS is the symbol state.
- NS is the numeric state.
- OS is the quote state.
- OS is the operator state.

In designing the transition diagram, I specified that a hexadecimal string have only the letters A through F and the numbers 0 through 9. Because the transition diagram cannot determine the running value of a hexadecimal number or a decimal number that is being parsed, this type of error trap must be expressed as source code. It is possible to trap the error condition when a hexadecimal string contains an invalid character, such as the letters G through Z. This same error can be trapped at a later point in the program. The programmer may choose where to place the trap. The error states, warning states, and terminal states do not have an equivalent row representation as do the other states. These states must be trapped by the program and appropriate action taken.

Expanded State Diagram

The columns in the expanded state diagram (Figure 6, page 84) are almost as



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straightforward as before. One must have a column for each unique type of data that is expected, plus a generic "else" column for unexpected or erroneous data. In many cases, each unique edge in the transition diagram in Figure 5 corresponds to a column in the state diagram in Figure 6.

However, as mentioned earlier, the definition of variables, hexadecimal strings, character strings, and numbers uses the same character set. The X must have a column to note the possible beginning of a hexadecimal string. Because X might also be the beginning of a variable, it will be necessary to de-

termine whether the program has arrived at a terminal state and OLD-STATE is XS. This means that the X was recognized, the transition to state X was taken, and a symbol other than a quote caused the transition to the terminal state. In fact, the X is a symbol, and OLD_STATE must be changed to SS. A variable can include any of the alphabet and the digits, but because the digits must be separately recognized they must have their own column. The characters that make the hexadecimal string are a subset of the alphabet plus all the digits. As a result, there is no column just for variables or hexadecimal strings.

The process of combining the operators into one column requires that the program determine, at a later point, which operator it has found. It would be possible to have a column for each of the operators, but the cost of extra memory to represent them is probably not worth it.

The operand field is restricted to a simple set of cases, such as:

A A + B A - B A * B A / B

For the assembler to handle more complex arithmetic, we would have to delve into operator precedence parsing. An expression parser would build on this work, taking identified tokens as its input.

Error and Warning Messages

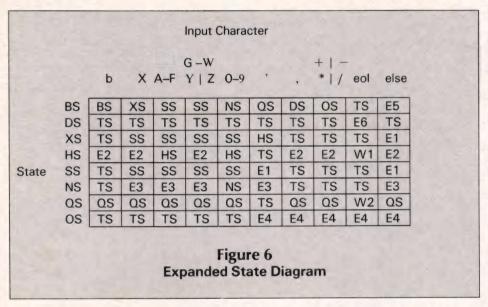
It is possible to have all error traps display one generic statement, i.e., INVALID STATEMENT. Also the program might make no attempt to correct statements, even though it could do so, but this would not be characteristic of a user-friendly program. The error and warning messages that are referred to in Figure 6 are listed as follows:

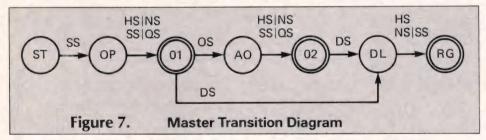
- E1 invalid symbol
- E2 invalid hexadecimal character
- E3 invalid numeric character
- E4 invalid arithmetic syntax
- E5 unrecognizable character
- E6 missing register
- W1 missing closing quote in hexadecimal (quote provided)
- W2 missing closing quote in string field (quote provided)

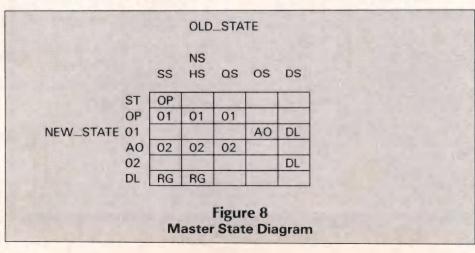
When a warning state has been reached, the program should repair the string and set the variable OLD _STATE to the appropriate value. For example, if W1 is recognized and the closing quote is provided, then the OLD_STATE should be set to HS.

Master Driver

In the discussion thus far, the main point has been to obtain one token at a time. During the process, error checking determined whether a valid token had been obtained. However, it is pos-







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2606 Johnson Drive Columbia MO 65203 sible to collect a string of valid tokens without constructing a valid instruction. For example,

5 X'AB' LOAD

FOUND:

is a string of valid tokens, but it is not a valid instruction according to the language specifications.

We can define a transition diagram for valid tokens then convert that to a state diagram. The implementation of the token state diagram to retrieve a token will then perform as a servant to the master state diagram, which deter-

(* other work here *)

if NEW_STATE = E1 then

mines the validity of the input instruction. Figure 7 (page 84) shows a transition diagram for a program that determines whether instructions are correct. This master transition diagram makes use of information obtained by the token transition diagram. The transitions from one state to another use the states of the token transition diagram rather than characters. This is a more general view of the input command.

The meaning of each new state symbol in Figure 7 is as follows:

- ST is the start state.
- OP is the operation state.
- O1 is the first operand (terminal

state).

DL is the delimiter state.

RG is the register state (terminal state).

In Figure 7, at only three states would it be acceptable to terminate: O1, O2, and RG. To stop while at any other state would indicate an incomplete command.

The state diagram shown in Figure 8

• AO is the operator state.

• O2 is the second operand (terminal

state).

The state diagram shown in Figure 8 (page 84) reflects the logic of the transition diagram in Figure 7. I have left all the error entries blank, assuming that the reader would like to apply the knowledge gained so far by specifying the error codes. The partial program shown in Listing Four (below) is a representation of the state diagram in Figure 8.

The program begins by checking for the presence or absence of the label. If a label is present, it must be entered in a symbol table: hence the need for the special procedure, GET_LABEL. This instruction is not represented in the transition diagram but is implied by the specifications of the language. The program determines at three points whether a valid command has been found. These terminating points are indicated by the double circles in Figure 7 and by the "if input_character = eol" statements in Listing Four.

begin

ERROR('INVALID SYMBOL');

(* other work here *)

end

else if NEW_STATE = E2 then

begin

ERROR('INVALID NUMBER');

(* other work here *)

end

Listing Three

Procedure MASTER_STRING;

. . .

if column_one_is_not_blank then GET_LABEL;

ST: GET_TOKEN;

if OLD_STATE = SS then go to OP

else ERROR;

OP : GET_TOKEN;

if OLD_STATE is in (HS, NS, SS, QS) then go to 01

else ERROR;

O1: GET_TOKEN;

if input_character = eol then (* found acceptable string *)

if OLD_STATE = OS then go to AO;

if OLD_STATE = DS then go to DL

else ERROR;

AO : GET_TOKEN;

if OLD_STATE is in (HS, NS, SS, QS) then go to 02

else ERROR;

02 : GET_TOKEN;

if input_character = eol then (* found acceptable string *)

if OLD_STATE = DS then go to DL

else ERROR;

DL: GET_TOKEN;

if OLD_STATE is in (HS, NS, SS) then go to RG

else ERROR;

RG: GET_TOKEN;

if input_character = eol then (* found acceptable string *)

else ERROR;

Listing Four

Summary

The discussion about the general area of parsing has outlined an efficient method of implementing the parsing process:

- Analyze the characteristics of the input data.
- Produce a transition diagram.
- · Produce a state diagram.
- Write the source code.

A method of trapping error conditions has been shown, and a coding technique that indicates uniquely which error occurred has been demonstrated. Also discussed was a method of correcting an error condition and giving an appropriate warning message. This information should be applicable to most areas of computing.

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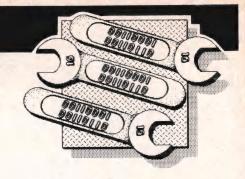
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16-BIT SOFTWARE TOOLBOX



by Ray Duncan

Readers Pitch M68000

The 16-Bit Mailbag brought me no less than 10 letters and cards this month from readers requesting more material on the Motorola 68000. Interestingly, not a single one of these readers contributed a 68000 programming tip, listing, or any other words of wisdom. Come on, guys, we aren't operating in a vacuum here!

Those readers who hoped that the introduction of the Macintosh would lead to the development of scads of 68000 public domain software are going to be sadly disappointed. In the first place, Apple has outsmarted itself by making program development on the Macintosh hideously difficult. The native high-level languages available for the Mac are (by IBM PC or even by Z80 CP/M standards) incredibly weak, bugridden, slow, and nonstandard. For example, MacBASIC can't even run the BYTE Sieve of Eratosthenes benchmark because the Mac runs out of memory. As its latest practical joke (or maybe this is just a nose-thumbing gesture at the free-lance software developer). Apple has released an assembler for the Mac that won't run on just one Mac-you need two. It seems a little incredible, no matter how badly the Mac's 68000 is crippled with overblown operating system software, that the self-proclaimed wizards at Apple couldn't get a two-pass assembler to run on a third-generation microprocessor equipped with 128K of RAM and a 300K + disk drive. The old Digital Research 8080 assembler ran nicely in 32K with room left over for the operating system.

Let's travel back in time to the February 1984 BYTE magazine, in which Steve Jobs was quoted as saying (page 63): "This is an IBM video board; it's only video, nothing else. It's 69 integrated circuits, more chips than an en-

tire Macintosh, and it basically does nothing. And it doesn't even do that very well." Talk about hubris! Remember, that quote was from the same guy who brought you an assembler that requires two computers.

It's becoming clear that the Macintosh's fate will be similar to the fate of the Lisa-critical acclaim, but lackluster sales. My inside source in Cupertino, Deep Golden Delicious, tells me that in the year since the Mac's announcement, approximately 200,000 machines have been delivered. Think back to all that hoopla we were bombarded with last winter, about a super Macfactory for Macs that can grind out one Mac every 15 seconds. Consider that in the same time period, more than a million each IBM PCs and Apple IIs went out the door. Now, I suppose I'm going to get piles of nasty letters from the MacWorshipper crowd. At least then we'll have 68000 topics galore to write about, won't we?

Some 8086 Debugging

Hidden in the obscurities of the Intel 8086 instruction set are some classic booby traps that can take hours (yes, even days) to debug. Here are two to watch out for.

Consider the assembly code in Listing One (page 93). This is the source for a Forth ROLL command, which picks a word out of the interior of the machine stack and moves it to the top of the stack. Clue No. 1: As written here, the command executes correctly-most of the time. Clue No. 2: In the Intel iAPX 86,88 User's Manual (page 2-42), the fine print says that "execution does not resume properly [after an interrupt] if a second or third prefix ... has been specified in addition to any of the repeat prefixes." Aha! This code fails because, at unpredictable intervals, a hardware interrupt occurs during the repeated execution of the string instruction. The 8086 loses track of either the addressing context or the repeat prefix itself upon return from the interrupt (depending on the order in which prefixes were assembled); consequently, either the wrong number of words is moved, or the words are moved from the wrong source address.

Now look at Listing Two (page 93). This code is supposed to transfer a number from the top of the 8086's machine stack to the top of the 8087's machine stack. It works correctly "most of the time." The bug here results from a subtle failure of synchronization. Since the programmer failed to include a WAIT after the FLD[BX] instruction before incrementing the 8086's stack pointer, a hardware interrupt could occur and be serviced after the ADD SP,8 instruction is executed but before the 8087 has completed its transfer of the number from shared memory. Thus, the process of servicing the interrupt will push the CPU flags and return address on top of the number that the 8087 is loading, partially or completely destroying that number. This type of problem is extremely tough to isolate. Users can best avoid the problem altogether by paying scrupulous attention to synchronization and stack protection.

Sneak 80286 Preview

Since IBM has put its Good Computing Seal of Approval on the Intel 80286 with the introduction of the PC/AT, it behooves us all to start learning to use this processor properly. The 80286, when running in "Protected Virtual Address" mode, is a fearsome beast. It has several new addressing considerations, hardware-recognized data structures and descriptors, and memory protection mechanisms; it is to an 8086 what a VAX is to an LSI-11.

However, the 80286 in "Real Address" mode can be viewed as a slightly tuned up 8086; this is helpful to us aging, simple-minded software developers. Fortunately or unfortunately, PCDOS and MSDOS 3.0 use the 80286 in Real Address mode, so we can safely ignore the more complex considerations for the present.

Changed Instructions

To start with, let's look at some subtle differences between the instruction sets of the 8086 and the 80286.

• The instruction PUSH SP pushes the current pointer, rather than the new stack pointer. In other words, the 8086 did something like this:

PUSH SP =

SP := SP - 2

(SP) := SP

while the 80286 does something like

PUSHSP =

TEMP := SP

SP := SP - 2

(SP) := TEMP

So the 80826 instruction

PUSH SP

has the effect of the 8086 sequence

MOV AX,SP

PUSH AX

- The 80286 divide error exception (interrupt 0) pushes CS:IP of the instruction that caused the exception. The 8086 pushed the CS:IP of the instruction following the instruction that caused the exception.
- Shift counts are masked to 5 bits. For example, if you put the value 40 in CX and execute

SHL AX,CX

the contents of AX will be shifted left 8 bits. On the 8086, the processor attempted a left shift of 40 bit positions, and the result in AX would always be zero.

Errant Instructions

There are also some known bugs in the 80286 revision B chips.

• After execution of POPF, a pending maskable interrupt may be improperly recognized, even though maskable interrupts were disabled prior to execution of POPF and the flags word popped from the stack has IF = 0. If the interrupt is improperly recognized, it will, however, be properly executed. This problem is particularly relevant for CP/M-86 system users, since many

Dr. Dobb's Journal, December 1984

implementations of this operating system run without interrupts; if an interrupt is unexpectedly serviced, the interrupt vectors may not have been initialized, and the system will crash.

Apparently, this problem occurs only when the 80286 is running with zero or one wait states. It can be avoided altogether by running the 80286 with two or more wait states (this, however, incurs a significant performance penalty). Alternatively, you can redefine POPF in a way that simulates its action without actually executing the POPF opcode. There are a couple of similar ways to do this; here is the one

given by Intel in its errata sheet:

CodeMacro POPF ;assume flags on

stack

PUSH CS

CALL \$ + 3 ; push IP PUSH BP ; Save BP and

MOV BP,SP ;address the stack

;add to IP value on stack

;to point past

IRET

ADD WORD PTR [BP + 2],9

POP BP ;restore BP

IRET ;pop flags, CS, and

IP

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EndM

- · The LOCK prefix is ignored in instructions that reference memory only once (e.g., MOV reg, mem) but works properly for instructions that both read and write memory (e.g., ADD mem, reg or XCHG mem, reg). Thus, when programming the 80286, you should use XCHG to manipulate semaphores.
- · The 80286 may fail to generate a protection exception in cases where the beginning of a multibyte operand for the 80287, addressed via DS or ES, lies within an unprotected area but crosses into a protected area. Note that this is

only relevant when the system is running in Protected Virtual Address mode.

All three of these problems have reportedly been fixed in 80286 revision C parts. Revision B-2 chips can be recognized by the copyright marking of " © Intel '83."

Added Instructions

The 80286 microprocessor has several new opcodes. Most of them will be useful only to the authors of compilers or device drivers. A few of them, however, will be helpful to us average Joes, too.

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On the 80286, you can code

PUSH 4

which is equivalent to the 8086

MOV AX,4

PUSH AX

Push All (PUSHA)

This 80286 opcode will push all general registers; it is equivalent to the 8086 code:

PUSH AX

PUSH CX

PUSH DX

PUSH BX

MOV AX,SP

PUSH AX

PUSH BP

PUSH SI

PUSH DI

Pop All (POPA)

This 80286 opcode will pop all general registers from the stack; it is equivalent to the 8086 code:

POP DI

POP SI

POP BP

ADD SP,2

POP BX

POP DX POP CX

POP AX

Note that the contents of register SP that were pushed by the PUSHA instruction are discarded rather than being loaded into SP; this, of course, is vital in saving the stack context.

Signed Multiply by Immediate Value

This was one of the more glaring deficiencies on the 8086; it is remedied on the 80286. For example, you can write

IMUL 10

where on the 8086 you would have had to code something like

MOV BX,10

IMUL BX

Shift/Rotate Memory or Register by

For example, on the 80286, you can code

ROL AX,3

where on the 8086 you would have to write either

MOV CX,3

ROL AX,CX

or the sequence

ROL AX,1

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Input and Output String (INS and OUTS

These are new members of the string instruction group that also includes MOVS, CMPS, SCAS, LOS, and STOS. INS transfers data from the port number in the DX register to the memory address represented in ES:DI, while OUTS transfers data from the memory address represented in DS:SI to the port number that is in DX. Both INS and OUTS can transfer either byte or word values and can accept a REPeat prefix that is controlled by the contents of CX and causes autoincrement or autodecrement of the appropriate index register, depending on the state of the direction flag.

Enter Procedure (ENTER)

This creates a stack frame and initializes a frame pointer. It was added to support the compilation of procedures in block-structured, high-level languages such as PL/I, Pascal and (God forbid) Ada.

Leave Procedure (LEAVE)

This releases a stack frame and restores the previous contents of the frame pointer. It reverses the effect of ENTER.

Detect Value out of Range (BOUND)

This tests whether an array index falls within the range defined by the contents of a two-word block of memory; if not, an interrupt 5 occurs.

There are also 16 new instructions concerned with task concurrency and memory protection that are beyond the scope of this column. They load or store global, local, or interrupt descriptor registers, control write access to regions of memory, and change task privilege levels. There is also a raft of new ways you can use familiar instructions (such as IRET) to generate protection exceptions. These we'll leave for a later, more profound, column.

New Interrupts

The 80286 adds nine new hardwired interrupts to those defined on the 8086. These are:

Interrupt Cause

5 BOUNDS executed with ar-

- ray index out of range
- 6 Execution of undefined opcode
- 7 Coprocessor protection error, relevant in Protected Virtual Address mode
- 8 Interrupt table limit fault, or the dreaded Double Fault (e.g., protection fault followed by segment not present fault, such as might be caused if the protection fault interrupt handler had been paged out by the virtual memory manager)
- In Real Address mode, coprocessor data transfer wraparound past offset 0FFFFH. In Protected mode, this exception will also occur if the first part of a multibyte 80287 operand falls within an unprotected area but crosses into a protected memory area
- 10 Invalid task state segment; attempted to switch context to a task with an illegal descriptor, Protected mode only
- 11 Memory segment not present—support for virtual memory manager, Protected mode only
- 12 Stack fault—stack overflow or underflow, or stack reference to a memory segment not present, Protected mode only
- In Real Address mode, segment wraparound attempted by a word operation at offset OFFFFH, or a stack push with SP = 1 during PUSH, CALL, or INT. In Protected mode, general protection fault; any memory protection exception not covered by the other error interrupts.

Faster Microcode

Many of the 80286's instructions that are functionally identical to the 8086 actually execute much faster due to improved implementation. For example, a 16×16 -bit register-register signed multiply, which requires 128 - 154 clocks on the 8086, requires 21 clocks on the 80286. This concludes our Sneak Preview of the Intel 80286 CPU. More

to come in subsequent columns.

Determining PC Type

As the IBM PC family proliferates, software developers will need a way to determine the type of host machine at runtime. IBM has declared that the ROM location F000:FFFE may be inspected by software and that its content has the following meaning:

Contents	Machine
0FF	IBM PC
0FE	PC/XT
0FD	PCjr
0FC	PC/AT

It would be helpful to know what this location contains on other IBM PC-like models such as the 3270PC and the vast family of IBM-compatibles. My Compaq (an early model, ROM copyright 1982) has 02DH at this location.

If I Had a Hammer

Russ Hayden of Natick, Massachusetts, writes: "... in the June 1984 installment of the 16-Bit Software Toolbox, there are some 8086 assembly routines to convert binary values to ASCII hexadecimal. Ray, if these tools were screwdrivers, they would be made of tinfoil. It's not that they don't work (they'll execute fine), but rather the use of the 'DIV' (divide) instruction to divide a binary number by 16, where four right shifts will accomplish the same purpose. The DIV instruction takes 90 clocks in 8086; four right shifts take a total of eight clocks.

"I don't think we've reached the point in processing power where such things no longer matter, especially in routines likely to be incorporated into larger programs and used frequently. A column on tools should be sensitive to the many ways to approach a problem and their relative merits. [I've enclosed] a suggested improvement in the byte_to_hex routine."

It's really embarrassing to be caught out on this. I've always been a fervent advocate of using shifts and avoiding hardware divides whenever it's remotely feasible. Oh well, see Listing Three (page 93).

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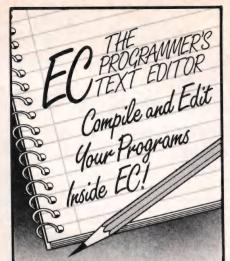
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16-Bit (Text begins on page 88) Listing One

What's wrong with this picture?

```
;extract word n from the
roll proc near
                     ; depths of the parameter
                     ; stack, pushing it on top
                     ; of the stack.
                     ; get return address out
     pop
          bx
                     ; of the way.
                     ; can't override ES, so
          ax,ss
     mov
                     ; make it address stack segment.
     mov
          es,ax
                     ; get number of stack cell
          di
     pop
                     ; to bring to the top.
                      ; calculate number of stack
          cx,di
     mov
                     ; words to slide.
     inc
          CX
                     ; calc destination address
          di,1
     sal
                     ; for slide.
     add
          di,sp
                      ; calculate source address
     mov
          si,di
                      ; for slide.
     sub
          si.2
                      ;copy the desired cell to
     push ss:[di]
                      ; top of stack.
                      ;set direction flag for
     std
                      ;string move.
                      ; now slide the stack.
     rep movs es:word ptr [di],ss:word ptr [si]
                      ; clean up stack pointer.
     add sp, 2
                      ; return to caller.
     jmp
          bx
roll endp
```

End Listing One

Listing Two

What's wrong with this picture?

```
mov bx,sp
wait
fld ss:[bx]
add sp,8
```

End Listing Two

Listing Three

b

Improved conversion routine (see also column in June DDJ).

oyte_to_hex proc near	; hex ASCII
	;AL=binary value ;DI=pointer to storage
	; for string
mov ah,al	;save lower nibble
shr al,1	;divide upper nibble by 16
shr al,1	
shr al,1	
shr al,1	convert it to ASCII
call ascii	and store it
stosb mov al,ah	get back lower nibble
and al, 0fh	; mask to four bits
call ascii	;convert and store
stosb	
ret	

byte to hex endp

End Listings

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C/UNIX PROGRAMMER'S NOTEBOOK



by Anthony Skjellum

In this column, we'll consider some reader feedback on material presented in earlier columns. First, I will mention some new volumes available from the C User's Group. Following this, I'll present some corrections to errors in previous columns.

CUG Volumes

Machine-readable software can help you avoid a lot of frustration. To help readers of this column, I have created two C User's Group volumes: CUG DDJ, Volumes 1 and 2. They contain material up to and including the October 1984 column. The group's new address is:

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Volumes are available in several formats, including IBM PC and popular 5¼-inch CP/M-80 formats (e.g., Osborne DD). Contact Robert Ward at the above address for details.

Runge-Kutta Correction

Three errors were evident in the October column. The differential equation used in the example (from page 94) should have been

$$y/(t) = 1 + t - y$$

and not
 $y/(t) = 1 + y$
as printed. Furthermore, the solution
to this equation is
 $y(t) = t + 5.0^* exp(-t)$
for the initial condition $y(0) = 5.0$.
Also, the display() function on page
98 of the October issue is corrected in

X Grammar Examples

Some of the examples presented in the September column were set incorrectly. The errors principally involve the omission of semicolons at the ends of

Listing Three (page 102) of this issue.

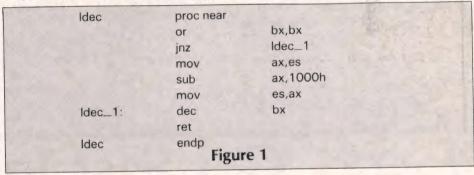
certain lines. For example, in Figure 1, page 116, there should be a semicolon following the word COMPLEX. Furthermore, both assignment statements in the first cadd() function of Figure 2 are missing their semicolons. Figure 3 is missing its terminating brace. Finally, an errant semicolon appears on the first line of Figure 5.

Long Pointer Corrections

I presented a Long Pointer package in

the June column. Bruce Komusin wrote from Monaco to point out some errors in the assembly language routines. He writes:

"I just read your article in DDJ #92 about long pointers for C. I never [have] used C, but I know 8086 assembler. From your listing of llsup.asm, it is apparent that you overlooked the fact that the 8086 affects the flags when doing INC or DEC [instructions] for 16-bit [quantities]. This is a com-



mon error because it is different on the 8080. So, for example, you can save bytes and time in the routine linc by removing the OR BX,BX."

While this concerns only inefficient coding, Mr. Komusin continues to point out a real bug:

"However, I really wrote this letter to warn you about Idec. Of course, it will not work as is because of the DEC BX changing the zero flag set up by the OR BX,BX. I suggest a change . . . that fixes everything."

The change is presented in Figure 1 (page 96). Beyond the basic fixes, Mr. Komusin suggests some increases in efficiency:

"However, here are some points about execution speed and byte efficiency. It is much faster to 'fall through' a conditional jump than to actually jump. So, if possible, it is always a good idea to arrange the code so that the normal case falls through and only the exceptional case jumps. As a side benefit, the exceptional case can then be shared."

A full set of improved routines are presented in Listing 1 (page 97). I want to thank Mr. Komusin for his letter and corrections.

Programming Philosophy

John A. Grosberg of Scottsdale, Arizona, wrote an interesting letter concerning programming style and philosophy. He wrote his letter after reading the August 1984 column, which included a short listing by Alex Cameron. Mr. Grosberg writes:

"Your column . . . caught my attention, particularly the short listing of Mr. Alex Cameron's routines to automatically allocate I/O buffers (page 119). I am writing to present a few ideas on program structure and will use his listing as an example. This is not an attack on his application or on the style he used in his listing—I assume that there were reasons for the form chosen. But my perfectionism was provoked by that listing, and the more I read it, the more I wanted to write.

"One important principle of program design is that the structure of the program (I will use program, routine, and function interchangeably for this discussion) should reflect the structure of the problem. This sounds nice, but what does it mean? Without guidelines

it is almost a theological principle, over which well-meaning people could argue loud and long and never come to agreement. The reason for this is that the 'structure of the problem' depends on one's viewpoint; i.e., it is relative to the observer . . . the program's structure reflects the way we are thinking about the problem."

Since the way we write programs is based on our viewpoint, Mr. Grosberg suggests a set of standard reference points:

"In mechanical drafting, there are three standard orthogonal viewpoints that are used to describe most objects. They are called 'front,' 'side,' and 'top' views of the object. The structure of the physical object inheres in the spatial relationships of its elements, and these must be captured in the drawing.

"In software, an important aspect of structure is the temporal relationships among the elements. The two primary temporal relationships are sequence and frequency, and these relationships should be captured in the code. If one action occurs before another in time (sequence), then the first should precede the second in the code. If an action

```
vec char *dunno(argent, argvec)
int
                  argent;
char
                  *argvec[0];
long
                  *argvec[1];
double
                  *argvec[2];
COMPLEX
                  *argvec[3];
int
                  *argvec[];
                                 /* rest are integers */
       * Here we have a function whose first four arguments
      * are respectively: char, long, double, and COMPLEX
       * pointers. Anything after that is an integer
                  Figure 4
```

```
improvements to Ilsup routines by Bruce Komusin
   % Microworld
   L'Estoril
   31 Ave. Princesse Grace
   Monte Carlo, Monaco
   these routines offer more temporal and byte-efficient
   code than those originally presented in Ilsup.asm
Idec
                 proc
                                  near
                 or
                                  bx,bx
                                  Idec_2
                 jz
                 dec
                                  bx
                 ret
Idec_2
                 dec
                                  bx
Idec 3
                 mov
                                  ax,es
                 sub
                                  ax, 1000h
                 mov
                                  es.ax
                 ret
Idec
                 endp
Isub
                 proc
                                  near
                 sub
                                  bx.ax
                 jb
                                  Idec_3
                 ret
Isub
                    Listing One
```

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occurs the same number of times (frequency) as another, then they should be in the same (logical) block of code."

I think that Mr. Grosberg's recommendations are practical. In my opinion, this type of coding technique could only improve maintainability of software. He continues:

"Expanding on the concept of temporal relationships as expressed in code, consider that on any single execution of a program, an element of that program may be executed once, more than once, or less than once [i.e., not executed]. If the element executes once and only once per program execu-

tion (sequence), it should simply be listed in sequence where it belongs. If the element executes more than once per execution (repetition), it should appear once in a loop. If the element executes less than once per program execution (alternation), it should appear once in a program branch statement. Finally, all elements that execute the same number of times should appear together in the listing."

While these points seem obvious to me (and also to Mr. Grosberg), it is clear that they are not often followed. I cannot claim to have adhered to these principles in the past, although I plan

```
#define NULL
                      0
                      256
#define BUFSIZ
#define TRUE
#define ERR
sfopen(filename, mode)
char *filename:
char *mode:
      int fd.
          err
      if (fd = alloc(BUFSIZ))
               switch(*mode)
                                                /* write mode */
                           case 'w':
                                  err = (fcreat(filename,fd) = = ERR);
                                 break:
                                                /* read mode */
                           case 'r':
                                  err = (fopen(filename.fd) = = ERR);
                                               /* append mode */
                           case 'a'
                                  err = (fappend(filename,fd) = = ERR);
                                  break.
                           default:
                                                      /* invalid mode */
                                  err = TRUE:
                                  break:
                    if (err)
                           free(fd);
                           fd = NULL:
                                                /* alloc failure */
       else
                    fd = NULL:
                                                /* redundant */
       return(fd);
```

Listing Two

Revised <untested> version of "sfopen." The original version was written by A. Cameron and published in DDJ, August 1984.

This revision is to illustrate the design principle that the structure of a program should reflect the behavior of the program (a corollary of the principle that the structure of a solution should reflect the structure of the problem)

The focus of this example is that the execution sequence and execution frequency are primary elements of problem structure and should be mirrored in the code.

by John A. Grosberg [relevant for BDS C]

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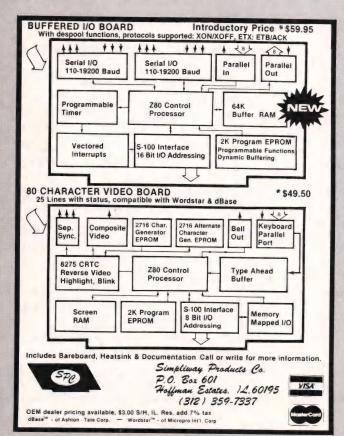
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to do so in the future. For those interested in pursuing the concepts further, he recommends Practical LCP, a Direct Approach to Structured Programming, by Albert C. Gardner (McGraw Hill, 1981). Mr. Grosberg has recoded Alex Cameron's listing to exemplify his comments; this code is presented in Listing Two (page 98). His comments concerning the code itself follow:

"... in Mr. Cameron's function 'sfopen,' the call to 'alloc' actually occurs only once per execution, but it is written three times in the code [sequence]. The 'return' occurs only once per execution, but is written 10 times [sequence]. The three main 'if' statements

```
if(*mode == 'x')
{
...
}
```

are written as if they occur sequentially, when in fact only one of them can occur per execution [alternation]. The structure of the code actually obscures the execution behavior of the function."

Mr. Grosberg doesn't claim to have embodied his comments perfectly in Listing Two. He just created it (untested) to illustrate his remarks. I found the ideas worthwhile.

Another Response to the August Column

Mike Meyer writes the following concerning the August issue:

"I just read the August '84 column. Applause—you struck a solid, well-balanced position. If you can continue doing as well, you'll have a great column.

"Let me ask a favor: Please, PLEASE avoid the 'Unix/C is better/ worse than <fill in blank> because <another blank>'-type arguments. As you said, your column 'exists for discussing C and Unix as they are, with the problems they have.' Such discussions don't fit into that mold and tend to generate more heat than light."

"Couple of nits: James Jones and Jeff Bowles are at uokvax, not ea. Their net address should be ucb-vax!mtxinu!ea!uokvax!emjej, jab}. Finally, my last name is Meyer, not Meyers. If you could cease pluralizing me in the future, I'd appreciate it."

I want to apologize for this error.

Mr. Meyer has been a regular corre-

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spondent, which means I had ample opportunity to see his name and reproduce it properly. (I'll get his name straight from now on.) He continues:

"To add some constructive comment, I'd like to point out that relying on library utilities for things does not guarantee portability. For instance, many C implementations won't have the Unix math(3) library or the qsort(3) routines. Of note is that the current AT&T Unix distribution doesn't include the dbm(3) routines from Unix version 7. I use those routines to fix the 'everything is line-oriented ASCII' problem with Unix, and some of the AT&T sites that don't have that library complained when they got copies of my software."

This is an interesting point that I had not considered. It adds more complexity to the idea of C/Unix software portability. What libraries can and cannot be assumed when writing a program? Is it OK to think of libraries such as CURSES as standard?

Comments on the X Grammar

I received several comments about the X grammar. In this column, I present one letter; the rest are reserved for the February 1985 column [DDJ No. 100]. John M. Gamble of Batavia, Ohio, writes:

"Your column on extensions to the C language was very interesting. I have a few comments.

"(1) To keep analogy between functions and opers, I think that it should be legal to declare static opers."

This sounds fine, but what is a static

oper? Since I'm not sure what Mr. Gamble means, I can't really comment. He continues:

"(2) I have trouble thinking of any justification for adding one more reserved word (loop) just to do what 'for(;;)' does just as well. If it really offends your eye, couldn't you just use #define to substitute for it?"

I agree. I only mentioned "loop" because I wanted an efficient way to specify an unconditional loop. This is fine since "for(;;)" shouldn't produce unnecessary instructions in object code. Mr. Gamble continues his list of comments as follows:

"(3) I think that your method of declaring argument lists in vec functions is too limited to be practical. A function list is not analogous to argy, which deals only with character strings. A function, after all, deals with all sorts of variables. To get around this problem, I have thought of two possible solutions:

"(a) Require that the first argument be a string equivalent to printf's control string. Quite frankly, I dislike this solution. Deciphering the control string would be a pain, and the code needed to deal with this pain would probably ruin C's reputation for compact code.

"(b) Declare the types of the argument list members in the function itself. This would be efficient and easy to modify later on. For example, say that you wish to have some integer variables, and you wish to exchange their values so that they are in [numerical] order. Rather than going through the trouble of inserting the values in an

array, calling a sorting routine, and recovering the values from the array, a vec function called sort_them() might be easier to use. The declaration might be as depicted in Figure 2 (page 96).

"If you wanted to make the function more flexible by allowing the ordering to be user-specified, we could have the first argument be a function. Then the declaration would resemble Figure 3 (page 96).

"Of course, we are not limited to integer pointers. A vec function could just as easily have arguments of all sorts. Such an example is presented in Figure 4 (page 97).

"Since the argvec array consists of pointers only, the addresses of the argument list are passed in, not the values. Therefore, register variables may not be used in the list. Also, since passing addresses is the default, we can drop the '&' before each variable [in the calling sequence]."

I think Mr. Gamble's ideas are valid and are consistent with my original intentions for an extended grammar. Does anyone else have further comments about vec functions?

Conclusions

I have wound up the year by including some corrections and comments about previous columns. The programming structure comments offered by Mr. Grosberg were particularly interesting to me, and I hope that others find them useful as well. I was pleased with Mr. Gamble's suggestions concerning C extensions, and I look forward to additional remarks in this area.

My next column will appear in the February 1985 DDJ. This is issue No. 100, a landmark for the journal. In this column, I'll include additional reader feedback and suggestions as well as possible topics for future columns. Have a happy holiday season.

DDJ

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display(fname)
char *fname;
                    /* character to output */
      char c:
      FILE *disp;
       if((disp = fopen(fname, "r")) = = NULL)
             return(-1); /* can't open file */
       while((c = getc(disp)) != EOF) /* print the file */
#ifndef UNIX
             if(c = TEOF) /* text end of file */
                    break:
#endif
              putchar((c & 127)); /* output each character less parity */
       fclose(disp); /* close the file */
                    /* successful completion */
       return(0);
                            Listing Three
```

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Vol. 7 1982

In 1982 we introduced several significant pieces of software, including the RED text editor and the Runic extensible compiler, and we continued to publish utility programs and useful algorithms. Two new columns, The CPIM Exchange and The 16-Bit Software Toolbox, were launched, and we devoted special issues to FORTH and telecommunications. Resident Intern Dave Cortesi

supplied a year of "Clinic" columns while delivering his famous review of JRT Pascal and writing the first serious technical comparison of CPIM-86 and MSDOS. This was also the year we began looking forward to today's generation of microprocessors and operating systems, publishing software for the Motorola 68000 and the Zilog Z8000 as well as Unix coce. And in December, we looked beyond, in the provocative essay, "Fifth-generation Computers."

Vol. 1 1976

The material brought together in this volume chronicles the development in 1976 of Tiny BASIC as an alternative to the "finger blistering," front-panel, machine-language programming which was then the only way to do things. This is always pertinent for bit crunching and byte saving, language design theory, home-brew computer construction and the technical history of personal computing.

Topics include: Tiny BASIC, the (very) first word on CPIM, Speech Synthesis, Floating Point Routines, Timer Routines, Building an IMSAI, and more.

Vol. 2 1977

1977 found **DDJ** still on the forefront. These issues offer refinements of Tiny BASIC, plus then state-of-the-art utilities, the advent of PILOT for microcomputers and a great deal of material centering around the Intel 8080, including a complete operating system. Products just becoming available for reviews were the H-8, KIM-1, MITS BASIC, Poly Basic, and NIBL.

Articles are about Lawrence Livermore Lab's BASIC, Alpha-Micro, String Handling, Cyphers, High Speed Interaction, I/O, Tiny Pilot & Turtle Graphics, many utilities, and even more.

Vol. 3 1978

much more

The microcomputer industry entered its adolescence in 1978. This volume brings together the issues which began dealing with the 6502, with mass-market machines and languages to match. The authors began speaking more in terms of technique, rather than of specific implementations; because of this, they were able to continue laying the groundwork industry would follow. These articles relate very closely to what is generally available today. Languages covered in depth were SAM76, Pilot, Pascal, and Lisp, in addition to RAM Testers, S-100 Bus Standard Proposal, Disassemblers, Editors, and much,

Vol. 4 1979

This volume heralds a wider interest in telecommunications, in algorithms, and in faster, more powerful utilities and languages. Innovation is still present in every page, and more attention is paid to the best ways to use the processors which have proven longevity—primarily the 8080/280, 6502, and 6800. The subject matter is invaluable both as a learning tool and as a frequent source of reference.

Main subjects include: Programming Problems/Solutions, Pascal, Information Network Proposal, Floating Point Arithmetic, 8-bit to 16-bit Conversion, Pseudo-random Sequences, and Interfacing a Micro to a Mainframe—more than ever!

Vol. 5 1980

All the ground-breaking issues from 1980 in one volume! Systems software reached a new level with the advent of CP/M, chronicled herein by Gary Kildall and others (DDJ's all-CP/M issue sold out within weeks of publication). Software portability became a topic of greater import, and DDJ published Ron Cain's immediately famous Small-C compiler—reprinted here in full!

Contents include: The Evolution of CPIM, a CPIM-Flavored C Inerpreter, Ron Cain's C Compiler for the 8080, Further with Tiny BASIC, a Syntax-Oriented Compiler Writing Language, CPIM to UCSD Pascal File Conversion, Run-time Library for the Small-C Compiler and, as always, even more!

Vol. 6 1981

1981 saw our first all-FORTH issue (now sold out), along with continuing coverage of CPIM, small-C, telecommunications, and new languages. Dave Cortesi opened "Dr. Dobb's Clinic" in 1981, beginning one of the magazine's most popular features.

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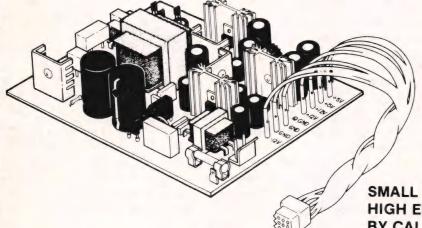
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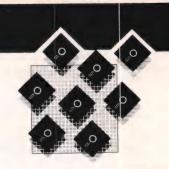
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Reviewed by A. Gomez

COHERENT was developed by the Mark Williams Co. as a Unix lookalike that did not have to pay royalty fees to AT&T. NCI has modified COHERENT with a number of real-time enhancements to enable efficient multiuser operation with small machines. The kernel is ROMable and may be embedded in peripheral modules and software for communications packages. The utilities for the most part were rewritten in assembly language for speed of execution. Finally, specific hardware is supported that allows expedient processing in a Unix style environment.

Features

The features of NCI COHERENT fall into two parts: hardware and software support. Hardware support is the support of a specific item of hardware that allows flexibility of configuration or enhances the performance of the system. Software features are those that provide ease of programming or system use. By this definition, a hardware feature may be a piece of software (e.g., a device driver).

The hardware features of NCI CO-HERENT are:

 Choice of hard disk support: NCI COHERENT provides device drivers for the XT, CORVUS, CORONA, DAVONG, TECMAR, GENIE, EA-GLE, INTERPHASE SMD, MAY-NARD SASI interface, and COLUM-BIA disk controllers and drives. Note that this feature allows NCI COHER-ENT to operate on compatibles as well as the PC itself.

- Ziatech IEEE-488 interface: The 488 interface is important in control applications.
- Interactive Data Systems 1600 BPI tape drive interface: This device driver allows the use of 9-track tape with NCI COHERENT.
- Tall Tree Systems JRAM card: This card is frequently used as a RAM disk because of its ability to window itself in an already RAM-filled PC. It has 512K of RAM on each card and can increase performance of swapping systems by being part of the file system.
- Persyst 4-line serial port card with onboard 8088: This allows the main processor to be off-loaded and permits the suitable execution of realtime applications in a multiuser environment.
- Control Systems Hostess 8-line serial port card: This card is necessary to provide the 11-user capacity that is claimed by NCI. The card supports XON/XOFF and variable size words (5 to 8 bits) in either interrupt or polled mode.
- · Hayes autodialer (or any other auto-

dialer with programming effort): This provides support for the "cu" program.

- Support of the AST real-time clock via the "clock" command.
- Support of three parallel line printers.

The software features of NCI CO-HERENT are:

- Kernel parameters: NCI COHER-ENT provides the ability to change the kernel parameters via commands (e.g., partitioning of RAM disk).
- TERMCAP: This package will allow programs that are screen based to be terminal independent. It is widely used in the Unix community.
- 165 Unix V7 commands: These commands are rewritten in assembly language for speed.
- Lex and Yacc: A lexical analyzer and compiler compiler, commonly found and used on Unix systems, are of great value to developers who need to create parsers and lexical analyzers.
- RCS: This is a source code control system.
- Unix SYSTEM V memory routines: These routines are supported in the kernel and include memccpy,

Benchmark	· Compile Time	Execution Time (sec)		
	(sec)	Real	User	System
Pipes	30	27.4	0.1	22.0
System call	19	29.5	2.8	26.4
Function call	18	0.5	0.3	0.1
Sieve	19.6	8.5	8.1	0.2
Disk write	32.1	7.8	0.1	6.3
Disk read	33.0	16.0	0.1	7.0
Shell	_	13.0	1.4	5.3
Loop	18.2	26.6	26.4	0.1

Multiprocess			# Pro	ocesses		
benchmark	(1)	(2)	(3)	(4)	(5)	(6)
Multi.sh	15.1	21.3	29.1	36.6	45.8	52.1

Table 1

- memchr, memcmp, memcpy, and memset.
- Prolog interpreter: The prolog interpreter is frequently used in artificial intelligence work and control applications.
- · An IEEE floating point math package that is accessible by the Unix math library routines.
- A screen editor ("see"): This is not the VI or EMACS, with which all of us are familiar, but a screen editor.
- License fees: The single-user price is the multiuser price; no additional fees are necessary. Furthermore, runtime only versions are available for applications with embedded kernels and offboard processors. This allows software developers to use the facilities of the NCI COHERENT kernel in their product without having the customer pay the full price of NCI COHERENT.
- Coming soon is a MSDOS bridge that allows a MSDOS program to run under NCI COHERENT.

Documentation consists of a reference manual (sections 1-8 of the Unix manual), a tutorial manual (ed, m4, lex, rcs, etc.), and the book Using the UNIX System by Richard Gauthier. The documentation was complete and organized in a manner consistent with other Unix systems.

Unix Compatibility

NCI COHERENT was designed to be compatible with Unix V7, and it looks like NCI has achieved its goals. All the system calls are identical, the libraries are identical, and only minor functions or user programs are different.

To check its compatibility, I moved various applications between a V7 Unix, the NCI COHERENT, and a SYSTEM V Unix. Without exception, all worked without error. Of course, these programs did not use the additional features of SYSTEM V, but then I was checking for V7 compatibility.

Benchmarks

Nothing is more controversial than benchmarks in product marketing. It is really hard to choose a set of programs that presents a fair comparison of different products. The benchmarks that I used in measuring NCI COHERENT were those found in the July 1984 issue of Byte magazine in an article named

"Benchmarking UNIX Systems" by David F. Hinnant. These benchmarks appear to be fair and, more importantly, to provide a set of measurements upon which to grade the performance of NCI COHERENT. In that article, Mr. Hinnant provides timings for nine benchmarks in 15 different systems. Table 1 (on page 106) shows the measurements of NCI COHERENT for the same benchmarks.

By comparing NCI COHERENT to other Unix systems on the PC, we see no improvement in the single-user environment. However, as the number of active processes increases, the degradation of NCI COHERENT is less than other Unix systems.

Closing Notes

In general, I was impressed by NCI COHERENT. NCI has placed its emphasis on the performance of a system with more processes than that found in a single-user environment. Although I did not test the multiuser capability of the product, I do not doubt that it exists because of the orientation of the kernel. The price is reasonable, considering other offerings for the IBM PC. and the company looks like it's heading toward full compatibility with Unix SYSTEM V, which allows a future for its products and support.

Turbo Pascal Version 2.0 Company: Borland International,

4113 Scotts Valley Drive, Scotts Valley, CA 95066, 1-800-227-2400 ext.968 outside California, 1-800-772-2666 ext.968 in California

Computer: MSDOS, PCDOS

Price: \$49.95

\$89.95 with 8087 support Circle Reader Service No. 129 Reviewed by Karl R. Kachigan

Well, it just had to happen. Friends were talking about it, the computer shows featured it, and just about every magazine beamed about its significant performance for the price. Just what kind of decent Pascal could this be for \$49.95? Originally, because it didn't support graphics on my IBM PC, I ignored it, continuing to use my UCSD p-System Pascal with its Turtlegraphics and other goodies. Then version 2.0 was announced—it supported graphics, color, sound, windows, overlays, and was still only \$49.95. I nibbled, I telephoned in my order, and about a week later I became a believer. For the price and its features, Turbo Pascal compares quite well with my UCSD p-System Pascal.

Before you wonder how I was converted, let me say that Turbo Pascal is truly a good solution for both the novice and the experienced programmer. When Turbo Pascal is properly installed, and that isn't a difficult task, you have a nicely integrated programming system. The editor/compiler lets you compose a program, compile it, and either run it or return to the editor to correct errors flagged by the system, all without using the disk.

To those unfamiliar with the UCSD p-System, its editor, filer, and compiler are integrated but usually not simultaneously resident in memory (unless you configure a RAM disk that can simulate this). The UCSD Pascal compiler/editor obviously was the model for Turbo Pascal. Both indicate syntax errors with descriptive messages and will point to them if you return to the editor. Turbo Pascal just seems faster and slicker at it; plus, for those of us using the IBM PC, it runs under PCDOS. Only recently has the UCSD p-System been capable of doing this. However, the UCSD p-System does provide more capability, machine control, and transportability-but at a much higher cost.

This review should give you a better feel for the version 2.0 enhancements, especially on the IBM PC. I will build upon David Clark's review of Version 1.0 in the June 1984 issue of DDJ. Please reread this article if some of my points seem unclear. Because many of the fancy additions (the manual calls them "IBM PC goodies") are specific to the IBM PC and its compatibles, I will try to point out what features are applicable to all machines and which are IBM specific. Table 2 (page 110) lists all of the enhancements in version 2.0.

What Is Turbo Pascal?

Turbo Pascal is distributed as either one or two disks (the second is for MSDOS/PCDOS 8087 support), two manuals, several programs including sample programs, and an update file giving last minute information. Turbo Pascal itself is composed of either two or three files: compiler/editor (TUR-BO.COM, TURBO.CMD, TURBO-87.COM, or TURBO-87.CMD), error message data file (TURBO.MSG), and for CP/M-80 only an overlay file (TURBO.OVR). A terminal installation program, provided to customize the Turbo Pascal screen control and editor features, includes the program itself (TINST.COM or TINST.CMD), a message data file (TINST.MSG), and a terminal data file (TINST.DTA, not on the IBM PC version). Provided on all versions is a program lister (TLIST-.COM or TLIST.CMD) and a sample spreadsheet program called MicroCalc (supplied in source code form). Included with the MSDOS/PCDOS versions are information files on using MSDOS function calls, external assembly language routines, and interrupts, each with comments and a sample program listing. The IBM PC version adds sam-

ple programs on color, graphics, sound, and windows—all in source code format.

The manuals, an updated version 1.0 manual and a short version 2.0 supplement, attempt to cover all versions of Turbo Pascal (CP/M-80, MSDOS, PCDOS, and CP/M-86). They are typeset, seemingly well structured, and indexed.

Standard Turbo Pascal uses real numbers with a range of 1.0E-38 to 1.0E+38 and 11 significant digits. With the 8087 support, these expand to 4.19E-307 to 1.67E+308 and 16 significant digits. As for RAM requirements, version 2.0 for MSDOS takes 35K and for PCDOS 36K, compared with 33K for the old version 1.0 16-bit implementations.

Editor Enhancements

I've mentioned that the built-in editor is a nice feature. A full screen editor, it

requires specific information on your terminal's control sequences and editing commands/keystrokes. Here is where the terminal installation program is helpful. It has two modes: select (or define) the terminal used and define the edit command keystrokes. For the non-IBM versions, a large selection of the most popular terminals are listed. If you are using one on the list, just select it. If yours is not listed, you can add your terminal to the list by entering the appropriate information, such as the cursor addressing, screen clear, insert/delete line, and enhance on/off control sequences. In either case, Turbo Pascal is now installed for the selected terminal.

I installed the MSDOS version on my HP-150 by adding my terminal to the list and found the installation program quite accommodating. It was gratifying to see a program that could adapt to my HP-150's lengthy cursor ad-

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dressing sequence (typically nine characters with ASCII row and column addressing). The IBM PC version lets you select from six display modes: default monitor, monochrome monitor, mono or color 40 columns, and mono or color 80 columns.

As for the editor, 45 commands are listed, almost all of them standard WordStar features. The version 2.0 manual claims to have added seven new commands, but they were also listed in the version 1.0 manual. (Apparently these were documented before they were actually implemented.) I felt right at home using the familiar Word-Star control sequences again. This is truly a nice touch, especially having the same block move/copy/delete and find/search/replace capabilities. Not only does the IBM PC version use the WordStar commands, but version 2.0 also hooks up all the IBM PC keys, such as insert/delete, page up/down, cursor

pad, home/end, and many more.

For the 8087 version, please note that you must temporarily rename the TURBO-87 file to TURBO if you want the TINST program to install your terminal selection with the right runtime program. After running TINST, you can change the updated TURBO file back to "TURBO-87" to distinguish it from the standard TURBO.

Speed

Okay, here's where Turbo Pascal shines, compared with the other Pascals on the market. I wondered how Borland could be so brash in their advertisements claiming Turbo Pascal compiled in seconds while others compiled in minutes. Quite simply, you edit a file by bringing it into RAM then selecting the memory compilation mode, after which Turbo Pascal compiles from RAM just as if you were using a RAM disk—at blazing speed with

no disk accesses.

I had configured my UCSD p-System on my IBM PC to do this, and it sure makes Pascal a fun language to use: I don't even mind the iterations of edit, compile, find the missing semicolon, re-edit, and so on. Turbo Pascal makes this RAM disk-like feature transparent to the user by defaulting to it. Of course, you can also compile to a disk file for execution outside the Turbo Pascal environment (i.e. standalone applications or programs).

A simple test of compilation speed was to compile one of the sample IBM programs, ART.PAS, a graphics demo. With my stopwatch in hand, I determined that the 151-line program compiled in 3 seconds! Longer programs such as the MicroCalc demo also compiled in an amazingly short time. The painful part of Pascal—compilation and syntax correction—has been removed. Even novices will appreciate

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Multi key ISAM	Yes	Needs sorting	Needs sorting
LOCAL AREA NETWORKS			
File lock	Yes	No	No
Record lock	Yes	No	No
PORTABILITY			
8-bit → 16-bit	Yes	Yes	No
16-bit → 8-bit	Yes	Yes	No
MISCELLANEOUS			
Formatted data entry	/ Full	Limited	Limited
Report generator	Full	Limited	Limited
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that their learning time can be spent more on the language than on compila-

tion syntax checking.

As for execution speed I unfortunately couldn't locate an 8087 to check Turbo Pascal's true speed, but I can compare common benchmarks running on my 4.77 MHz IBM PC and my 8 MHz HP-150. Using the DDJ Savage floating-point benchmark that first appeared in November 1983, I produced some new entries for Ray Duncan's floating-point benchmark table that appeared in DDJ's August 1984 issue. This benchmark really tests the roundoff errors and significant digits of a language, plus its math speed. Like Turbo Pascal version 1.0, version 2.0 still doesn't include an arctangent function, so I had to do it in math.

As you can see in Table 3 (below), Turbo Pascal version 2.0 hasn't really increased its speed on the IBM PC from version 1.0; the HP-150 excells primarily due to its 8 MHz CPU. While Turbo Pascal doesn't show blazing execution speed, it is very accurate in its math.

The Reference Manuals

My only complaint with the manual is that, in trying to cover the CP/M-80, PCDOS/MSDOS, and CP/M-86 variations in one manual, the summary tables occasionally were incomplete for the 16-bit versions: the tables represented the CP/M-80 implementation correctly but failed to really indicate the additional procedures and functions of the 16-bit implementations. The manual is divided into sections: general information, CP/M-80 specific, MSDOS/ PCDOS specific, CP/M-86 specific, and summary tables. In the 16-bit sections covering the MSDOS/PCDOS and CP/M-86 function calls, no syntax definitions were given at all. I really had to study the documentation files on the distribution disk to get the necessary information. This may have been due to an initial uncertainty in their implementation, but this is the second edition of the version 1.0 manual. I hope that Borland will update this manual soon to clean up its errors.

As for the version 2.0 manual, it is short and simple. The descriptions of the overlays, graphics, color, sound, and 8087 enhancements are adequate. Once again, the summary table could be more helpful in indicating which

version 2.0 additions are IBM PC specific, plus be more accurate. Both of the window procedures and the draw procedure were improperly listed. No

mention is made of any differences between the CP/M-80 and 16-bit implementations of overlays and the dispose function, so I trust both work similarly.

Enhancement	IBM PC Only
Reserved word	
overlay	
orocedure	
Dispose(var P:Pointer);	
FreeMem(var P:Pointer, I:Integer);	
TextMode(Color:Integer);	XX
TextBackground(Color:Integer);	XX
TextColor(Color:Integer);	XX
GraphColorMode;	XX
GraphMode;	XX
HiRes;	XX
GraphBackground(Color:Integer);	XX
Palette(Color:Integer);	XX
HiResColor(Color:Integer);	XX
Plot(X,Y,Color:Integer);	XX
Draw(X1,Y1,X2,Y2,Color:Integer);	XX
Window(X1,Y1,X2,Y2:Integer);	XX
GraphWindow(X1,Y1,X2,Y2:Integer);	XX
Sound(I:Integer);	XX
NoSound;	XX
function	
MaxAvail:Integer;	
WhereX:Integer;	XX
WhereY:Integer;	XX
pre-defined constants	
4 for text mode selection	XX
16 for color selection	XX
1 for blinking text	XX
Table 2	
Turbo Pascal Version 2.0 En	hancements

Computer	MHz	Language	Vers	FPP	Time (sec)	Error
IBM PC(8088)	4.77	Turbo Pascal	2.0	8087	??	??
IBM PC(8088)*	4.77	Turbo Pascal	2.0		535	4.6E-3
HP-150(8088)	8	Turbo Pascal	2.0		396	4.6E-3
IBM PC(8088)†	4.77	Turbo Pascal	1.0	-	544	5E-3
* Same results to † As tested by .			rersions	or turbo	rasuai	
	for	Tal Turbo Pasca June 1984 D			200	

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PCDOS PCDOS

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NA 200 195

165

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CIndex + - no royalties PHACT - with C

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Compiler Directives

Nothing new here except that the X directive (array index optimization) is CP/M-80 specific and not used in the 16-bit versions. Also, the I directive (I/O error checking on/off) uses the standard Pascal function IoResult, not IoError. Both of these are corrections to David Clark's version 1.0 review.

New Enchancements

Here's a simple overview of what version 2.0 adds. For all versions:

- (1) Overlay system (with code swapping to write programs larger than the memory available for program code)
- (2) Dynamic heap (with a real dispose function to supplement the more restrictive mark and release procedures of version 1.0)
- (3) Additional editor commands For IBM PC and compatibles only:
- (4) Colors
- (5) Graphics
- (6) Windows (graphics and text)
- (7) Sound

For 16-bit versions:

(8) Optional 8087 support

Overlays

How many times have you composed a program that ended up being too large to compile? Many large application programs are like this. Programmers usually resort to breaking the program into smaller chunks that are independent in function to the others. If you think this sounds like the definition of a procedure or function, you're right, except that it is on a larger scale: groups of procedures and functions are the chunks. Some familiar terms and techniques to achieve this are overlays, chaining, separate compilation, segments, units, external modules, and so on. Let's take a quick look at the most popular techniques to see how Turbo Pascal and its overlays fit in.

Chaining is best exemplified by Microsoft BASIC, whether it is on CP/M, MSDOS, or the IBM PC. Fundamentally, every program has a runtime library of built-in functions plus variable/data space. One program can "chain" to another (i.e., call the other program and relinquish control) with little difference in the programs beyond executing a chain statement. Chained programs also typically like to pass information to one another

without using a disk file—via common variables. Common variables mean that the intepreter or compiler sets aside a specific amount of variable space, protecting it from being destroyed in the chaining process. If the chained programs have equivalent common-variable definition statements, these variables aren't garbage or initialized but retain their values for use by the new program. Key advantages are in passing data; also, with compiled programs, the runtime library need not be loaded again.

Separate compilation and modules let you write parts of the program separately, each with different variables, types, procedures, and functions. After all the separate modules are compiled, a main program (sometimes called a shell program) calls each module as it needs it. This may entail loading all the modules in memory at once or doing memory management and swapping in and out of memory only the modules that are most recently used. Both MS-Pascal and UCSD p-System Pascal implement variations of this. In fact, the UCSD p-System itself is an excellent example of separate compilation; many of its users quickly notice the disk swapping of the numerous parts of its system in and out of memory as needed. Key advantages are that you can write and compile many chunks of a program independently of the others to compose a rather large application.

Overlays are similar to both chaining and separate compilation. As implemented in Turbo Pascal, you may compose a program with as many overlay groups in the source code as you want and compile it all at one time. An overlay group is a sequential list of procedures and functions that can be called independently of the others in the list. Each overlay group sets aside an amount of memory equal to the largest procedure or function in the group, then swaps into this space the procedure or function you call. To use one or more overlay groups in the program, you effectively define which routines don't call each other, then group them together in their own overlay. Key advantages are code swapping for minimal program memory.

In Turbo Pascal, you define an overlay group with a sequential list of routines using "overlay" preceding the function or procedure declaration. Note that an overlay group ends when a procedure or function definition without the overlay designation is encountered. Hence, you can set up several overlay groups, separating each group with a non-overlay routine—even a dummy procedure of begin/end will do it.

Listing One (page 117) shows a program composed of two overlay groups, each with two procedures. Note that a disk access is performed with each call to a new procedure in an overlay group. As Turbo Pascal compiles your program, each overlay group is compiled to disk under the name of your program with a file type of .000, .001, .002, and so on. This means 1000 overlay groups are possible for a single program! The number of routines per overlay group seems to be unlimited. To actually compile a program with overlays, you must select compilation to the disk; Turbo Pascal can't handle it in its own memory. Likewise, to run the compiled program, you must exit Turbo Pascal and execute the program from DOS-definitely an inconvenience.

The advantages of overlays in Turbo Pascal are in saving program and data space, especially since overlays can be nested. The disadvantages are that you can't compile to memory, the program and overlay files must reside on disk, you can't execute a program while in Turbo Pascal, extra time is needed for the disk I/O for overlay retrieval, extra code is generated for overlay management, and the runtime debugger has problems inside the overlay groups.

I have a greater appreciation of the UCSD p- System separate compilation capability (units and segments) after using Turbo Pascal's overlays. In essence, Turbo Pascal's overlays arè the equivalent of UCSD p-System's segments. I have grown fond of the UCSD p-System unit concept, especially for generating a large application program. For smaller jobs, however, the segment/overlay approach is more than adequate.

Dynamic Heap Management

Many lanaguages have the capability to dynamically allocate and deallocate variables and, more importantly, memory space. For example, Micro-

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soft BASIC has its string variable garbage collection. Pascal has two approaches: mark/release and new/ dispose. Turbo Pascal version 1.0 implemented the mark, release, and new procedures, but no dispose procedure. Version 2.0 now fully implements the dispose procedure, a nice enhancement.

Why the fuss about dispose? Let's look at how different the two Pascal approaches are, then you'll see why. Imagine some sequential stretch of memory where variables are stored. Under the mark/release approach, you specify a "mark" variable as the memory pointer. When you later execute the release procedure with this "mark" variable, it and all variables stored after it are erased. Hence, mark/release defines where new variable space starts by deleting a block of previous varibles. New/dispose works a bit differently: these procedures use and make available variable space in a random fashion. When you "dispose" a variable, the memory space used by that variable can be reused when a new variable is specified by the "new" procedure.

In summary, mark/release specifies a block of RAM for reallocation, and new/dispose specifies any variable space for reuse. One last comment: mark/release and new/dispose should never be used together—you must select the approach you wish to use.

IBM PC Goodies

Now let's get to the meat of the version 2.0 enhancements: monitor control, graphics, color, sound, and windows for the IBM PC and its compatibles (see Table 2). Borland has been nice to us here; I just hope they can support other computers with these types of enhancements.

Monitor control includes four modes:

- (1) Text mode—25 lines of 40 or 80 characters, color or black and white
- (2) Graph color mode—320 x 200 dots, four color graphics
- (3) Graph mode—320 x 200 dots, black and white graphics
- (4) HiRes mode—640 x 200 dots, black and one color graphics.

In text mode, after selecting the appropriate display format, you can define both the background and the text colors using the color graphics board. You can select from any of 16 available colors. Whichever monitor you use, the "where cursor" functions give you the current x and y coordinates of the cursor. You can even have the text blink by selecting the text color with a blink offset (add 16).

In the graph color mode, you have control over the background and pen colors. After selecting the background from one of 16 available colors, you define the pen color according to which palette you are using (there are four). For example, palette(0) is composed of background, green, red, and brown. This will be the most used graphics mode.

Graph mode is similar to graph color mode, except that background color is black and pen color is white. If you are using an RGB monitor, this mode is enhanced: you can select a background color from the 16 available and a pen color from one of two palettes.

In HiRes mode, you select only the pen color; the background is always black, independently of whether your monitor is color or black and white. The pen color is selectable from the 16 available.

Now, how do you use graphics? The plot procedure basically plots a point on the screen. The draw procedure plots a line between two points. In both cases, you have control of the pen color. The x and y coordinates for each point are defined with the origin (0,0) in the upper lefthand corner of your monitor; the lower righthand corner becomes either (319,199) or (639,199), depending upon the resolution used.

This orientation is the same as IBM BASIC, but different from my UCSD p-System Turtlegraphics where resolution control is the same, but the lower lefthand corner is (0,0) and the upperrighthand corner is (319,199) or (639,199). I feel more comfortable with the latter, but most of us can use either approach.

The area fills, circles, etc., of IBM BASIC are missing here, but these are not a great loss. One feature that I do miss from my Turtlegraphics is the ability to rescale the screen to use any coordinate system I wish. With a single statement, I could set the x-axis of a graph to be the years 1900 to 2000 and

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Reviewed by David D. Clark

Before my original review of Turbo Pascal, version 1.0, made it into print, Borland announced a new release. Because the original was so good, I was eager to get my hands on the new one. Again I have not been disappointed. The revised product has several improvements, most of which will have the biggest impact on users of the IBM PC and compatibles. That version is reviewed by Kachigan starting page 107, but the editors of *Dr. Dobb's* let me look over the new 8-bit version as well.

The editor has been expanded slightly with some additional commands, still similar to WordStar's. The standard procedure, Dispose, has been implemented. An additional new function, MaxAvail, is provided that returns the amount of memory available for allocation of dynamic storage. The FreeMem procedure is now provided to release blocks of dynamically allocated memory from the heap. It is symmetrical to the GetMem procedure previously provided.

The big news for the 8-bit version is the inclusion of dynamic overlay facilities. Truly huge programs can be built with this facility. It allows you to specify procedures or functions that can be read into memory when required. It works this way: In the source file, the new reserved word "overlay" is placed before the procedure or function keywords of those subroutines that you wish to run as overlays. Groups of subroutines declared consecutively in the program source will be placed in the same overlay file after compilation. At runtime, routines from the same file will occupy the same space in memory and will be retrieved from disk as they are invoked. To place overlays in different overlay files, the routines must be separated by the declaration of a nonoverlay object. A dummy type declaration will do. Nested overlays are allowed to any depth.

This overlay strategy places some restrictions on their use. Since overlays

in the same file run at the same location in memory, they may not call one another, although overlays placed in a separate file, running at a different memory location, may. Also, since memory space for overlays is allocated statically during compilation, the space in memory that they would occupy is not available for anything else; for example, the heap does not increase in size when no overlays are executing. Finally, programs with overlays must be compiled to disk. You will cause compiler error if you try to compile such a program in memory.

The space requirement for this new flexibility is quite modest; it now requires 28K of memory to run Turbo on an 8-bit system instead of 27K. The 16-bit version, with windowing and all that other stuff, now takes 33K. In either case, it is still an incredibly small system.

The first time I reviewed this product, the only thing I could find to complain about was the documentation. It wasn't bad, just a little rough. It's improving, it's improving. Apparently some of the errors in the programming examples were corrected before version 2 was announced. Some other revisions have been made to the manual as well. Some sections still grate on my ears, and some words are still broken at odd places, but the basically good manual has gotten better.

Because of the improvements to the system, I discovered a shortcoming that I hadn't noticed in the original release: there is no Exit procedure. Let me explain. Because of the new overlay capability, I transferred a big, nasty, variable step size, variable order, variable method numerical integration program written in UCSD Pascal to CP/M for use with Turbo. That program was originally written in fairly unstructured Fortran. When the program runs, it is often necessary to return from a deeply nested group of procedure calls without "unwinding" back through them all. Standard Pascal can do this with a goto statement to any location in a program. UCSD Pascal allows gotos only within a block, just like Turbo Pascal. As an alternative, UCSD provides a standard procedure called Exit, which will exit from the subroutine named as its single argument. It is used when unwinding from a deeply recursive set of calls

would be clumsy. Turbo does not supply such a mechanism.

The global goto of standard Pascal is difficult to implement on a one-pass compiler such as the Turbo and UCSD products. An Exit procedure is fairly simple, though. It just involves traversing the chain of activation records until it passes the one sought. If anyone at Borland is listening, an Exit procedure would sure be nice.

In summary, I found version 2.0 of

Turbo Pascal to be incrementally better than its predecessor. The documentation is being polished up. The editor has been expanded slightly. A couple of useful new procedures have been included. The overlay facilities add a lot of flexibility to an already excellent product. Maybe most important, these enhancements have not made the system large and unwieldy. Turbo Pascal is still small, fast, a pleasure to use, and only \$49.95.



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the y-axis to be dollars from \$1,000 to \$10,000. To do this in Turbo Pascal, you have to define a special procedure to scale every x and y coordinate pair before you plot or draw. Also remember that, because the x and y coordinates must be integers, you must truncate whatever your scaled values are back to integers or Turbo Pascal will not accept them.

The first thing I tried after plotting the trace was to label my graph. You do this by overlaying text and graphics—with a few limitations. Characters can be placed only in the grid of 24 lines by 40 characters using the GotoXY procedure, and the text color will be whatever the current pen color is. My Turtlegraphics lets me put a character or string at any point in the graphics screen. Graph labeling could be nicer, but at least Turbo Pascal has the same capability as IBM BASIC.

Plotting speed is comparable to both IBM BASIC and UCSD p-System Turtlegraphics—not slow. Listing Two (page 119) illustrates a simple graphics program: a sine wave with axes and

a title for the plot. It took about 34 seconds to plot everything, most of which was math computation time. When I removed the x and y computations from the for/next loop and just plotted a fixed point, the program took less than 2 seconds!

Some final comments: Selecting any of the graphics modes will clear the screen; if you wish to clear the graphics screen, you must reselect the current graphics mode (the ClrScr procedure works only in text mode). If you have both monochrome and color monitors, you must work on only one of themyou cannot control both simultaneously. And, finally, there is no way to get a hard copy of the current graphics screen. If I could add a few things, I'd like to be able to rescale the x and y axes and to have a graphics dump to my printer. These would make Turbo Pascal a superb graphics tool.

Windows! We do windows! Yes, Turbo Pascal has windows for text and windows for graphics. In graphics, GraphWindow defines what part of the screen you can plot on. If you draw a line that crosses through the window, only the pixels within the window are affected; everything outside it remains unchanged. In text mode, Window defines where the upper left screen coordinates (1,1) are. All console output appears only within the window, long lines wrap around the window, and the GotoXY function even works.

Sound or NoSound now beep, play songs or make a siren (this is actually part of the SOUND demo program). Quite simply, you specify the tone frequency in Hertz with the Sound procedure, vary the time between tones with the Delay procedure, and turn off the sound with the NoSound procedure.

Conclusions

I am well pleased with Turbo Pascal. It sure comes close in many areas to my UCSD p-System Pascal. However, many of the standard Pascal features missing in version 1.0 are still missing here. They are:

- (1) The Get and Put procedures for I/O and the file buffer variables do not exist. You must use the extended capabilities of Read, Readln, Write, and Writeln to handle all I/O. When translating from other Pascals, this will be a large stumbling block.
- (2) The Goto statement is restricted to transferring control only within the current block, not outside of procedures or functions.
- (3) The Page procedure is not implemented.
- (4) Variable packing and unpacking are not within your control; Turbo Pascal decides itself. Hence, the reserved word Packed has no effect, and the procedures Pack and Unpack are not implemented.
- (5) Procedures and functions cannot be passed as parameters to other subroutines.

Although every Pascal attempts to follow Jensen & Wirth as a guideline to standard Pascal, very few can restrain themselves from deviating on some functions and adding embellishments. Turbo Pascal adds its share of niceties to Pascal: The UpCase function and screen commands (ClrScr, ClrEol, etc.) are a real help. Also, a simple access to CP/M and MSDOS/PCDOS function calls is provided via a procedure and register record.

I'd recommend Turbo Pascal to any-



one interested in Pascal, whether novice or expert. When I talked with one of Borland's technical wizards, he clued me in to a version 3.0 that is coming in the next six months. A major planned addition is complete separate compilation capability. If that happens, I'll probably put away my UCSD p-System Pascal for good. It still shines in better screen control (via screen_ops), communications support (rem_unit), more enhanced graphics (Turtlegraphics), and separate compilation (units), but I'm sure the folks at Borland can come close.

While I'm discussing what's missing, let me give other UCSD p-System Pascal

people a quick review of what needs to be translated from your UCSD p-System programs to make them Turbo Pascal programs. Not implemented:

- (1) units
- (2) exit
- (3) pwroften(*)

Modifiable:

- (1) io_result—different error number
- (2) segments—overlays
- (3) screen_ops—various screen functions
- (4) close—different syntax
- (5) reset—must use assign and reset, different syntax
- (6) rewrite—must use assign and rewrite, different syntax

- (7) turtlegraphics—quite a bit restricted
- (8)strings—don't default to 80 characters long, there is no default length at
- (9) underscore—Turbo Pascal doesn't ignore them, they become significant characters in names.

DD

Software Reviews (Text begins on page 106) **Listing One**

```
program over;
  {this demonstrates Turbo Pascal's overlay features.
     File:
             over.pas, over.com
   there are two overlay groups in this program
     Files:
             over.000 --- procla, proclb
              over.001 --- proc2a, proc2b
type
  string80 = string[80];
var
      string80;
  5:
overlay procedure procla;
  begin
    writeln('This is the first procedure in overlay #1');
    readin(s);
  end;
overlay procedure proc1b;
    writeln('This is the second procedure in overlay #1');
    readin(s);
  end;
procedure dummy;
  {this forces the two separate overlay groups}
  begin
  end;
overlay procedure proc2a;
  begin
    writeln('This is the first procedure in overlay #2');
```

(Continued on next page)

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Software Reviews (Listing Continued, text begins on page 106) Listing One

```
readin(s);
   end:
 overlay procedure proc2b;
   begin
     writeln('This is the second procedure in overlay #2');
     readln(s);
   end;
 begin
   clrscr;
   writeln('Disk access for overlay group #1');
   writeln('Disk access for overlay group #2');
   writeln('Disk access for overlay group #1');
   writeln('Disk access for overlay group #2');
   proc2b;
   writeln('Done');
 end.
                                                  End Listing One
Listing Two
program graph;
 {demonstrates Turbo Pascal graphics}
 var
   s: string[80];
   pil80: real;
   i,x,y: integer;
 begin
   graphcolormode;
                         {set 320 x 200 color graphics}
   graphbackground(0);
                         {set background to black}
   palette(0);
                         {colors are black/green/red/brown}
   draw(0,0,0,199,1);
   draw(0,199,319,199,1);
   draw(319,199,319,0,1);
   draw(319,0,0,0,1);
                           (draw a frame)
   draw(0,100,319,100,1); {draw center line}
   gotoxy(6,2);
   writeln('This is a Turbo Pascal Graph');
   pi180:=3.14/180;
```

End Listings

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for 1:=0 to 720 do

x := trunc(1/2);

plot(x,y,2);

y:=trunc(100+75*sin(i*pi180));

{draw a sine wave}

begin

end;

end.

COMPUTER CALISTHENICS

by Michael Wiesenberg

Bob Levin, hotshot young programmer at I-Q Industries in the heart of Silicon Valley, stands at one of I-Q's permanent coffee stations and stares forlornly at the empty coffee pot. The burner has been on all night and all that remains in the pot is a dark brown paste.

Grey Scrivener, senior technical writer, glides around the corner. He smiles as much at Levin's predicament as at his tattered tennis shoes, wrinkled wash pants, and TEX t-shirt ("!You can't do that in horizontal mode"). Scrivener smooths an invisible wrinkle in his Calvins and takes over. He carries the pot into the men's room and washes out the muck. When he returns, Levin has been joined by Spotswood Gilbert, senior programmer, who holds a raisin Danish in one hand and a chocolate brownie in the other.

Marian Smith, system operator, arrives, trailing a cloud of smoke, which Scrivener fans away with his hands. She sets her cigarette on the edge of the table. Burn marks on the table indicate that she has probably done this before. "Baby Huey's down. You been messing with the operating system again, Bobby?"

"The changes I make only speed the system up. If it's down again, you can blame all the unsupported utilities. You'd think a company as big as this would get a full-screen editor for program development."

Sally McRae walks up, having overheard the last part of the conversation. She has on Nikes, baggy shorts over purple tights, and a Cocolat t-shirt. "Maybe you didn't crash the system, but you sure brought it to its knees. What're you doing to use up all that cpu time?"

Levin, uncomfortable around attractive women, is too embarrassed to look McRae in the eyes, so he settles on her nose. "I'm trying to solve a puzzle."

Gilbert takes the last bite of his Danish and starts on the brownie. "Is it as tough as that last one?"

"Nah. This was a contest by a puzzle magazine. Winner got a new IQ2557Q portable computer."

Smith notices the veneer top of the coffee station table starting to char and shoves the cigarette back in her mouth. "Hey, I'd like one of those. Even with the employee discount, they're not cheap."

"Well, the funny thing is I don't think the winner used a computer to come up with the winning answer. I think a computer could have found a better solution."

Scrivener adjusts his Stanford ring. "You mean this puzzle had more than one answer?"

"No, but I'm pretty sure there's a better answer than the one that won the contest. I just don't think any of the entrants found it because I don't think anybody knew how to write a program to solve the problem. I wish I'd heard about it before the contest was over. I have a program running right now that should give me the answer that would have won. Listen:

"Assign a numerical value to each letter of the alphabet, starting with 1 for A and going up to 26 for Z. Any word in the English language has a value obtained by multiplying the values for each of its letters. For example, the word hello is worth 86,400, obtained by multiplying $8 \times 5 \times 12 \times 12 \times$ 15. Which English word is equal to exactly 1,000,000? If there is none, which is closest? Only words found in The Random House Dictionary of the English Language (unabridged edition) can be used. No capitalized words, none with hyphens or other embedded punctuation, nor those designated as foreign."

McRae laughs. "How about a program that does my work for me at noon

while I drive to Gelato Classico?"

Gilbert finishes the brownie and pours the last of the coffee. "Hmm. I can see right away that the word has to have at least five letters."

Scrivener dumps the old filter and grounds, measures fresh coffee into a new filter, replaces the holder, and presses the BREW button. "I see that. The four-letter combination with the highest value would be zzzz, and that multiplies out to considerably less than a million."

Gilbert presses buttons on his watch. "How about *linger*? That's 952,560."

Levin pushes the straggly blond hair off his forehead. "That's 47,440 off, and you're just guessing. I can give you a better guess: single. That's 1,005,480, only 5,480 off. But there's a scientific way to figure it, and I'm sure it can be done only by computer."

* * * * *

Well, how about it, folks? Can you devise a program that finds the right word? And, having done that, can you tell us what that word is? Your program must be short and elegant. The algorithms can be demonstrated in a good pseudolanguage if you wish or perhaps in flowcharts. The best solution wins a t-shirt and will be published here.

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INTRODUCING Interface Technologies' Modula-2 Software Development System

The computer press is hailing Modula-2 as "the next standard in programming languages." Modula-2 combines the strengths of its popular predecessor—Pascal—with the features that made the C language appealing, like independent com-

pilation and direct hardware control.

But until today, no company offered a Modula-2 system that made software development fast, easy and efficient.

The fast, powerful tool for programmers

Now that breakthrough is here: Interface Technologies' Modula-2 Software Development System for

the IBM® PC, XT, AT and compatible computers gives programmers the same quantum leap in productivity that spreadsheets and word processors gave to endusers. It can reduce monotonous wait time, dramatically increase speed, help

eliminate thoughtless mistakes, and free you to become more creative in all your programming efforts.

How to speed input and eliminate 30% of errors

Thirty percent of programming mistakes are syntax errors and simple typos in the program structure. Our "syntax-directed" Modula-2 editor does away with these time-consuming headaches forever.

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Enter complete statements trate on what you want to with one keystroke. program, rather than your typing.

The editor locks out errors, finishing each statement and procedure in perfect accord with the standardized rules of Modula-2. It also indents and formats your text automatically, making programs easy to read and maintain, an important feature on big projects.

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How to turn "wait time" into "work time"

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EDIT COMPILE RUN

The Interface Technologies Modula-2 Software Development System saves time by compiling while you edit. Most of a programmer's time is spent waiting, and the biggest culprit is usually the compiler. Our compiler

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turns this wait time into work time, with a technical innovation we call "background" compilation.

With background compilation, every moment you spend writing or editing a Modula-2 program, it's automatically being compiled into object code, line by line as you work!

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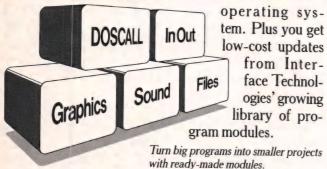
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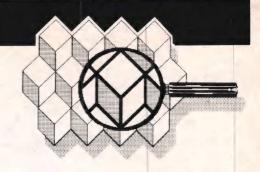


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by R. P. Sutherland

Unix Directories

The Unix market is growing at a frantic rate. The number of licenses for Unix and Unix imitations is a quarter of a million and that figure is projected to quintuple over the next two years! Two Unix software directories containing 400 sources each can put folks in touch with suppliers of Unix applications software. Onager Publishing has announced the second edition of Unix Applications Software Directory. Cost: \$50.00. Onager Publishing is at 6451 Standridge Ct., San Jose, CA 95123 (408) 225-3541. Another resource is the Unix System Encyclopedia. In addition to alphabetical and categorical listings of sources and programs, a third of the book includes articles that describe hardware systems and industry trends. The Unix System Encyclopedia is priced at \$34.95, available from Yates Ventures, 4962 El Camino Real, Suite 111, Los Altos, CA 94022 (415) 964-0130.

Unix Utilities

A \$90 Modula-2 system for the Fortune 68000 system running Unix is available from Modula-2 Corporation. The system allows 128K of workspace for Modula-2 programs, which may be divided between code and data in any ratio. The compiler and interpreter are supplied with the basic I/O modules described by Wirth in *Programming in Modula-2*. Modula Corporation is at 1673 West 820 North, Provo, UT 84601 (800) LILITH2. Reader Service No. 101.

A Writer's Workbench for Unix System V and 4.1 and 4.2 Unix systems is available from International Data Services. Writer's Workbench consists of 25 computer programs that will do such things as proofread text, analyze spelling and punctuation, and check for sentence length, structure, and voice. Writer's Workbench provides on-line information about English usage and allows users to establish their own standards for text analysis. Writer's Workbench is distributed at a cost of \$2,000.00. Call International Data Services at (408) 986-1972. Reader Service No. 103.

C Tools

Complete Software, Inc., and Catalytix Corporation, both of Cambridge, Massachusetts, have announced some interesting C tools. Complete Software has introduced a menu-driven C language debugger that operates independently of host systems. CDEBUG is an interactive tool that symbolically debugs C source code routines on any software or hardware that runs C. Priced from \$300.00, CDEBUG is available from Complete Software, Inc., 60 Aberdeen Ave., Cambridge, MA 02138 (617) 492-5305. Reader Service No. 105. Catalytix Corporation has a checkout compiler for the C programming language as well as a C interpreter. The Safe C Compiler adds runtime checking to C programs. The Safe C Interpreter provides interactive execution of C programs. Catalytix Corporation is at 55 Wheeler St., Cambridge, MA 02138 (617) 497-2160. Reader Service No. 107.

C Compilers for Macintosh and Lisa are available from Softworks Limited and Consulair Corp. Softworks Limited has a triple pass compiler system. The C library includes system interface functions, Unix functions, and complete interface to all Macintosh ROM routines. The Lisa implementation will produce programs for either Macintosh or Lisa. The package is \$395.00 for Macintosh and \$695.00

for Lisa. Contact Softworks Limited, 607 W. Wellington, Chicago, IL 60657 (312) 975-4030. Reader Service No. 109. Consulair Corp. is shipping a C compiler and support library for the Macintosh (or Lisa under MacWorks). Use of Mac C and the Mac C Toolkit requires Apple's Macintosh 68000 Development System (assembler/debugger). Mac C is \$295.00 and Mac C Toolkit is \$175.00. Consulair Corp. is located at 140 Campo Drive, Portola Valley, CA 94025 (415) 851-3849. Reader Service No. 111.

Apple Stuff

A cross assembler that allows development of MC68000 assembler programs on Apple II computers has been made available for \$100.00. Allen Systems' SX-68 cross assembler is written in 6502 assembler. Complete access to DOS 3.3 as well as the instruction set specified by Motorola for the MC68000 are supported. Allen Systems, 2151 Fairfax Road, Columbus, OH 43221 (614) 488-7122. Reader Service No. 113.

been released by Rune Software for \$95.00. The company claims that DOS 4.0 offers better performance than DOS 3.3 because it employs a new CMOS 6502 instead of the Apple II's existing NMOS 6502. Advantages include faster processing, increased disk storage capacity, as well as eight new processor instructions. For a full list of features, contact Rune Software at 80 Eureka Square, Suite 214, Pacifica, CA 94044 (415) 355-4851. Reader Service No. 115.

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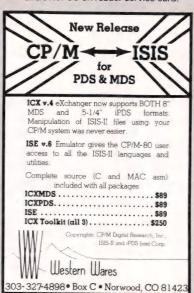
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compiler, and debuggers so that they can be used from the MacASM full-screen environment. Source files generated by MacASM can be edited with MacWrite, and vice versa. Introductory price is \$100.00, from Mainstay, 28611B Canwood Street, Agoura Hills, CA 91301 (818) 991-6540. Reader Service No. 117.

Pterodactyl Software has developed PC BASIC, a Basic compiler for the Apple Lisa, PC BASIC is syntax compatible with BASICA on the IBM PC. This product allows Basic programs to be quickly converted to run on the Lisa. In addition, PC BASIC provides nearly unlimited core memory space for programs and data, and allows developers to link programs to Lisa's graphics operating system, as well as Lisa's Pascal and 68000 programs. Cross-compiled and native mode versions for the Macintosh will be available soon. The price for one protected copy of the compiler is \$250.00, or \$750.00 for a runtime license that allows one to include the runtime package with applications for resale. Contact Ed Rosensweig at (415) 485-0714. Reader Service No. 119.

Miscellany

DriveLiner

Chandler Software has developed a portable CP/M program for verifying alignment of 8-inch floppy disk drives. A Dysan Diagnostic Diskette (see Loren Amelang's article in the December 1983 DDJ) is supplied with the program. Head centering, radial, and azimuth alignment tests are performed automatically on any CP/M 2.2 compatible 8-inch floppy system. The price is \$65.00 from Chandler Software, 273 West Shore Drive, Marblehead, MA 01945 (617) 631-4685. Reader Service No. 121.

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